

# Neutrino Phenomenology



Sanjib Kumar Agarwalla

*sanjib@iopb.res.in and sagarwalla@icecube.wisc.edu*

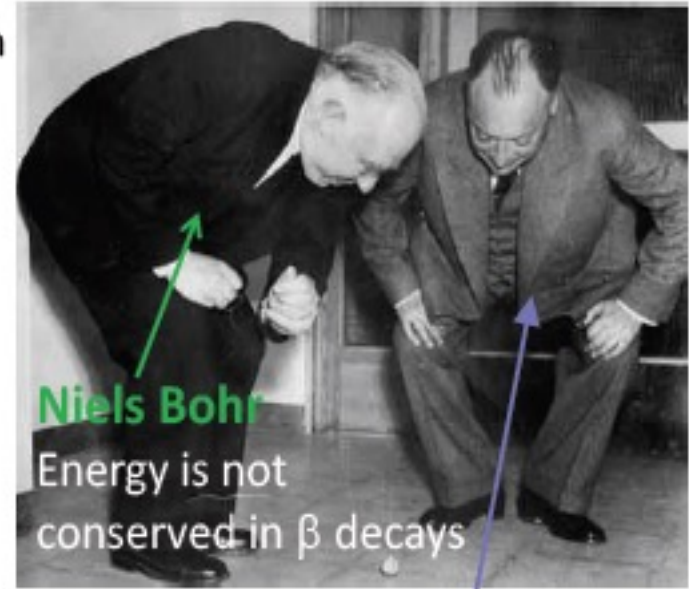
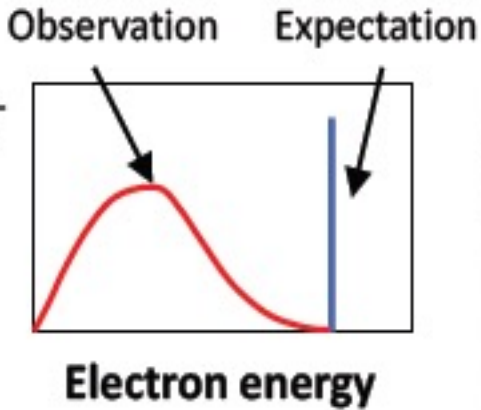
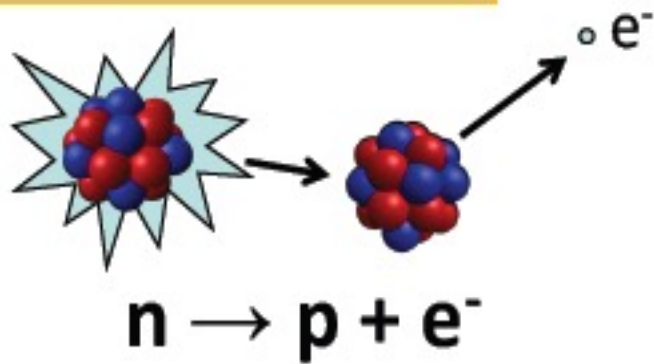


Institute of Physics (IOP), Bhubaneswar, India  
Department of Physics and WIPAC, UW Madison, USA

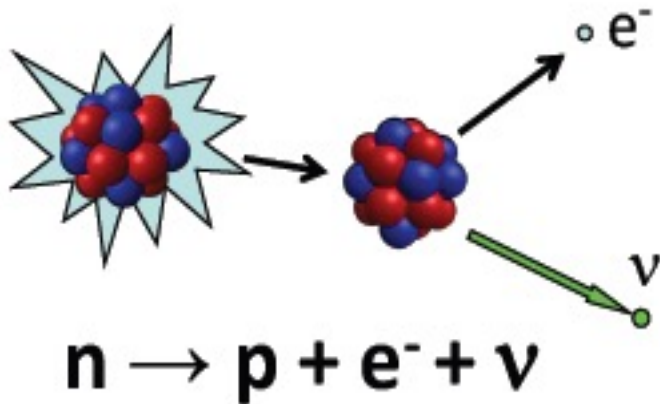


# Mission Impossible: Detect Neutrinos

## The problem (1914)



## The desperate remedy (1930)



There is a neutral particle able to cross all detectors without leaving any trace and carrying all the missing energy

*«I have done a terrible thing. I have postulated a particle that cannot be detected.» (1930)*

Fortunately, Pauli was wrong, and neutrinos have been detected successfully

1934: Fermi named the new particle as 'neutrino'

# Neutrinos in the Standard Model of Particle Physics

mass →	$\approx 2.3 \text{ MeV}/c^2$	$\approx 1.275 \text{ GeV}/c^2$	$\approx 173.07 \text{ GeV}/c^2$	0	$\approx 126 \text{ GeV}/c^2$
charge →	2/3	2/3	2/3	0	0
spin →	1/2	1/2	1/2	1	0
	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	<b>H</b> Higgs boson
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b><math>\gamma</math></b> photon	
	<b>e</b> electron	<b><math>\mu</math></b> muon	<b><math>\tau</math></b> tau	<b>Z</b> Z boson	
	<b><math>\nu_e</math></b> electron neutrino	<b><math>\nu_\mu</math></b> muon neutrino	<b><math>\nu_\tau</math></b> tau neutrino	<b>W</b> W boson	
	$< 2.2 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 15.5 \text{ MeV}/c^2$	$\pm 1$	
	0	0	0	1	
	1/2	1/2	1/2	1	

**QUARKS** (left side of the table)

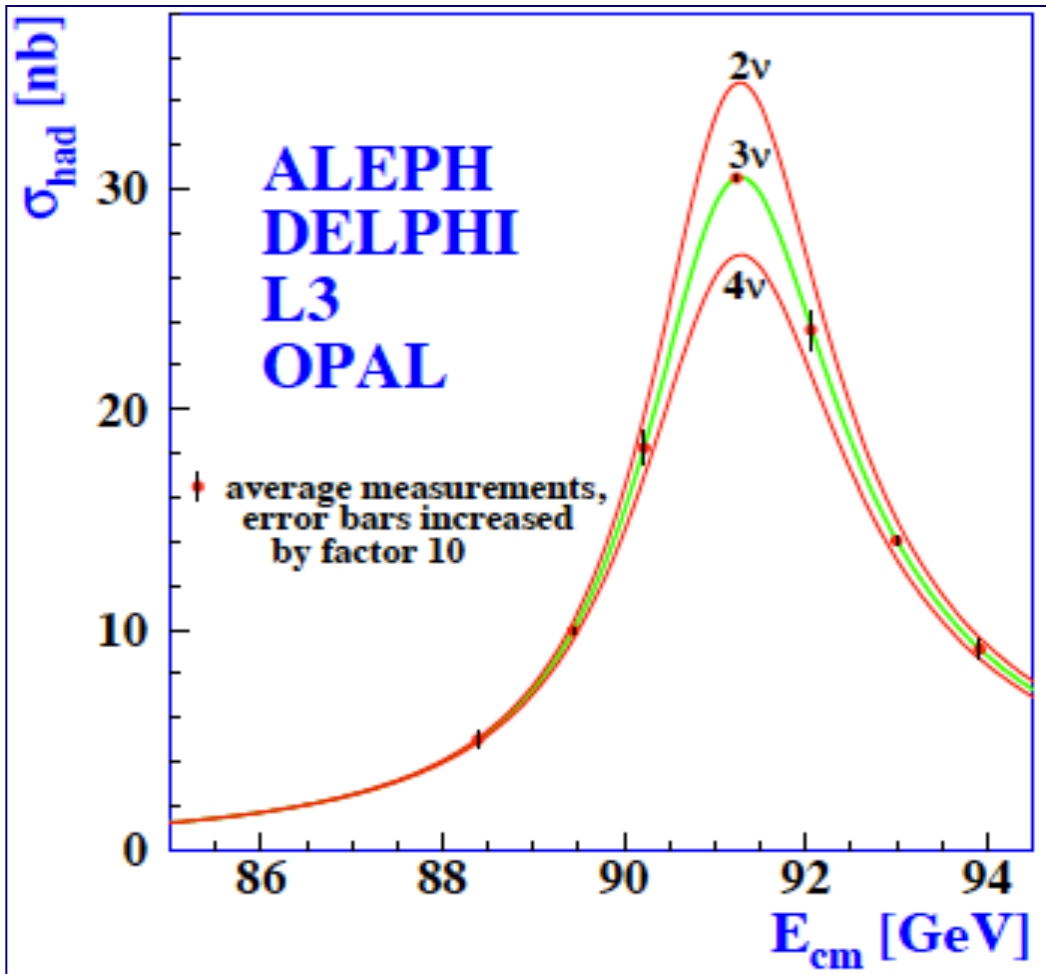
**LEPTONS** (left side of the table)

**GAUGE BOSONS** (right side of the table)

See lectures by Nhung Dao in this school

- After photon, second most abundant particle
  - Three active neutrinos:  
 $\nu_e, \nu_\mu, \nu_\tau$
  - Zero charge (neutral)
  - Spin 1/2
  - Only couple to weak force
- A lightyear of lead would stop only about half of the neutrinos coming from Sun
- Almost massless:  
at least a million times lighter than electron

# Why 3 Weak Flavor States?



Precision data on the Z-decay width  
at the  $e^+e^-$  collider at LEP

$$e^+e^- \rightarrow Z \xrightarrow{\text{invisible}} \sum_{a=\text{active}} \nu_a \bar{\nu}_a$$

$$N_{\nu_{\text{active}}} = 2.9840 \pm 0.0082$$

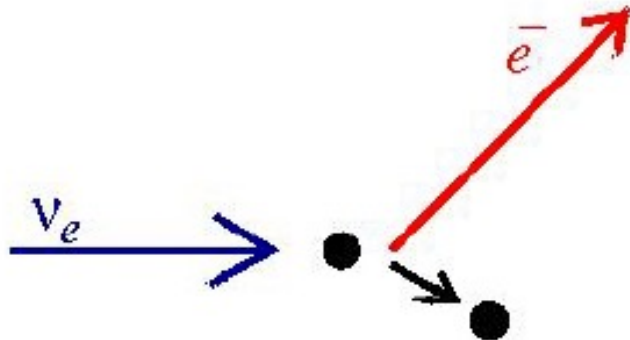
[LEP, Phys. Rept. 427 (2006) 257, hep-ex/0509008]

3 light active flavor neutrinos

$$\nu_e \quad \nu_\mu \quad \nu_\tau$$

# Three kinds (flavors) of neutrinos: $\nu_e$ $\nu_\mu$ $\nu_\tau$

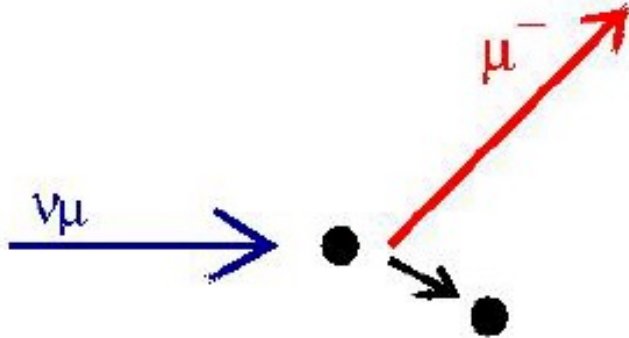
electron  
neutrino



electron

$$m_e = 0.511 \text{ MeV} \quad P_{\text{thresh}} = 0.511 \text{ MeV}$$

muon  
neutrino

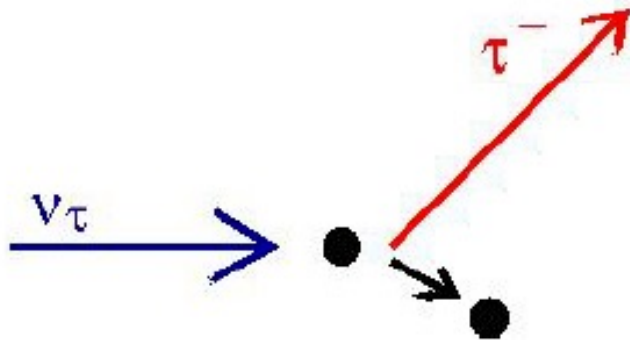


muon

200 times heavier than electron

$$m_\mu = 106 \text{ MeV} \quad P_{\text{thresh}} = 112 \text{ MeV}$$

tau  
neutrino



tau

3500 times heavier than electron

$$m_\tau = 1.78 \text{ GeV} \quad P_{\text{thresh}} = 3.47 \text{ GeV}$$

$$E_\nu > \frac{m_\tau^2 + 2m_\tau m_{\text{nucleon}}}{2m_{\text{nucleon}}} \approx 3.5 \text{ GeV}$$

Antineutrinos  $\bar{\nu}_e, \bar{\nu}_\mu, \bar{\nu}_\tau$  produce positively charged particles

# Discovery of Invisible Neutrinos

## Electron neutrino $\nu_e$ : 1956

Reactor anti-neutrinos:  $\bar{\nu}_e + p \rightarrow n + e^+$



Clyde Cowan

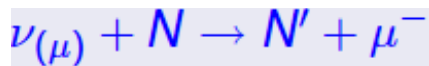
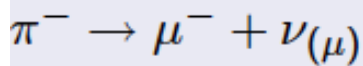


Frederick Reines

Nobel Prize to Frederick Reines in 1995

## Muon neutrino $\nu_\mu$ : 1962

Neutrinos from pion decay:



Always a muon, never an  $e^-/e^+$

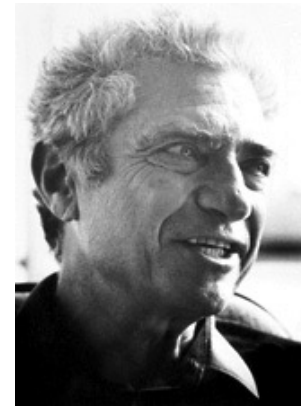
Nobel Prize in 1988



Leon M. Lederman



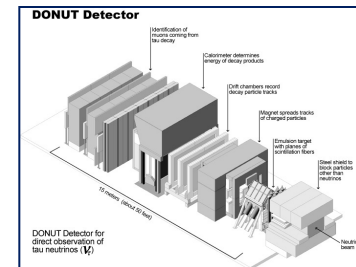
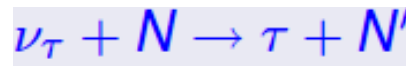
Melvin Schwartz



Jack Steinberger

## Tau neutrino $\nu_\tau$ : 2000

DONUT experiment at Fermilab:

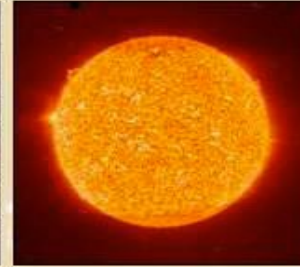


# Neutrinos are Omnipresent

Detected (1950s)



**Nuclear Reactors**



Detected (1960s)

**Sun**



Created & Detected (1960s)



**Particle Accelerators**



Detected (1980s)

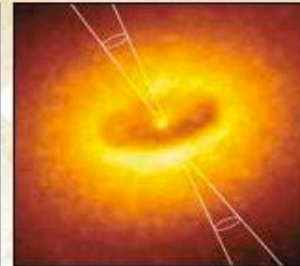
**Supernovae  
(Stellar Collapse)**

**SN 1987A** ✓

Detected (1960s)



**Earth Atmosphere  
(Cosmic Rays)**



First detection in  
IceCube for ~~2003~~ in 8 years 103 contained-  
vertex events between 15 TeV - 2 PeV

**Astrophysical  
Accelerators**

**IceCube** ✓

Detected By KamLAND in 2005



**Geoneutrino** (Natural  
Radioactivity)



Not even close

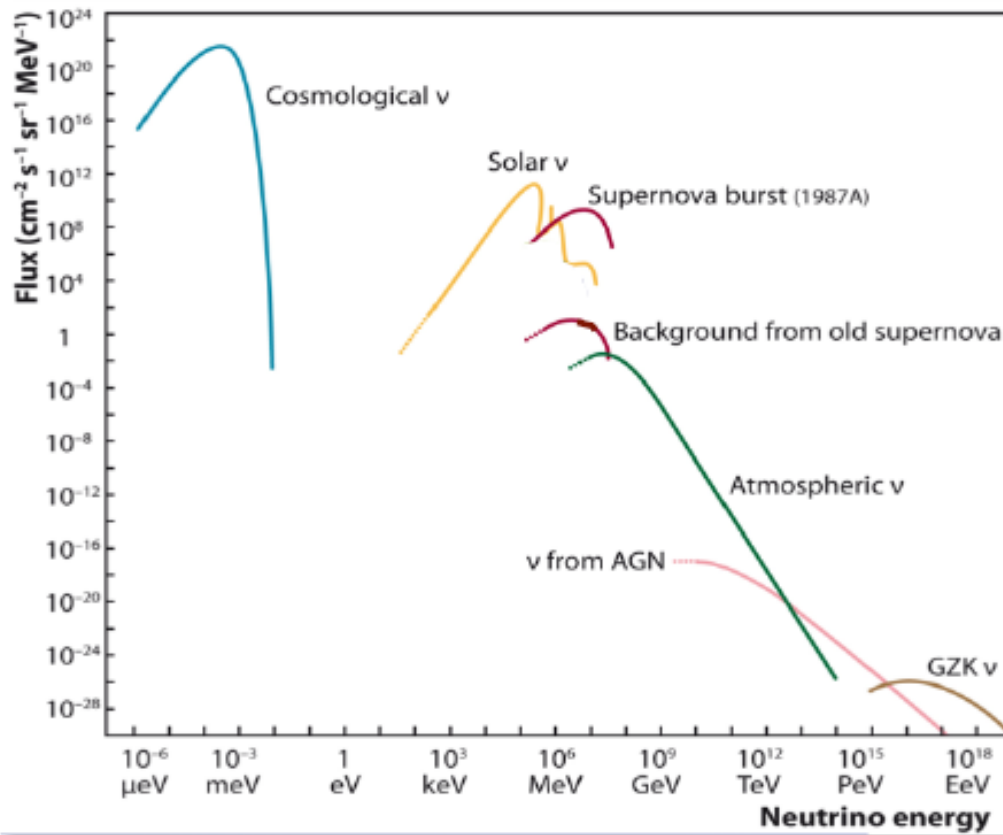
**Cosmic Big Bang  
(Today  $330 \nu/\text{cm}^3$ )**

**Indirect Evidence**

**Extremely rich and diverse neutrino physics program**

# Neutrinos From the Heavens

- neutrinos are unique messengers ...



*neutrinos from stars*

*supernova, solar, atmospheric, and cosmic neutrinos*

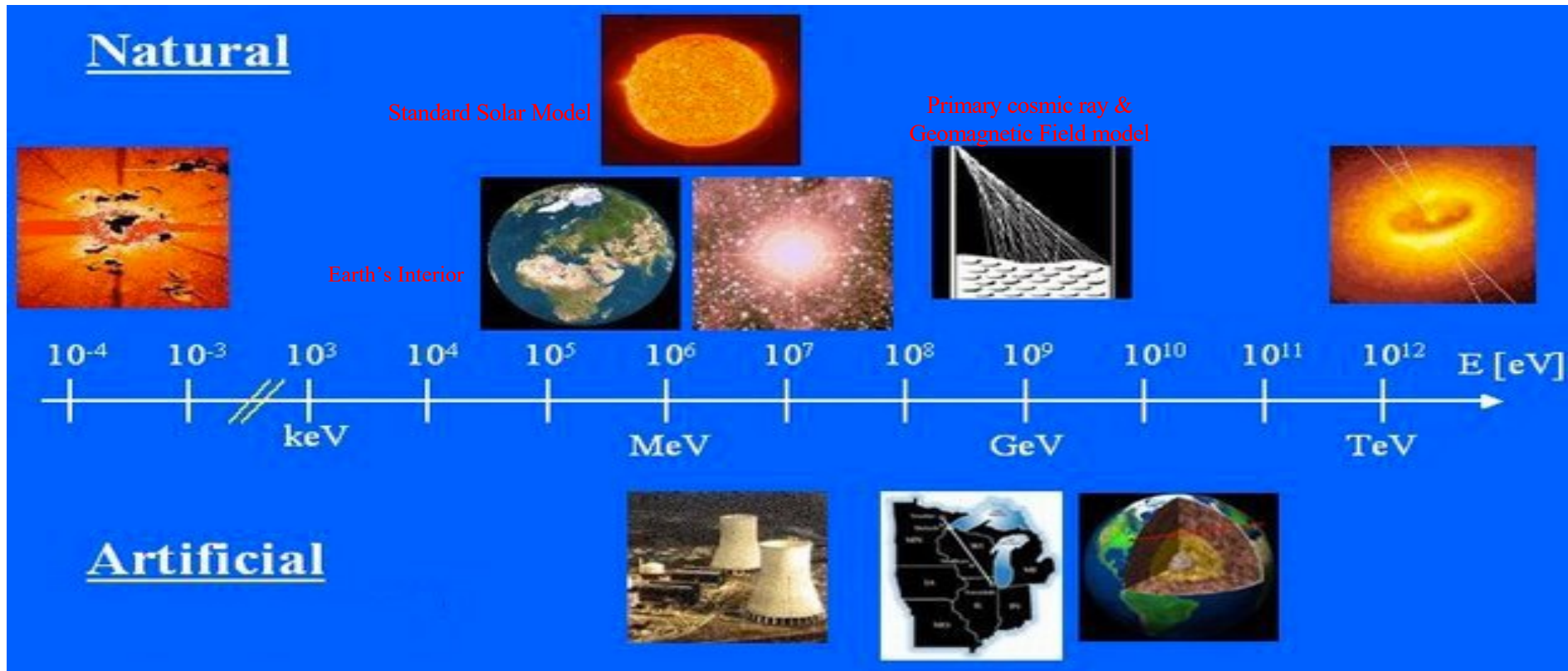
- they are not deflected by interstellar magnetic fields  
→ *point back to their source*
- they rarely interact with matter  
→ *arrive directly from regions where light cannot come*
- $\nu$ 's carry information about the workings of the highest energy and most distant phenomenon in the universe
- “neutrino astronomy”

The Greisen-Zatsepin-Kuzmin (GZK) limit: theoretical upper limit on the energy of protons traveling from other galaxies through the intergalactic medium to our galaxy



# Neutrinos: Exceptional Probe for Environments

*Neutrino Observation: Go Beyond optical and radio observation*

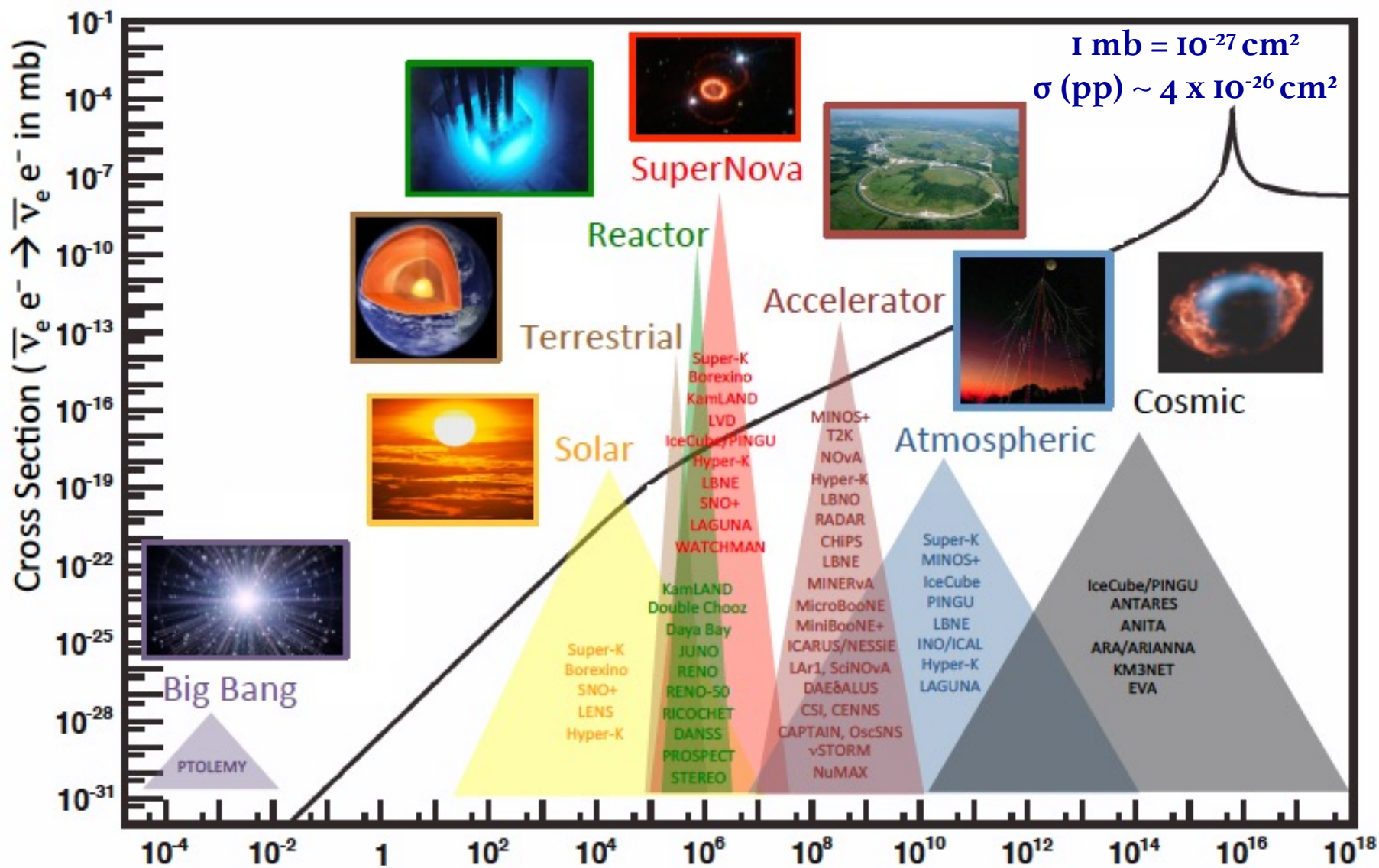


*Detect neutrinos from the Sun, Supernovae, AGN, GRBs: Era of Neutrino Astronomy*

*$\nu$  detection involves several methods on surface, underground, under the sea, or in the ice*

*$\nu$  detector masses range from few kgs to megatons, with volumes from few m<sup>3</sup> to km<sup>3</sup>*

# Neutrinos are ubiquitous: Friends across 23 orders of magnitude



$1 \text{ mb} = 10^{-27} \text{ cm}^2$   
 $\sigma (\text{pp}) \sim 4 \times 10^{-26} \text{ cm}^2$

$$\sigma \sim 2m_e G_F^2 E_{\nu}^2 (\hbar c)^2$$

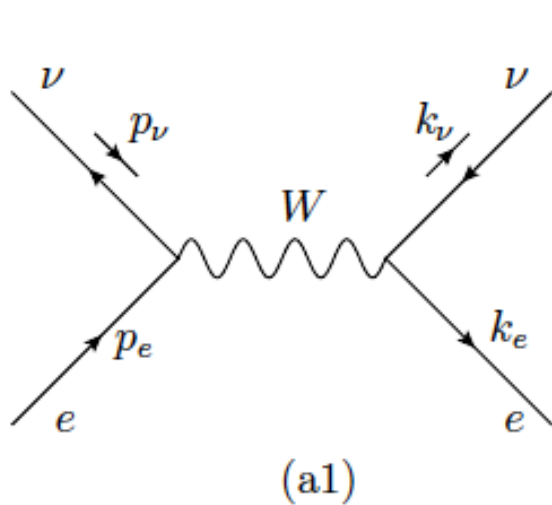
$$\sim 10^{-43} \left( \frac{E_{\nu}}{\text{MeV}} \right) \text{ cm}^2$$

$\sigma(1 \text{ MeV}) \sim 10^{-43} \text{ cm}^2, \sigma(1 \text{ GeV}) \sim 10^{-40} \text{ cm}^2$  Neutrino Energy (eV)

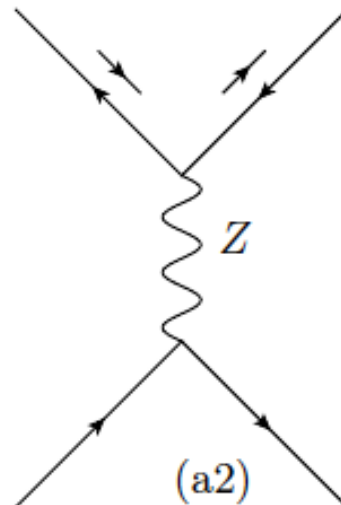
J. L. Hewett et al., arXiv:1310.4340v1, Snowmass 2013 Neutrino Working Group

# Neutrino – Electron Scattering

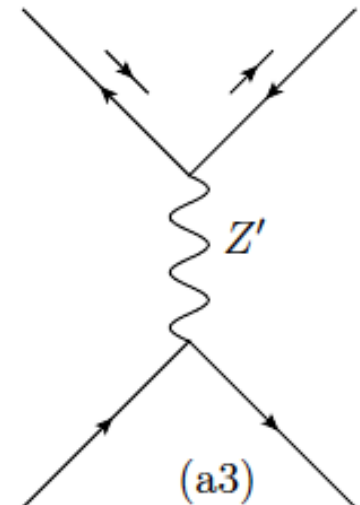
See lectures by Nhung Dao and Van Nguyen in this school



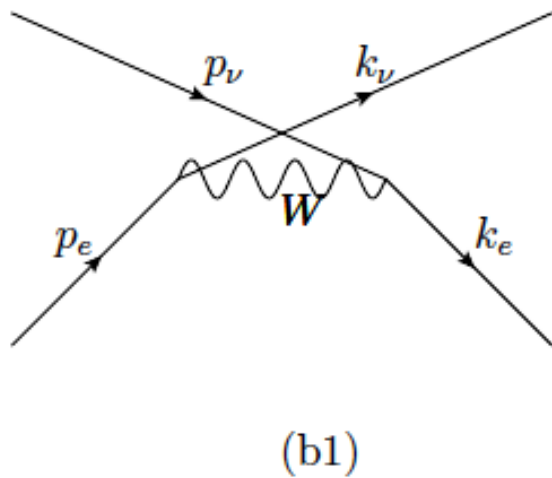
$$\bar{\nu}_e + e^- \rightarrow \bar{\nu}_e + e^-$$



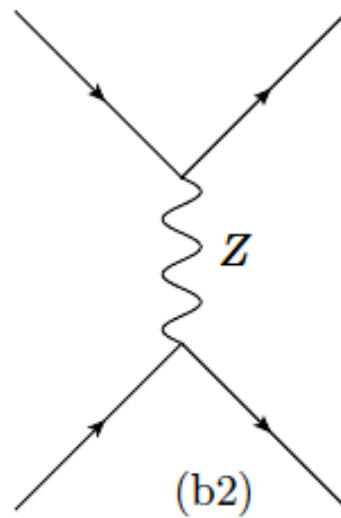
$$\bar{\nu}_\alpha + e^- \rightarrow \bar{\nu}_\alpha + e^-$$



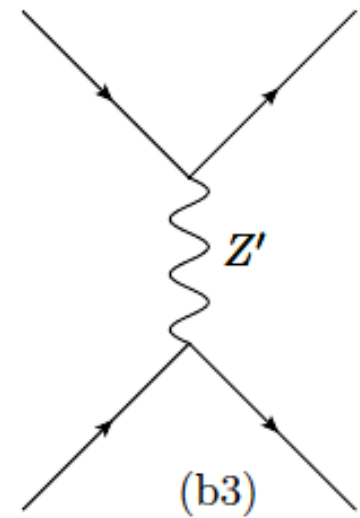
$$\bar{\nu}_\alpha + e^- \rightarrow \bar{\nu}_\alpha + e^-$$



$$\nu_e + e^- \rightarrow \nu_e + e^-$$

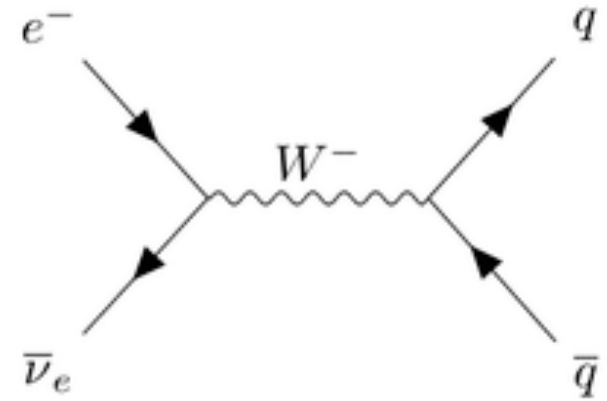
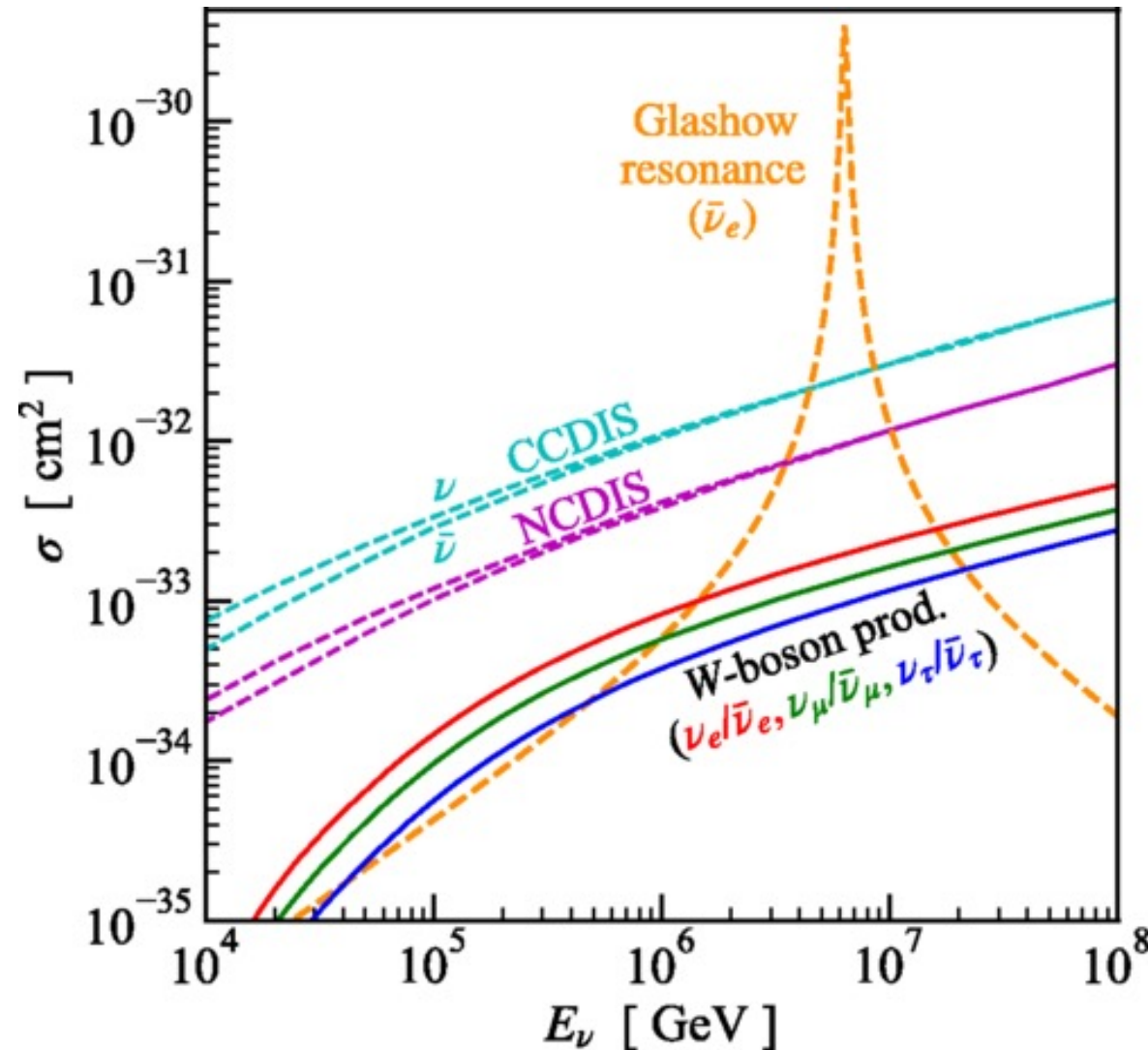


$$\nu_\alpha + e^- \rightarrow \nu_\alpha + e^-$$



$$\nu_\alpha + e^- \rightarrow \nu_\alpha + e^-$$

# Glashow Resonance



Feynman diagram of the Glashow resonance

The Glashow resonance, put forward by Sheldon L. Glashow in 1959, is the resonant formation of the W boson in electron antineutrino and electron scattering process. The threshold energy needed for neutrino for this process is 6.3 PeV when the electron is at rest in the lab frame. This process is being used to detect and study the high energy cosmic neutrinos in the IceCube experiment.

**2021: Detection of a particle shower at the Glashow resonance with IceCube**  
 Nature 591, 220-224 (2021)

Cross sections between neutrinos and  $^{16}\text{O}$  for W-boson production, compared to those for charged-current (CC) and neutral current (NC) deep inelastic scattering (DIS), and the predicted Glashow resonance  
 Phys. Rev. D 101, 036010 (2020)

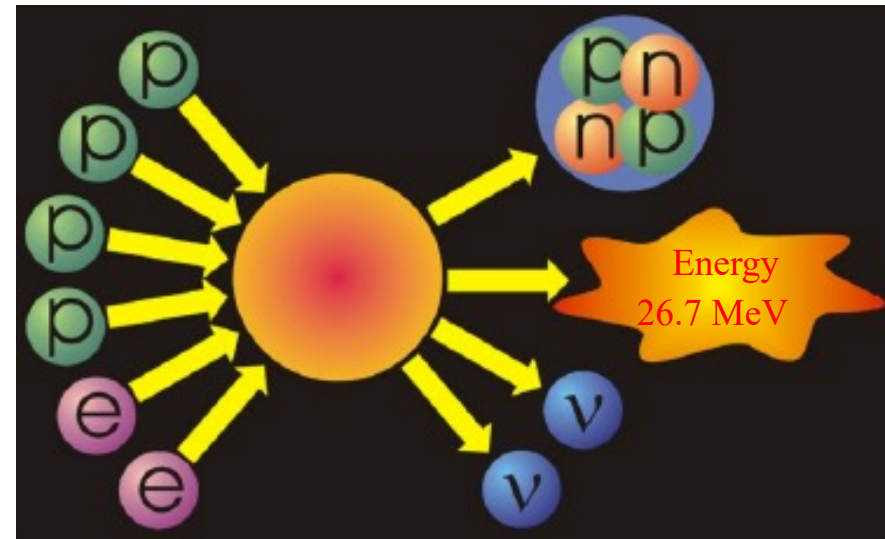
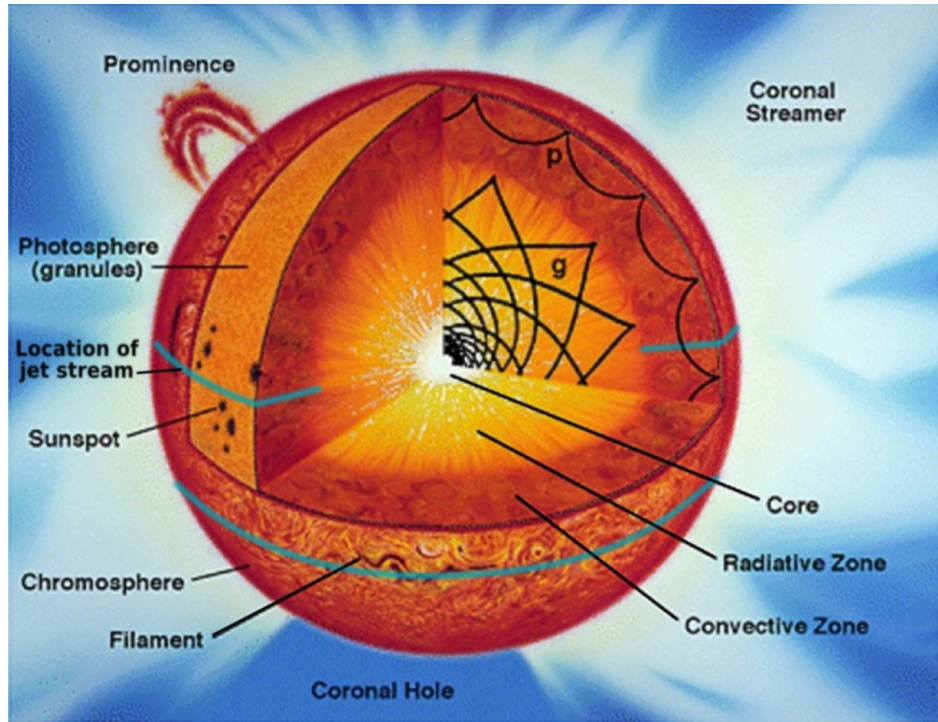
# Homework Problem

Process	Threshold $E_\nu^{th}$
$\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$	0.23 MeV
$\nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^-$	0.82 MeV
$\bar{\nu}_e + p \rightarrow n + e^+$	1.81 MeV
$\nu_\mu + n \rightarrow p + \mu^-$	110.16 MeV
$\nu_\tau + n \rightarrow p + \tau^-$	3.45 GeV
$\nu_\mu + e^- \rightarrow \mu^- + \nu_e$	10.92 GeV

Derive the threshold (minimum) neutrino energy required for the above processes.  
Answers are given in the second column!

# Any Questions?

# How does the Sun shine?



**Solar radiation: 98% light and 2% neutrinos**

**At Earth 66 billion neutrinos  $\text{cm}^{-2} \text{s}^{-1}$**

- Nuclear fusion reactions: mainly
$$4 \text{}^1_1\text{H} + 2e^- \rightarrow \text{}^4_2\text{He} + \text{light} + 2\nu_e$$
- Neutrinos needed to conserve **energy, momentum, angular momentum**

**Neutrinos are essential for the Sun to shine !**

# *Detection of Cosmic Neutrinos*

## **The Nobel Prize in Physics 2002**



**Raymod Davis Jr.**

**Detected Solar Neutrinos**



**Masatoshi Koshiha**

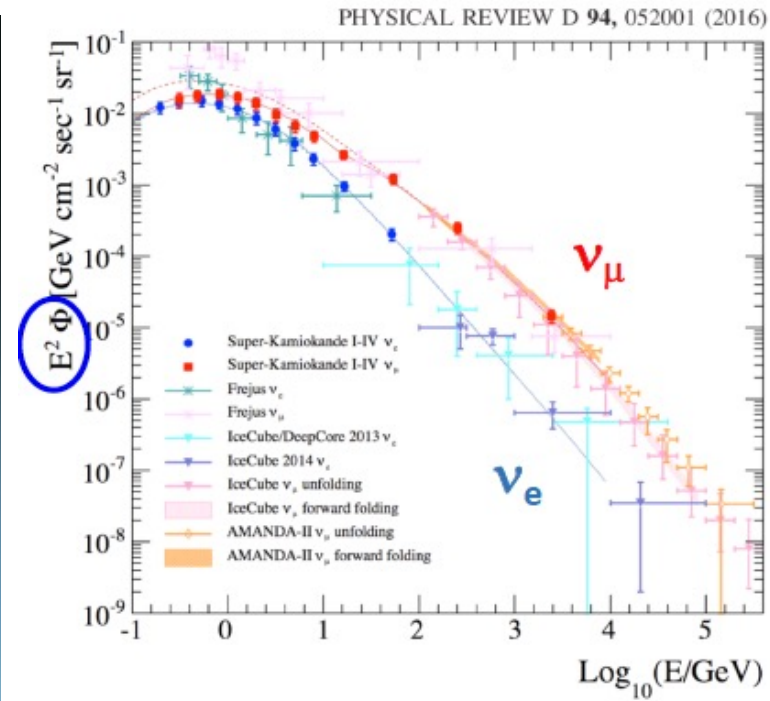
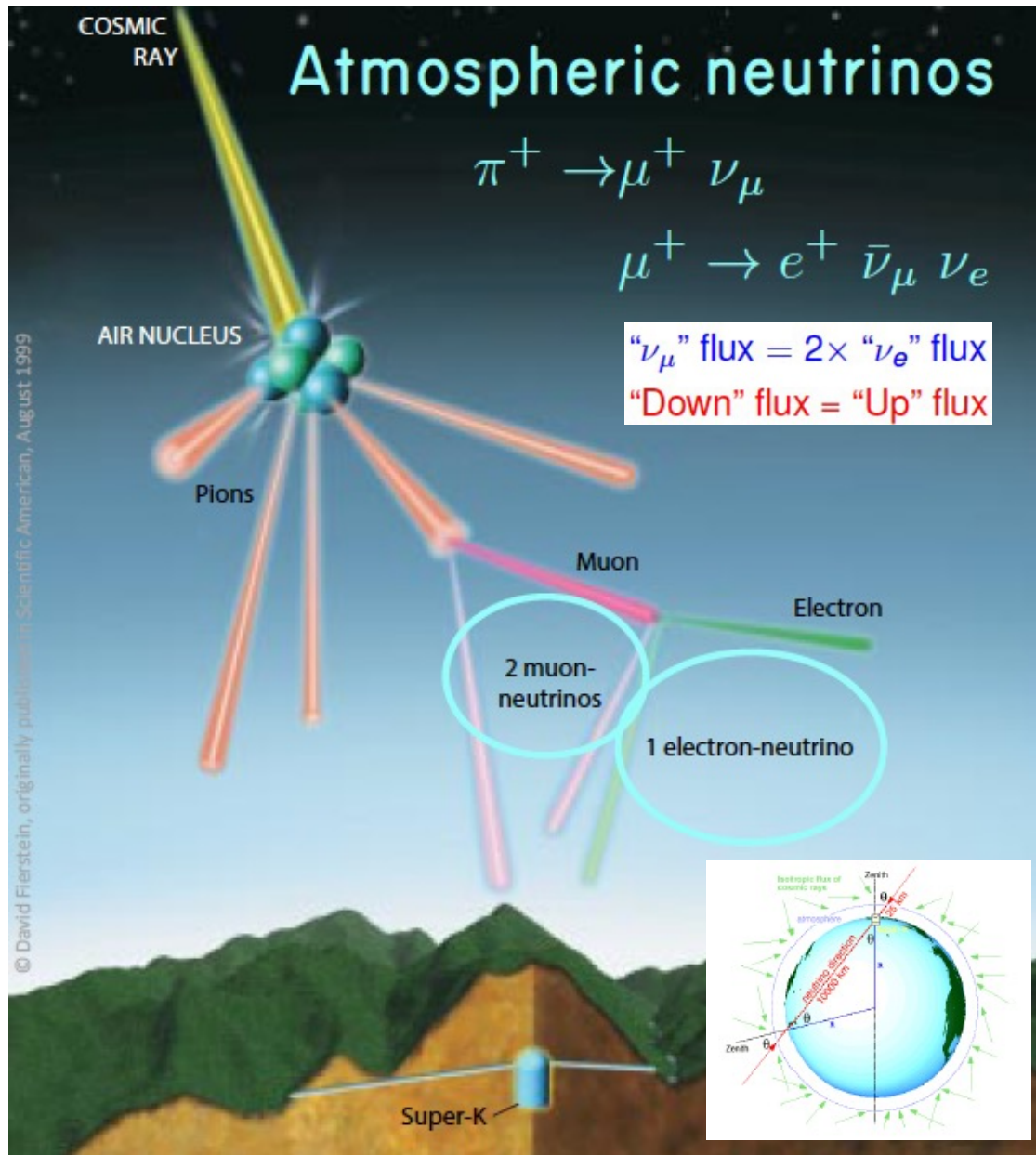
**Detected Supernova Neutrinos**

**Detection of Cosmic Neutrinos → A New Window on the Universe  
Era of Neutrino Astronomy began**

**See lectures by Yuichi Oyama in this school**

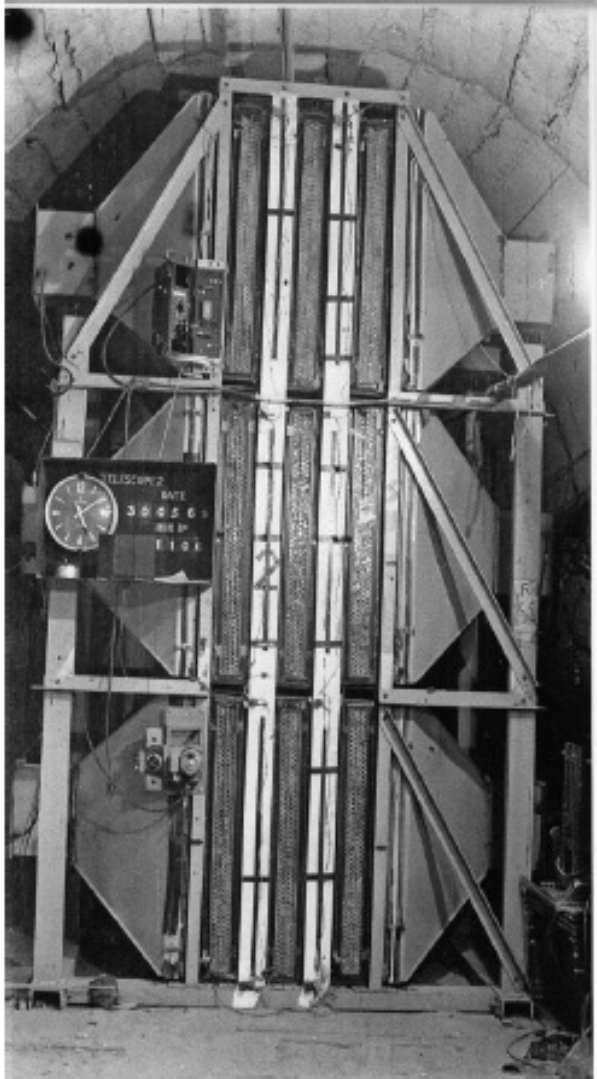


# Atmospheric Neutrinos



- Almost isotropic flux  
up-down symmetric
- Known flavor composition  
( $\nu_e$ ,  $\nu_\mu$ , and their antiparticles)
- Wide range of energies  
(GeV to PeV)
- Steeply falling power-law spectrum

# Detection of Atmospheric Neutrinos



Detector in  
Kolar Gold Fields

## DETECTION OF MUONS PRODUCED BY COSMIC RAY NEUTRINO DEEP UNDERGROUND

C. V. ACHAR, M. G. K. MENON, V. S. NARASIMHAM, P. V. RAMANA MURTHY  
and B. V. SREEKANTAN,

*Tata Institute of Fundamental Research, Colaba, Bombay*

K. HINOTANI and S. MIYAKE,  
*Osaka City University, Osaka, Japan*

D. R. CREED, J. L. OSBORNE, J. B. M. PATTISON and A. W. WOLFENDALE  
*University of Durham, Durham, U.K.*

Received 12 July 1965

Physics Letters 18, (1965) 196  
(15th Aug 1965)

## EVIDENCE FOR HIGH-ENERGY COSMIC-RAY NEUTRINO INTERACTIONS\*

F. Reines, M. F. Crouch, T. L. Jenkins, W. R. Kropp, H. S. Gurr, and G. R. Smith

*Case Institute of Technology, Cleveland, Ohio*

and

J. P. F. Sellschop and B. Meyer

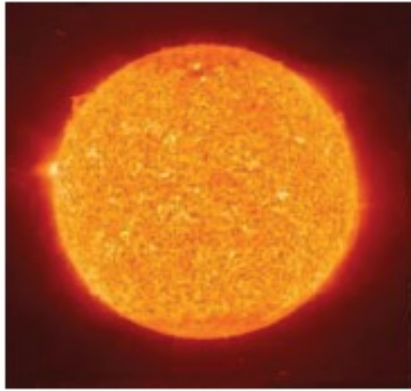
*University of the Witwatersrand, Johannesburg, Republic of South Africa*

(Received 26 July 1965)

PRL 15, (1965) 429  
(30th Aug 1965)

# Golden Age of Neutrino Physics (1998 – 2022 & Beyond)

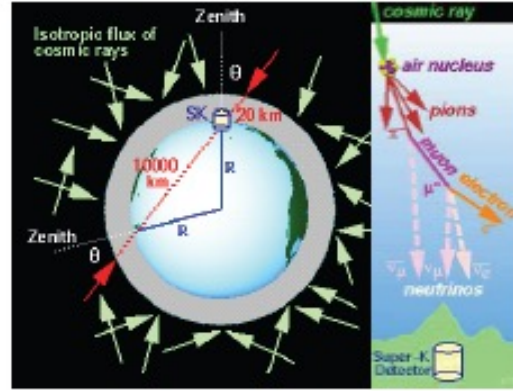
sun



reactors



atmosphere



accelerators



Homestake, SAGE, GALLEX  
SuperK, SNO, Borexino

KamLAND, CHOOZ  
Double Chooz, Daya Bay, RENO

SuperKamiokande  
IceCube, DeepCore

K2K, MINOS, T2K  
NOvA

*Over the last two decades or so, marvelous data from world-class experiments*

- Solar neutrinos ( $\nu_e$ )
- Atmospheric neutrinos ( $\nu_\mu, \bar{\nu}_\mu, \nu_e, \bar{\nu}_e$ )
- Reactor anti-neutrinos ( $\bar{\nu}_e$ )
- Accelerator neutrinos ( $\nu_\mu, \bar{\nu}_\mu$ )



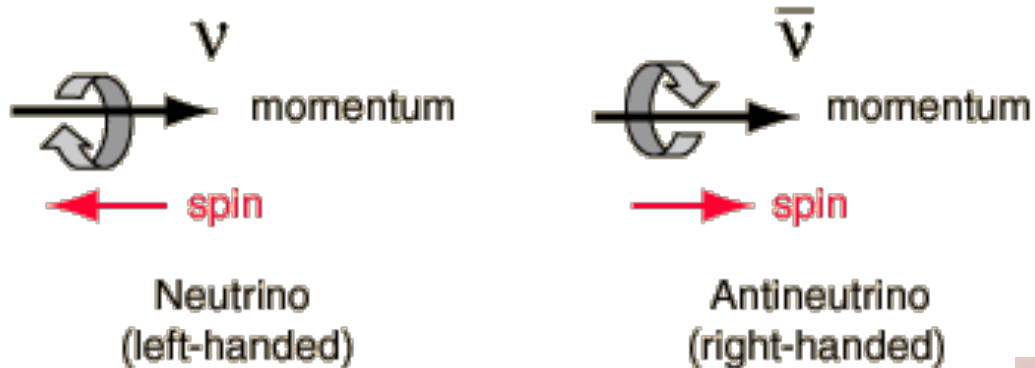
*Data from various neutrino sources and vastly different energy and distance scales*



*Neutrinos change their flavor as they move in space and time*

**We have just started our journey in the mysterious world of neutrinos**

# The Standard Model: Massless Neutrinos



Helicity is the projection of the spin onto the direction of momentum

- Only left-handed neutrinos
- No right-handed neutrinos
- No Dirac mass term:

$$m(\bar{\psi}_L\psi_R + \bar{\psi}_R\psi_L)$$

***Neutrinos are massless in the Basic SM***

- ❑ Over the past decade, marvelous data from world class neutrino experiments firmly established that they change flavor after propagating a finite distance
- ❑ Neutrino flavor change (oscillation) demands non-zero mass and mixing

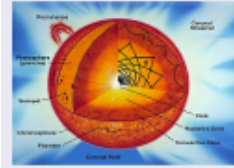
***Non-zero  $\nu$  mass: first experimental proof for physics beyond the Standard Model***

***!! An extension of the Standard Model is necessary !!***

## The Nobel Prize in Physics 2015



### Solar neutrino puzzle: 1960s – 2002

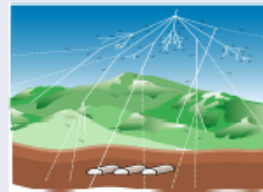


- Only about half the expected  $\nu_e$  observed!
- Possible solution:  $\nu_e$  change to  $\nu_\mu/\nu_\tau$

Arthur B. McDonald solved this puzzle at SNO



### Atmospheric neutrino puzzle: 1980s – 1998



- Half the  $\nu_\mu$  lost in the Earth!
- Possible solution:  $\nu_\mu$  change to  $\nu_\tau$

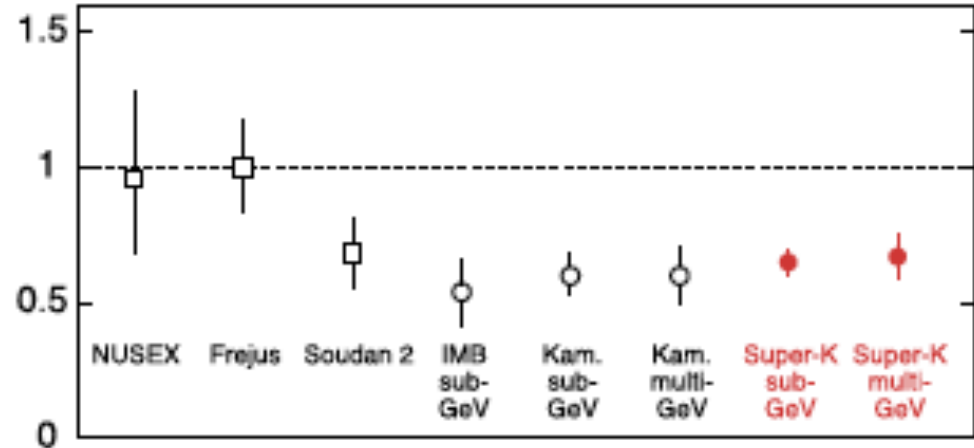
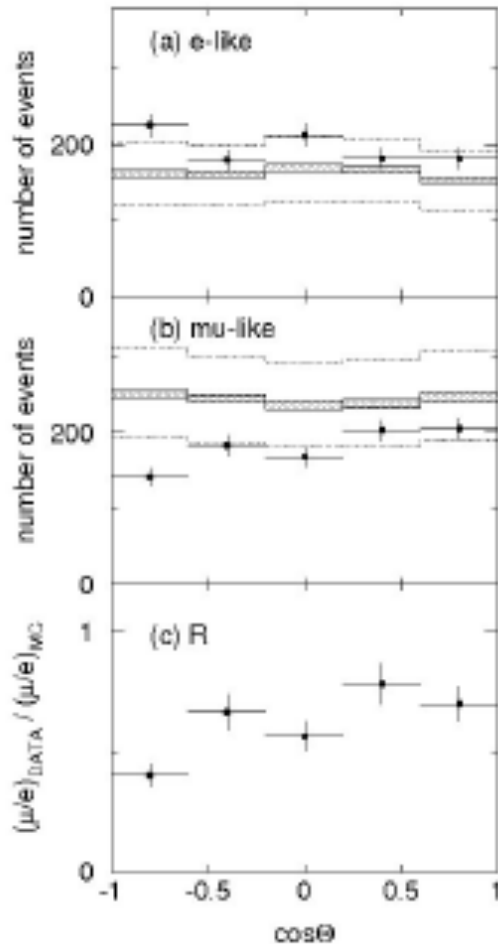
Takaaki Kajita solved this puzzle at Super-Kamiokande

**Neutrinos change their flavor → Neutrinos have mass**

# Atmospheric Neutrino Anomaly

## Super-Kamiokande

### Double ratio:



$$R = \frac{(N_\mu/N_e)_{data}}{(N_\mu/N_e)_{MC}}$$

- Expected  $R = 1$
- Observed  $R < 1$

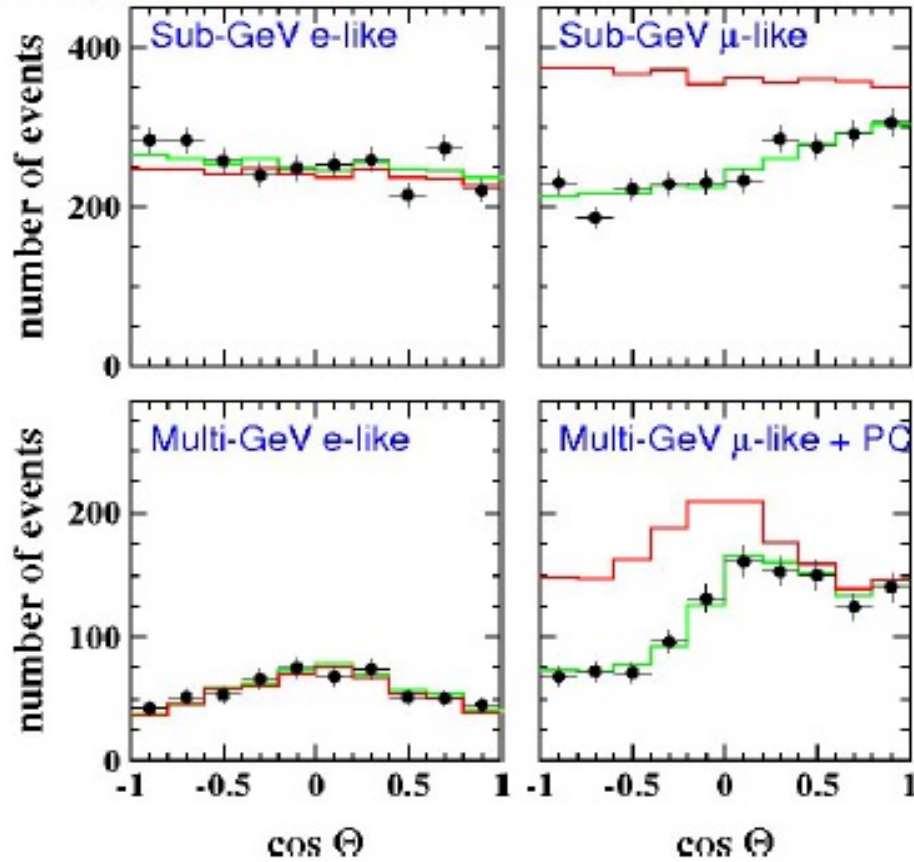
**Year 1988:**

**First results from Kamiokande  
on atmospheric neutrino anomaly**

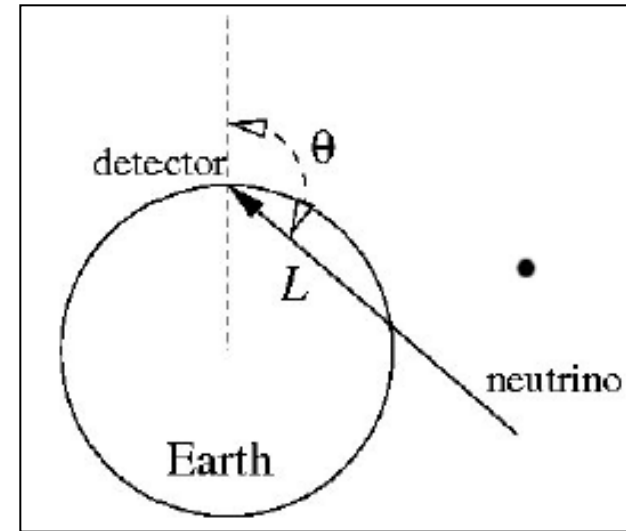
K.S. Hirata, et al., Experimental study of the atmospheric neutrino flux, Phys. Lett. B 205 (1988) 416.

# Atmospheric Neutrino Anomaly

## Superkamiokande:



## Zenith angle dependence



- Electron neutrinos match predictions
- High energy  $\nu_\mu$  from above: match predictions
- High energy  $\nu_\mu$  through the earth: partially lost
- Low energy  $\nu_\mu$ : lost even when coming from above, loss while passing through the Earth even greater

### Detection of the Free Neutrino: a Confirmation

C. L. Cowan, Jr., F. Reines, F. B. Harrison, H. W. Kruse, A. D. McGuire

A tentative identification of the free neutrino was made in an experiment performed at Hanford (1) in 1953. In that work the reaction



was employed wherein the intense neutron flux from fission-fragment decay in a large reactor was incident on a detector containing many target protons in a hydrogenous liquid scintillator. The reaction products were detected as a delayed pulse pair; the first pulse being due to the slowing down and annihilation of the positron and the second to capture of the moderated neutron in cadmium dissolved in the scintillator. To identify the observed signal as neutrino-induced, the energies of the two pulses, their time-delay spectrum, the dependence of the signal rate on reactor power, and its magnitude as compared with the predicted rate were used. The calculated effectiveness of the shielding employed, together with neutron measurements made with emulsions external to the shield, seemed to rule out reactor neutrons and gamma radiation as the cause of the signal. Although a high background was experienced due to both the reactor and to cosmic radiation, it was felt that an identification of the free neutrino had probably been made.

#### Design of the Experiment

To carry this work to a more definitive conclusion, a second experiment was designed (2), and the equipment was taken to the Savannah River Plant of the U.S. Atomic Energy Commission, where the

present work was done (3). This work confirms the results obtained at Hanford and so verifies the neutrino hypothesis suggested by Pauli (4) and incorporated in a quantitative theory of beta decay by Fermi (5).

In this experiment, a detailed check of each term of Eq. 1 was made using a detector consisting of a multiple-layer (club-sandwich) arrangement of scintillation counters and target tanks. This arrangement permits the observation of prompt spatial coincidences characteristic of positron annihilation radiation and of the multiple gamma ray burst due to neutron capture in cadmium as well as the delayed coincidences described in the first paragraph.

The three "bread" layers of the sandwich are scintillation detectors consisting of rectangular steel tanks containing a purified triethylbenzene solution of terphenyl and POPOP (6) in a chamber 2 feet thick, 6 feet 3 inches long, and 4 feet 6 inches wide. The tops and bottoms of these chambers are thin to low-energy gamma radiation. The tank interiors are painted white, and the solutions in the chambers are viewed by 110 5-inch Dumont photomultiplier tubes connected in parallel in each tank. The energy resolution of the detectors for gamma rays of 0.5 Mev is about 15 percent half-width at half-height.

The two "meat" layers of the sandwich serve as targets and consist of polyethylene boxes 3 inches thick and 6 feet 3 inches by 4 feet 6 inches on edge containing a water solution of cadmium chloride. This provides two essentially independent "triad" detectors, the central scintillation detector being common to

both triads. The detector was completely enclosed by a paraffin and lead shield and was located in an underground room of the reactor building which provides excellent shielding from both the reactor neutrons and gamma rays and from cosmic rays.

The signals from a bank of preamplifiers connected to the scintillation tanks were transmitted via coaxial lines to an electronic analyzing system in a trailer van parked outside the reactor building. Two independent sets of equipment were used to analyze and record the operation of the two triad detectors. Linear amplifiers fed the signals to pulse-height selection gates and coincidence circuits. When the required pulse amplitudes and coincidences (prompt and delayed) were satisfied, the sweeps of two triple-beam oscilloscopes were triggered, and the pulses from the complete event were recorded photographically. The three beams of both oscilloscopes recorded signals from their respective scintillation tanks independently. The oscilloscopes were thus operated in parallel but with different gains in order to cover the requisite pulse-amplitude range. All amplifier pulses were stored in long low-distortion delay lines awaiting electronic decision prior to this acceptance.

Manual analysis of the photographic record of an event then yielded the energy deposited in each tank of a triad by both the first and second pulses and the time-delay between the pulses. Using this system, various conditions could be placed on the pulses of the pair comprising an acceptable event. For example, acceptance of events with short time delays (over ranges up to 17 microseconds, depending on the cadmium concentration used) resulted in optimum signal-to-background ratios, while analysis of those events with longer time delays yielded relevant accidental background rates. Spectral analyses of pulses comprising events with short time delays were also made and compared with those with long delays.

This method of analysis was also employed to require various types of energy deposition in the two tanks of a triad. For instance, the second pulse of an event

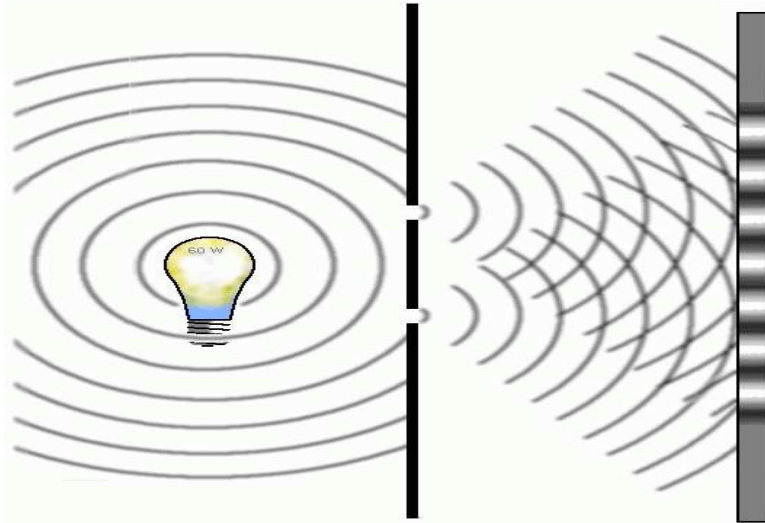
The authors are on the staff of the University of California, Los Alamos Scientific Laboratory, Los Alamos, N.M.





# Neutrino Flavor Oscillations

1957: Bruno Pontecorvo proposed **Neutrino Oscillations** in analogy with  $K^0 \leftrightarrow \bar{K}^0$  oscillations (Gell-Mann and Pais, 1955)



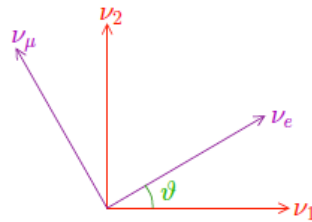
- **Neutrino oscillation: Quantum Mechanical interference phenomenon**
- **Like electrons in the double slit experiment**
- **In Neutrino Oscillation: Neutrino changes flavor as it propagates**
- **It happens if neutrinos have masses (non-degenerate) and they mix with each other**

# Neutrino Flavor Oscillations

- Flavor States :  $\nu_e$  and  $\nu_\mu$  (produced in Weak Interactions)
- Mass Eigenstates :  $\nu_1$  and  $\nu_2$  (propagate from Source to Detector)

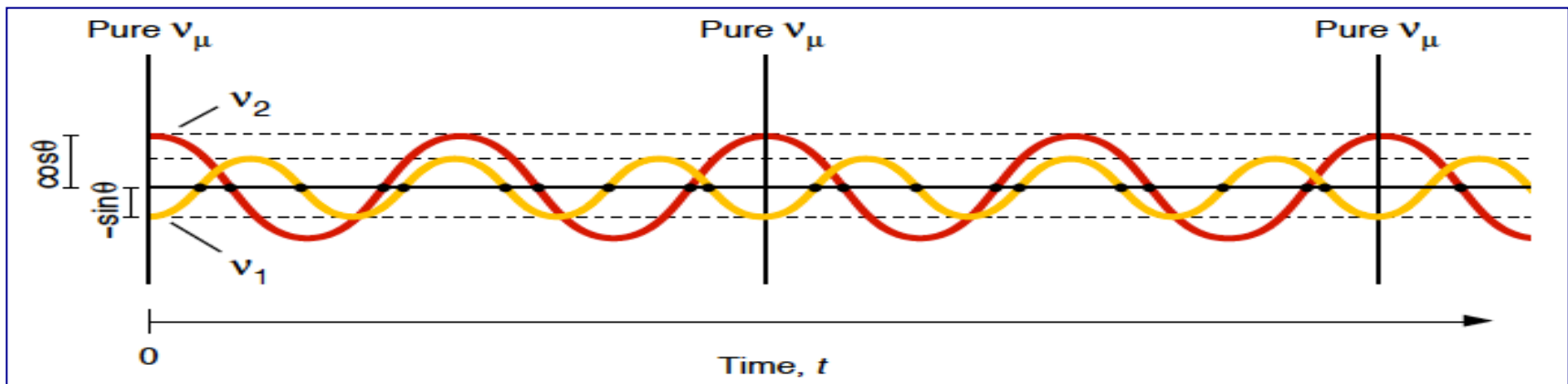
**A Flavor State is a linear superposition of Mass Eigenstates**

$$|\nu_\alpha\rangle = \sum_{k=1}^2 U_{\alpha k} |\nu_k\rangle \quad (\alpha = e, \mu)$$



$$U = \begin{pmatrix} \cos\vartheta & \sin\vartheta \\ -\sin\vartheta & \cos\vartheta \end{pmatrix}$$

$$\begin{aligned} |\nu_e\rangle &= \cos\vartheta |\nu_1\rangle + \sin\vartheta |\nu_2\rangle \\ |\nu_\mu\rangle &= -\sin\vartheta |\nu_1\rangle + \cos\vartheta |\nu_2\rangle \end{aligned}$$



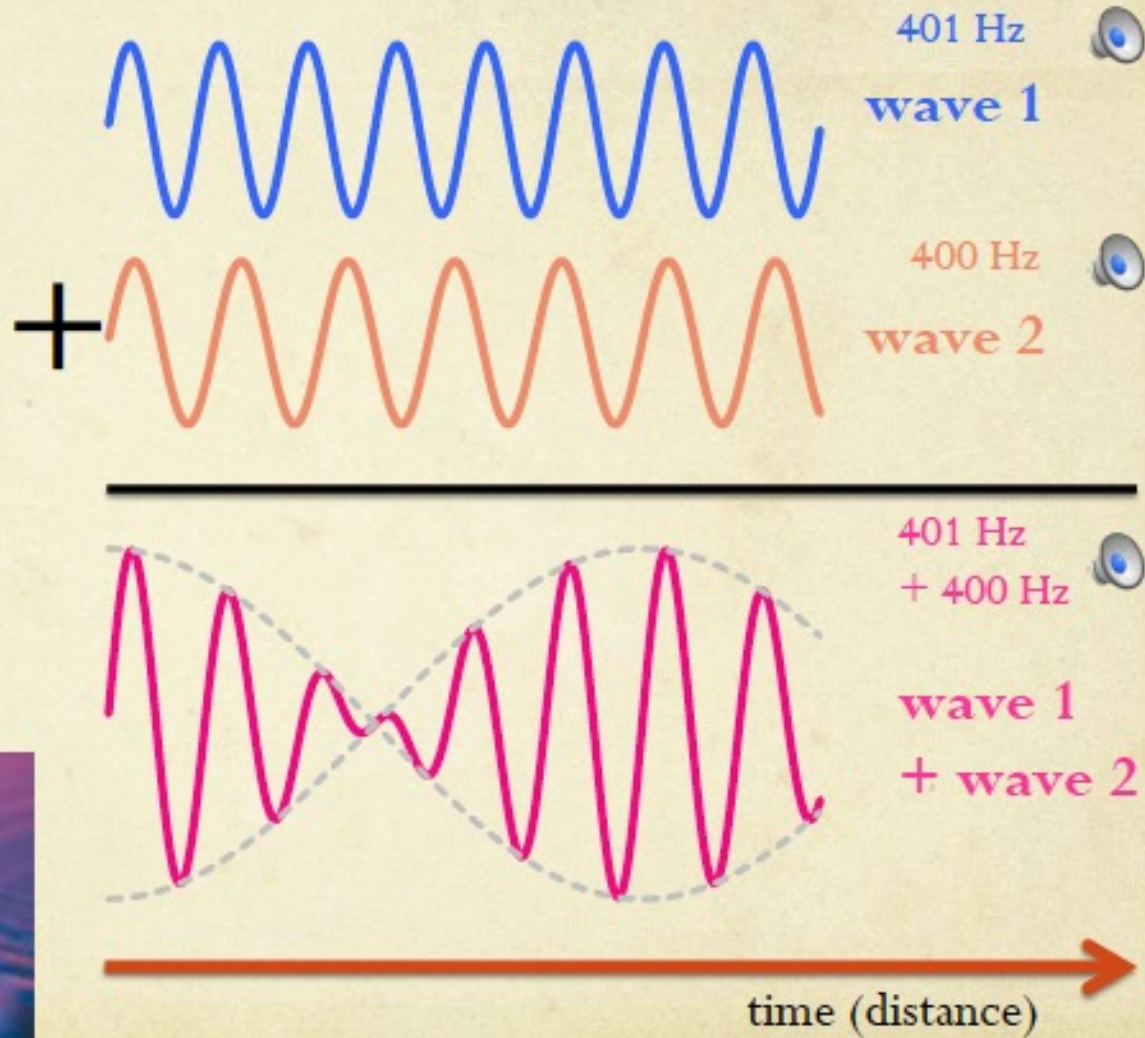
*If the masses of these two states are different, then they will take different times to reach the same point and there will be a phase difference and hence interference*

# Neutrino Flavor Oscillations

Quantum mechanics  
particle  $\leftrightarrow$  wave  
mass determines frequency

neutrinos ( $\nu_e, \nu_\mu, \nu_\tau$ ) are *actually*  
mixtures of multiple waves with  
*different frequencies* (different  
masses)...

These wave functions can  
*interfere* and *change* the  
neutrino's flavor composition



# Neutrino Mixing

Neutrino flavours  $\nu_e, \nu_\mu, \nu_\tau$  do not have fixed masses !!

For example,  $\nu_e$ - $\nu_\mu$  mixing:

$$\begin{aligned} \nu_2 &= -\nu_e \sin \theta + \nu_\mu \cos \theta \\ \nu_1 &= \nu_e \cos \theta + \nu_\mu \sin \theta \end{aligned}$$

$\cos^2 \theta$        $\sin^2 \theta$

- Only  $\nu_1$  and  $\nu_2$  have fixed masses  
(They are *eigenstates of energy / eigenstates of evolution*)
- Then, if you produce  $\nu_e$ , it may be observed as  $\nu_\mu$  !

# Effective Hamiltonian for a Single Neutrino

$$H = \sqrt{p^2 + m^2} \approx p + \frac{m^2}{2p} \approx p + \frac{m^2}{2E}$$

Schrödinger's equation:

$$i \frac{d}{dt} |\nu(t)\rangle = H |\nu(t)\rangle$$

Time evolution:

$$\begin{aligned} |\nu(t)\rangle &= |\nu(0)\rangle e^{-iHt} \\ &= |\nu(0)\rangle e^{-ipt} e^{-i \frac{m^2}{2E} t} \end{aligned}$$

- Simple for a mass eigenstate with fixed momentum !

# Time Evolution for a Flavor Eigenstate

- Initial flavour state  $|\nu_\alpha\rangle$ :

$$|\nu_\alpha\rangle = \cos\theta|\nu_1\rangle + \sin\theta|\nu_2\rangle$$

- State after time  $t$ :

$$|\nu_\alpha(t)\rangle = \cos\theta|\nu_1\rangle e^{-ipt} e^{-i\frac{m_1^2}{2E}t} + \sin\theta|\nu_2\rangle e^{-ipt} e^{-i\frac{m_2^2}{2E}t}$$

- “Survival” probability of finding the flavour  $|\nu_\alpha\rangle$  at time  $t$ :

$$P(\nu_\alpha \rightarrow \nu_\alpha) = |\langle\nu_\alpha|\nu_\alpha(t)\rangle|^2$$

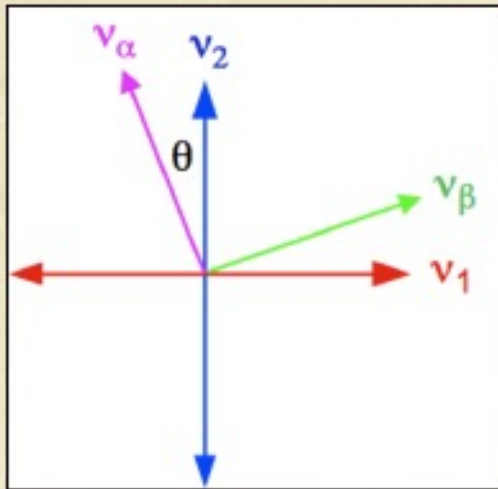
Vacuum oscillations:

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

$$\Delta m^2 \equiv m_2^2 - m_1^2$$

(In Natural units, where  $c = 1 = \hbar$ )

# Two Neutrino Mixing



standard 2D  
rotation

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos \theta_{ij} & \sin \theta_{ij} \\ -\sin \theta_{ij} & \cos \theta_{ij} \end{pmatrix} \begin{pmatrix} \nu_i \\ \nu_j \end{pmatrix}$$

$$\Delta m_{ij}^2 \equiv m_j^2 - m_i^2$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta_{ij} * \sin^2 \left( 1.27 \Delta m_{ij}^2 \frac{L}{E} \right)$$

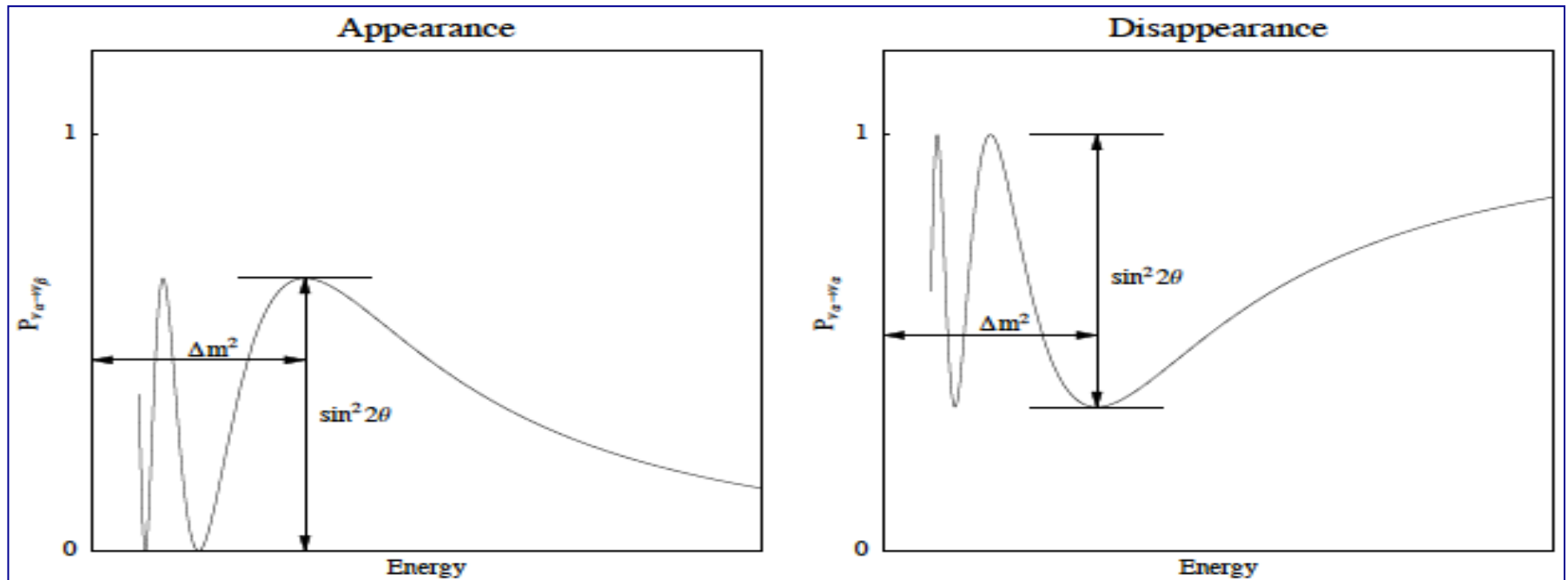
The angle  $\theta$  is the level of mixing and therefore sets the amplitude of the oscillation

$\Delta m^2$  determines the shape of the oscillation as a function of L (or E)

2 experimental quantities  
E = neutrino energy  
L = distance traveled

t

# Oscillation Probabilities in 2 Flavors



$$P_{\nu_\alpha \rightarrow \nu_\beta} = \sin^2 2\theta \sin^2\left(1.27\Delta m^2 \frac{L}{E}\right)$$

$$P_{\nu_\alpha \rightarrow \nu_\alpha} = 1 - \sin^2 2\theta \sin^2\left(1.27\Delta m^2 \frac{L}{E}\right)$$

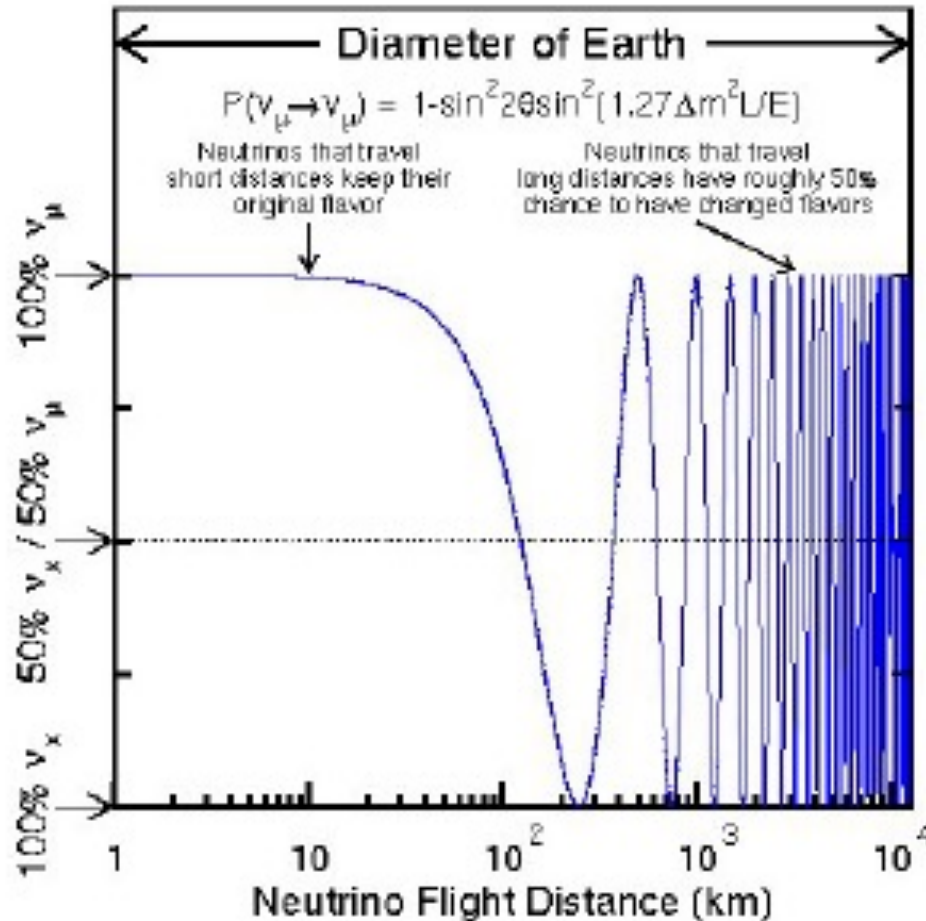
$\Delta m^2$  is in  $\text{eV}^2$ ,  $L$  is in m (km) and  $E$  in MeV (GeV)

$$\lambda = 2.47\text{km} \left(\frac{E}{\text{GeV}}\right) \left(\frac{\text{eV}^2}{\Delta m^2}\right) \Rightarrow \text{oscillation length}$$

**Neutrino oscillations** only sensitive to mass squared difference  
but not to the absolute neutrino mass scale



# Neutrino oscillation as a function of distance travelled



- More neutrinos 'lost' when  $\cos(\Theta) < 0$

( $\Theta$  : angle made with the zenith)

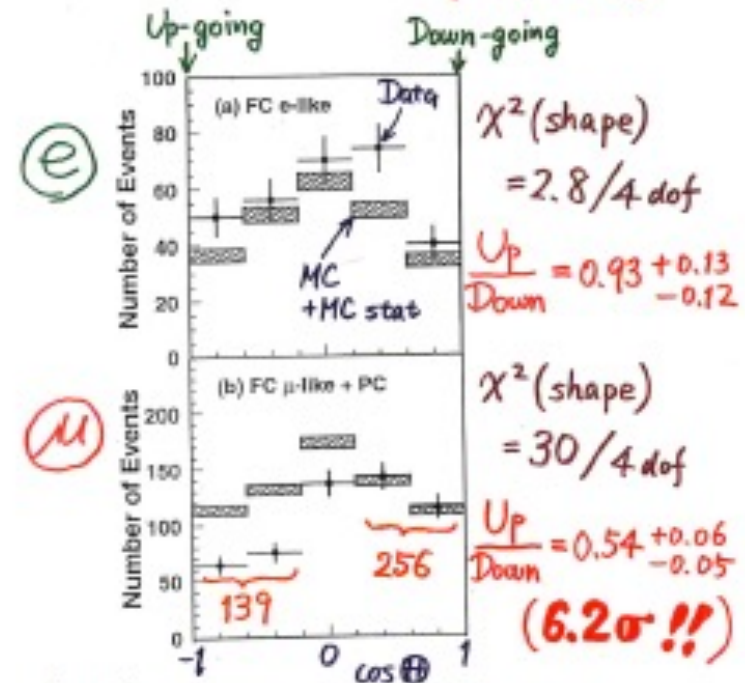
$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta \sin^2 \left( 1.27 \frac{\Delta m^2 (\text{eV}^2) L (\text{km})}{E (\text{GeV})} \right)$$

# Solution to the Atmospheric Neutrino Anomaly



- Indeed more  $\nu_\mu$  travelling through the Earth are lost
- The zenith angle dependence fits the form of the probability expressions exactly
- **Neutrino oscillation hypothesis proved !**

## Zenith angle dependence (Multi-GeV)



\* Up/Down syst. error for  $\mu$ -like

Prediction (flux calculation .....  $\leq 1\%$   
1km rock above SK --- 1.5%) 1.8%

Data (Energy calib. for  $\uparrow\downarrow$  ..... 0.7%  
Non  $\nu$  Background ..... < 2%) 2.1%

# Three-Flavor Neutrino Oscillation Framework: Simple & Robust

It happens because flavor (weak) eigenstates do not coincide with mass eigenstates

$$c_{ij} = \cos \theta_{ij} \text{ and } s_{ij} = \sin \theta_{ij}$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$\theta_{23}$  :  $P(\nu_\mu \rightarrow \nu_\mu)$  by Atoms.  $\nu$  and  $\nu$  beam  
 $\theta_{13}$  :  $P(\nu_e \rightarrow \nu_e)$  by Reactor  $\nu$   
 $\theta_{13}$  &  $\delta$  :  $P(\nu_\mu \rightarrow \nu_e)$  by  $\nu$  beam  
 $\theta_{12}$  :  $P(\nu_e \rightarrow \nu_e)$  by Reactor and solar  $\nu$

$$L/E = 500 \text{ km/GeV}$$

$$\Delta m_{31}^2 \sim 2.5 \times 10^{-3} \text{ eV}^2$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta_{ij} * \sin^2 \left( 1.27 \Delta m_{ij}^2 \frac{L}{E} \right)$$

$$L/E = 15,000 \text{ km/GeV}$$

$$\Delta m_{21}^2 \sim 7.6 \times 10^{-5} \text{ eV}^2$$

Three mixing angles:  $\theta_{23}, \theta_{13}, \theta_{12}$  and one CP-violating (Dirac) phase  $\delta_{CP}$

$$\tan^2 \theta_{12} \equiv \frac{|U_{e2}|^2}{|U_{e1}|^2}; \quad \tan^2 \theta_{23} \equiv \frac{|U_{\mu 3}|^2}{|U_{\tau 3}|^2}; \quad U_{e3} \equiv \sin \theta_{13} e^{-i\delta}$$

3 mixing angles simply related to flavor components of 3 mass eigenstates

Over a distance L, changes in the relative phases of the mass states may induce flavor change

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re}[U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*] \sin^2 \Delta_{ij} - 2 \sum_{i>j} \text{Im}[U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*] \sin 2\Delta_{ij}$$

$$\Delta_{ij} = \Delta m_{ij}^2 L / 4E_\nu$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

for antineutrinos replace  $\delta_{CP}$  by  $-\delta_{CP}$

# Three-Flavor Neutrino Oscillations

$$\begin{bmatrix} \text{Yellow} \\ \text{Orange} \\ \text{Red} \end{bmatrix} = R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12}) \begin{bmatrix} \text{Yellow} \\ \text{Orange} \\ \text{Red} \end{bmatrix}$$

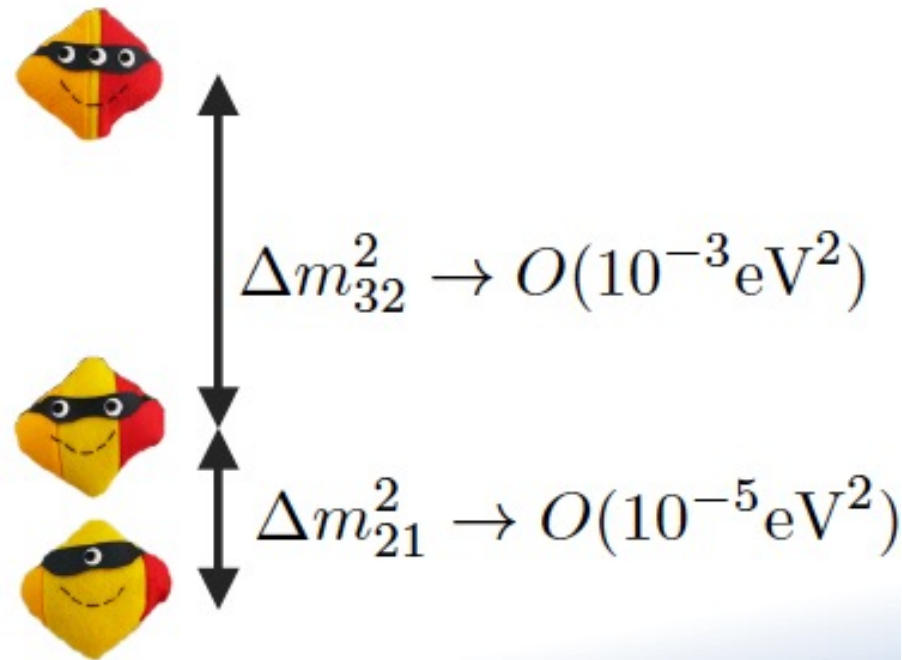
- Oscillations among the three neutrino flavors depend on:

- The mixing matrix

- $\theta_{23}, \theta_{13}, \delta_{CP}, \theta_{12}$

- The mass differences

- $\Delta m^2_{32}, \Delta m^2_{21}$



# Some Things We Know and Don't Know

Three neutrino mixing firmly established...

$$\theta_{12} \approx 34^\circ$$

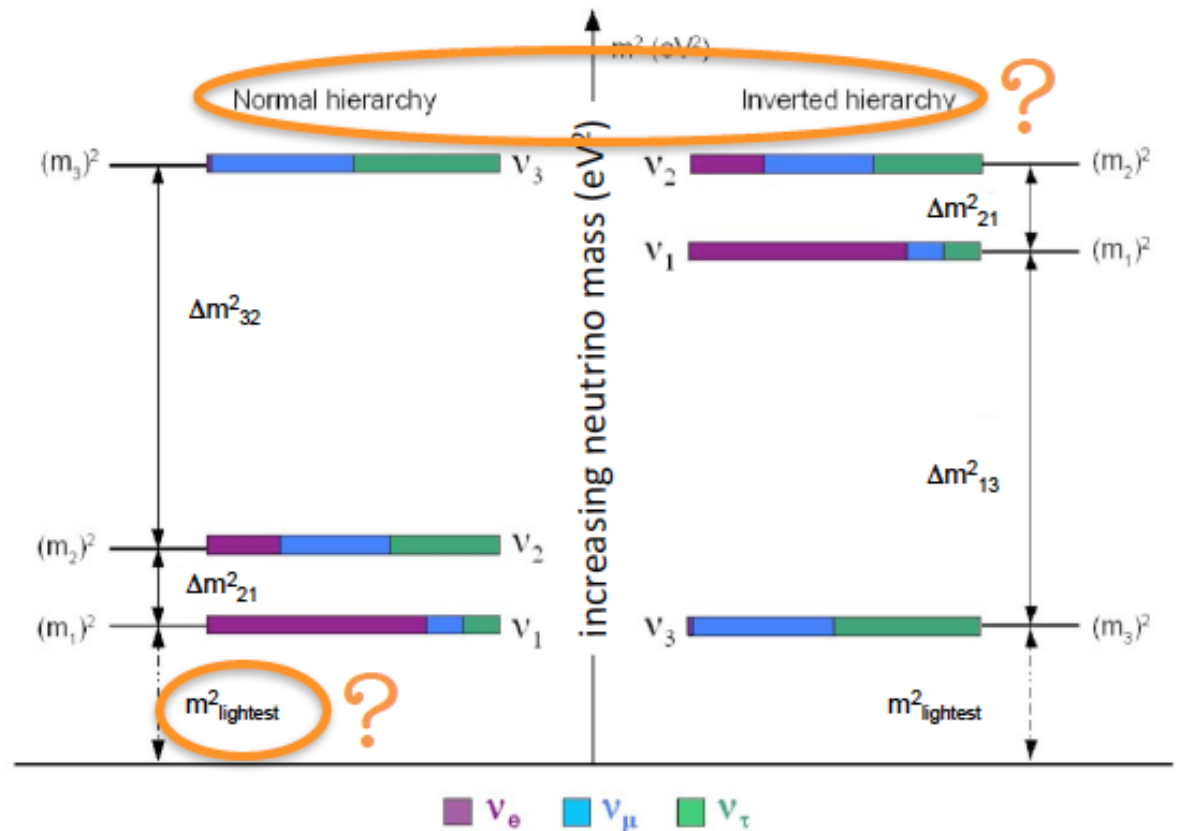
$$\theta_{23} \approx 45^\circ$$

$$\theta_{13} \approx 9^\circ$$

$$\Delta m_{21}^2 \approx 7.5 \times 10^{-5} eV^2$$

$$|\Delta m_{31}^2| \approx 2.4 \times 10^{-3} eV^2$$

$$\delta_{CP} = ?$$



flavor content of the mass eigenstates determined by mixing matrix elements (mixing angles) that are measured experimentally

# *Neutrino Oscillations in Matter: MSW Effect*

- The MSW Effect (Wolfenstein, 1978; Mikheyev and Smirnov, 1985)
- Matter can change the pattern of neutrino oscillations significantly
- Resonant enhancement of oscillations and resonant flavor conversion possible
- Responsible for the flavor conversion of solar neutrinos (LMA MSW solution established)



Lincoln Wolfenstein



Stanislav Mikheyev

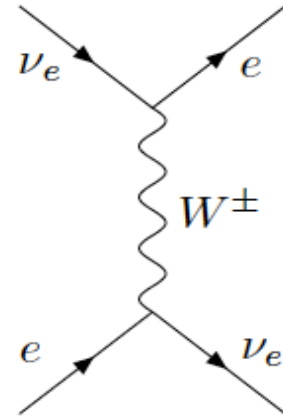


Alexei Smirnov

# Neutrino Oscillations in Matter: MSW Effect

Neutrino propagation through matter modify the oscillations significantly

Coherent forward scattering of neutrinos with matter particles



Charged current interaction of  $\nu_e$  with electrons creates an extra potential for  $\nu_e$

MSW matter term:  $A = \pm 2\sqrt{2}G_F N_e E$  or  $A(\text{eV}^2) = 0.76 \times 10^{-4} \rho (\text{g/cc}) E(\text{GeV})$

$N_e$  = electron number density, + (-) for **neutrinos** (**antineutrinos**),  $\rho$  = matter density in Earth

**Matter term changes sign when we switch from neutrino to antineutrino**

$P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \neq 0 \implies$  even if  $\delta_{CP} = 0$ , causes fake CP asymmetry

Matter term modifies oscillation probability differently depending on the sign of  $\Delta m^2$

$\Delta m^2 \simeq A \iff E_{\text{res}}^{\text{Earth}} = 6 - 8 \text{ GeV} \implies$  Resonant conversion – Matter effect

	$\nu$	$\bar{\nu}$
$\Delta m^2 > 0$	MSW	-
$\Delta m^2 < 0$	-	MSW

$\implies$  Resonance occurs for **neutrinos** (**antineutrinos**) if  $\Delta m^2$  is **positive** (**negative**)

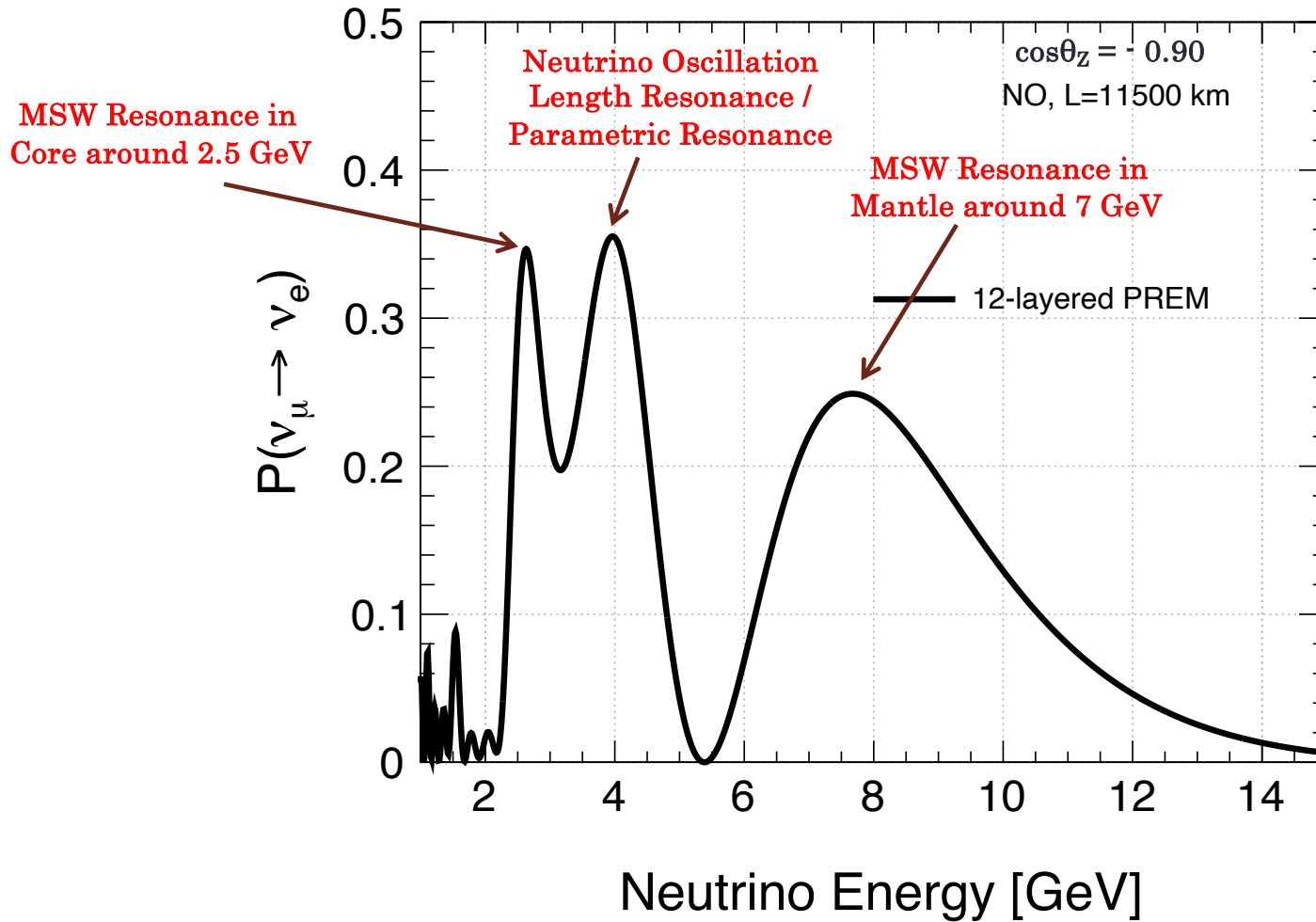
# *Neutrino Oscillation Length Resonance / Parametric Resonance*

- Oscillations of atmospheric neutrinos inside the Earth can feel this resonance when neutrino trajectories cross the core of the Earth
- The probabilities of  $\nu$  flavor transitions can be strongly enhanced if the oscillation phase undergoes certain modification in matter
- This can happen if the variation of the matter density along the neutrino path is correlated in a certain way with the change of the oscillation phase
- This amplification of the neutrino oscillation probability in matter due to specific phase relationships has an interesting property that it can accumulate if the matter density profile along the neutrino path repeats itself (periodic)

**Petcov 1998, Liu and Smirnov 1998, Akhmedov 1998**

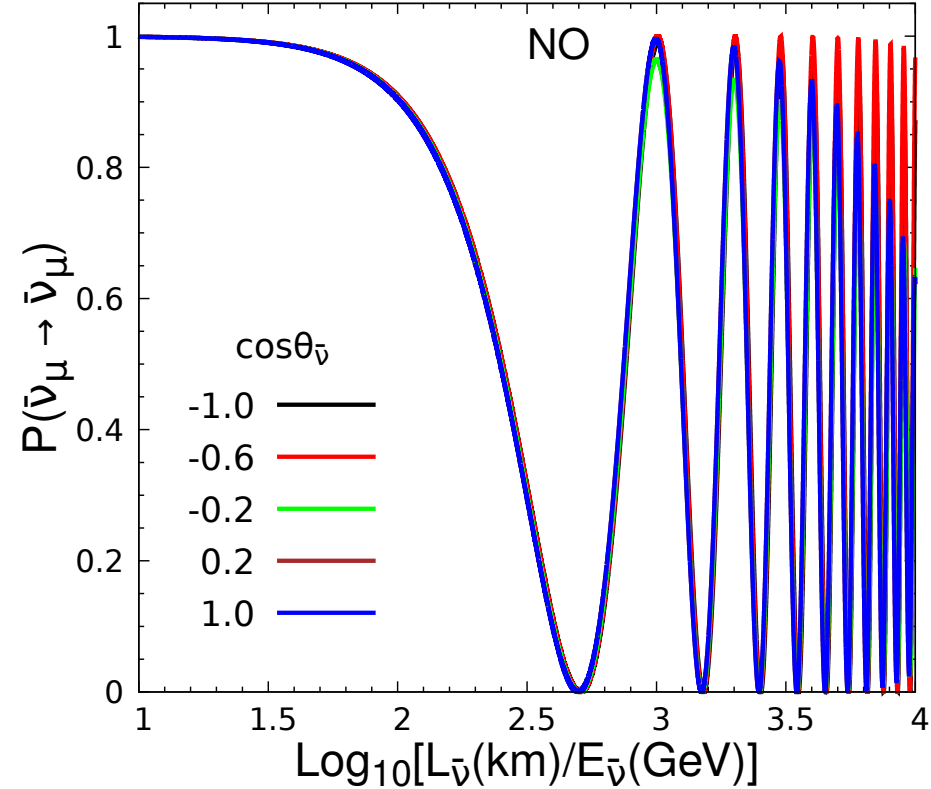
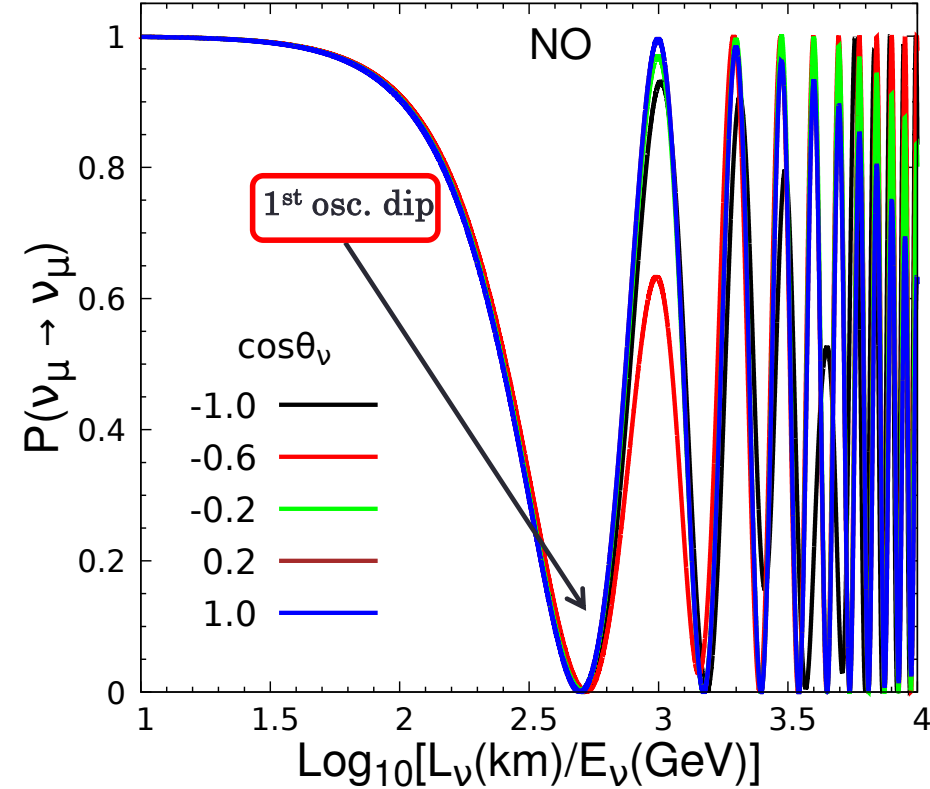


# The Resonances inside the Earth



$$E_{\text{res}} \equiv \frac{\Delta m_{31}^2 \cos 2\theta_{13}}{2\sqrt{2}G_F N_e} \simeq 7 \text{ GeV} \left( \frac{4.5 \text{ g/cm}^3}{\rho} \right) \left( \frac{\Delta m_{31}^2}{2.4 \times 10^{-3} \text{ eV}^2} \right) \cos 2\theta_{13}$$

# Oscillation Dip



location of 1<sup>st</sup> oscillation dip → consider muon survival probability in 2-flavor oscillations

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_{23} \cdot \sin^2 \left( 1.27 \cdot |\Delta m_{32}^2| (\text{eV}^2) \cdot \frac{L_\nu (\text{km})}{E_\nu (\text{GeV})} \right)$$

$$\theta = 45^\circ$$

$$\Delta m^2 = 2.4 \times 10^{-3} \text{ eV}^2$$

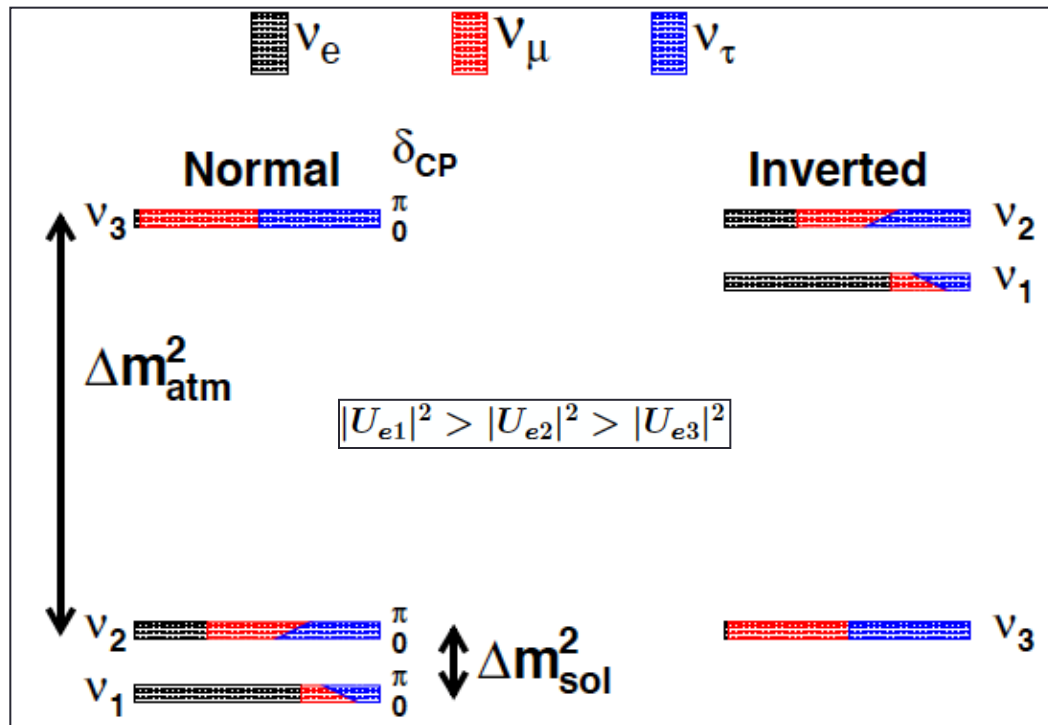
$$\frac{1.27 \Delta m^2 L}{E} = \frac{\pi}{2}$$

$$\frac{L}{E} = \frac{\pi}{2 \times 1.27 \times \Delta m^2} = 515.35$$

$$\log_{10} \left( \frac{L}{E} \right) = 2.71$$

# Neutrino Mass Ordering: Important Open Question

■ The sign of  $\Delta m_{31}^2$  ( $m_3^2 - m_1^2$ ) is not known



Neutrino mass spectrum can be normal or inverted ordered

We only have a lower bound on the mass of the heaviest neutrino

$$\sqrt{2.5 \cdot 10^{-3} \text{eV}^2} \sim 0.05 \text{ eV}$$

We currently do not know which neutrino is the heaviest

$$v_e \text{ component of } v_1 > v_e \text{ component of } v_2 > v_e \text{ component of } v_3$$

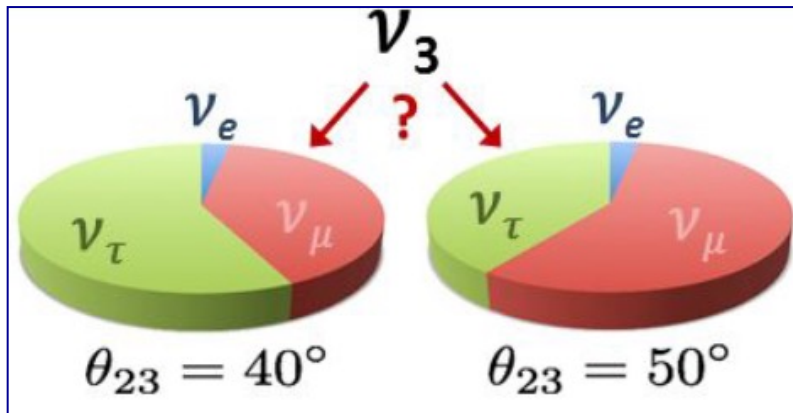
Matter effect inside the Sun played an important role to fix the ordering between  $m_2$  &  $m_1$

Matter effect inside the Earth will play a crucial role to fix the ordering between  $m_3$  &  $m_1$

**Mass Ordering Discrimination : A Binary yes-or-no type question**

# Octant of 2-3 Mixing Angle: Important Open Question

- In  $\nu_\mu$  survival probability, the dominant term is mainly sensitive to  $\sin^2 2\theta_{23}$
- If  $\sin^2 2\theta_{23}$  differs from 1 (recent hints), we get two solutions for  $\theta_{23}$ 
  - One in lower octant (LO:  $\theta_{23} < 45$  degree)
  - Other in higher octant (HO:  $\theta_{23} > 45$  degree)



**Octant ambiguity of  $\theta_{23}$**

Fogli and Lisi, hep-ph/9604415

$\nu_\mu \rightarrow \nu_e$  oscillation channel can break this degeneracy

Preferred value would depend on the choice of neutrino mass ordering

## Is CP violated in the neutrino sector, as in the quark sector?

Mixing can cause CPV in neutrino sector, provided  $\delta_{CP} \neq 0^\circ$  and  $180^\circ$

Need to measure the CP-odd asymmetries:

$$\Delta P_{\alpha\beta} \equiv P(\nu_\alpha \rightarrow \nu_\beta; L) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta; L) \quad (\alpha \neq \beta)$$

$$\Delta P_{e\mu} = \Delta P_{\mu\tau} = \Delta P_{\tau e} = 4J_{CP} \times \left[ \sin\left(\frac{\Delta m_{21}^2 L}{2E}\right) + \sin\left(\frac{\Delta m_{32}^2 L}{2E}\right) + \sin\left(\frac{\Delta m_{13}^2 L}{2E}\right) \right]$$

$$\text{Jarlskog CP-odd Invariant} \rightarrow J_{CP} = \frac{1}{8} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \sin \delta_{CP}$$

Three-flavor effects are key for CPV, need to observe interference

- Conditions for observing CPV:
- 1) Non-degenerate masses ✓
  - 2) Mixing angles  $\neq 0^\circ$  &  $90^\circ$  ✓
  - 3)  $\delta_{CP} \neq 0^\circ$  and  $180^\circ$  (Hints)

## Five irreducible CP-Violating Phases in the $\nu$ Standard Model

### In the Quark Sector:

- + The CP-odd phase in the CKM matrix - measured to be  $\gamma \simeq 70^\circ$ 
  - Governs all the CP-violating phenomena observed so far
- + The strong CP-phase  $\theta$  of the QCD Vacuum
  - Known to be vanishingly small  $< 10^{-10}$

### In the Lepton Sector:

- + The Dirac CP-odd phase  $\delta_{CP}$  in the  $3 \times 3$  unitary  $\nu$  mixing matrix
  - Can be measured in  $\nu$  oscillation experiments (**hints**)
- + The Majorana neutrinos can have two more CP-violating phases
  - No effect in  $\nu$  oscillations, only affect LNV processes (**unknown**)

The CKM CP phase is not responsible for the baryon asymmetry of the Universe

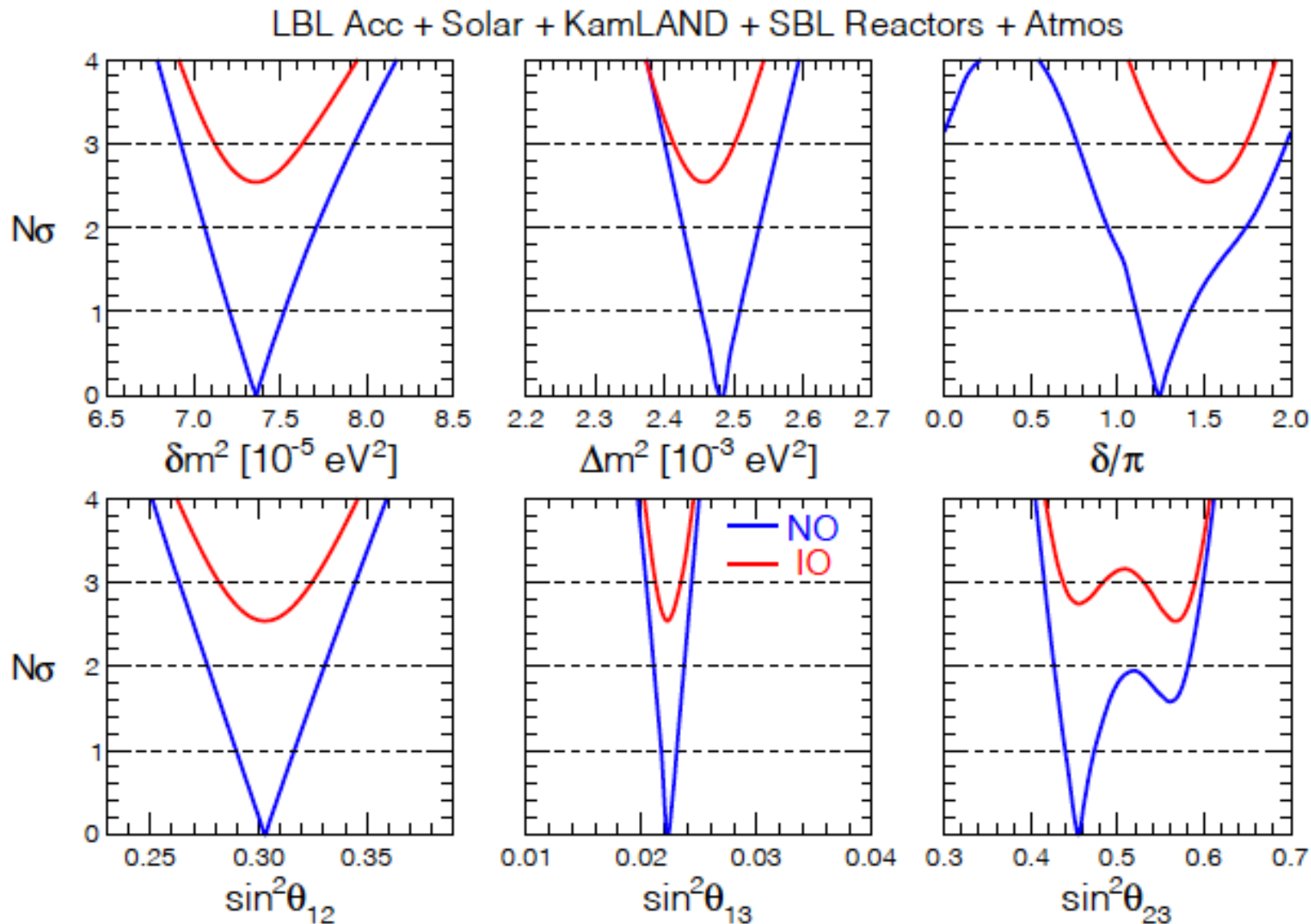
The PMNS CP phase is the only hope

The discovery of non-zero CP-violating phase  $\delta_{CP}$  in neutrino oscillation experiments would be a strong indication (even if not a proof) of leptogenesis as the origin of the baryon asymmetry of the Universe

The determination of CP violation requires the full interplay of 3-flavor effects in neutrino oscillations

# Global Fit of Neutrino Oscillation Parameters Circa 2022

Preference for Normal Mass Ordering ( $\sim 2.5\sigma$ ),  $\theta_{23} < 45$  degree and  $\sin\delta < 0$  (both at 90% C.L.)



Capozzi, Valentino, Lisi, Marrone, Melchiorri, Palazzo, arXiv:2107.00532v2 [hep-ph]



# Present Status of Neutrino Oscillation Parameters Circa 2022

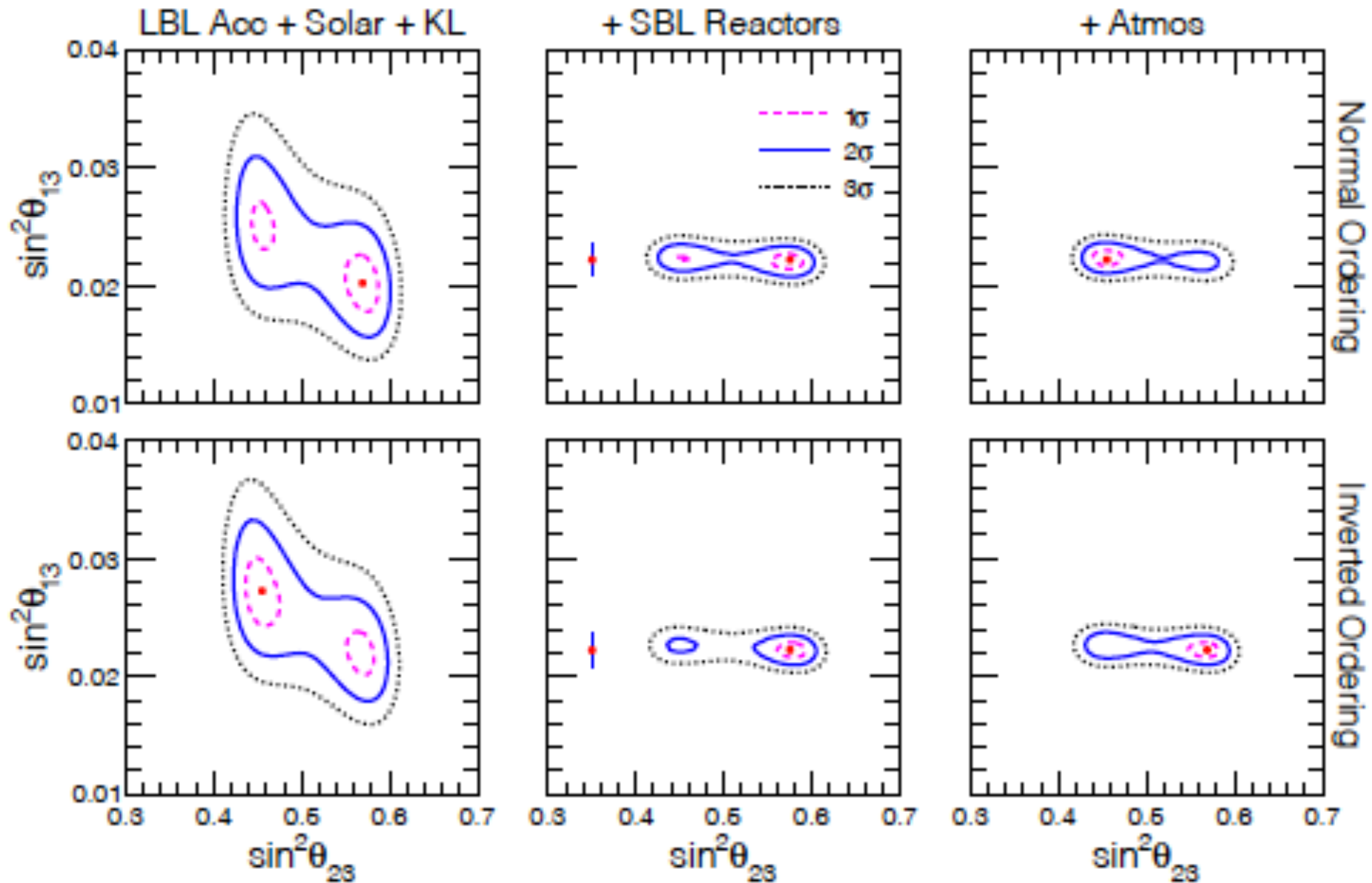
Parameter	Ordering	Best fit	$3\sigma$ range	" $1\sigma$ " (%)
$\delta m^2/10^{-5} \text{ eV}^2$	NO, IO	7.36	6.93 – 7.93	2.3
$\sin^2 \theta_{12}/10^{-1}$	NO, IO	3.03	2.63 – 3.45	4.5
$ \Delta m^2 /10^{-3} \text{ eV}^2$	NO	2.485	2.401 – 2.565	1.1
	IO	2.455	2.376 – 2.541	1.1
$\sin^2 \theta_{13}/10^{-2}$	NO	2.23	2.04 – 2.44	3.0
	IO	2.23	2.03 – 2.45	3.1
$\sin^2 \theta_{23}/10^{-1}$	NO	4.55	4.16 – 5.99	6.7
	IO	5.69	4.17 – 6.06	5.5
$\delta/\pi$	NO	1.24	0.77 – 1.97	16
	IO	1.52	1.07 – 1.90	9
$\Delta\chi_{\text{IO-NO}}^2$	IO-NO	+6.5		

Capozzi, Valentino, Lisi, Marrone, Melchiorri, Palazzo, arXiv:2107.00532v1 [hep-ph]

See also, Esteban, Gonzalez-Garcia, Maltoni, Schwetz, Zhou, arXiv:2007.14792v1 [hep-ph]

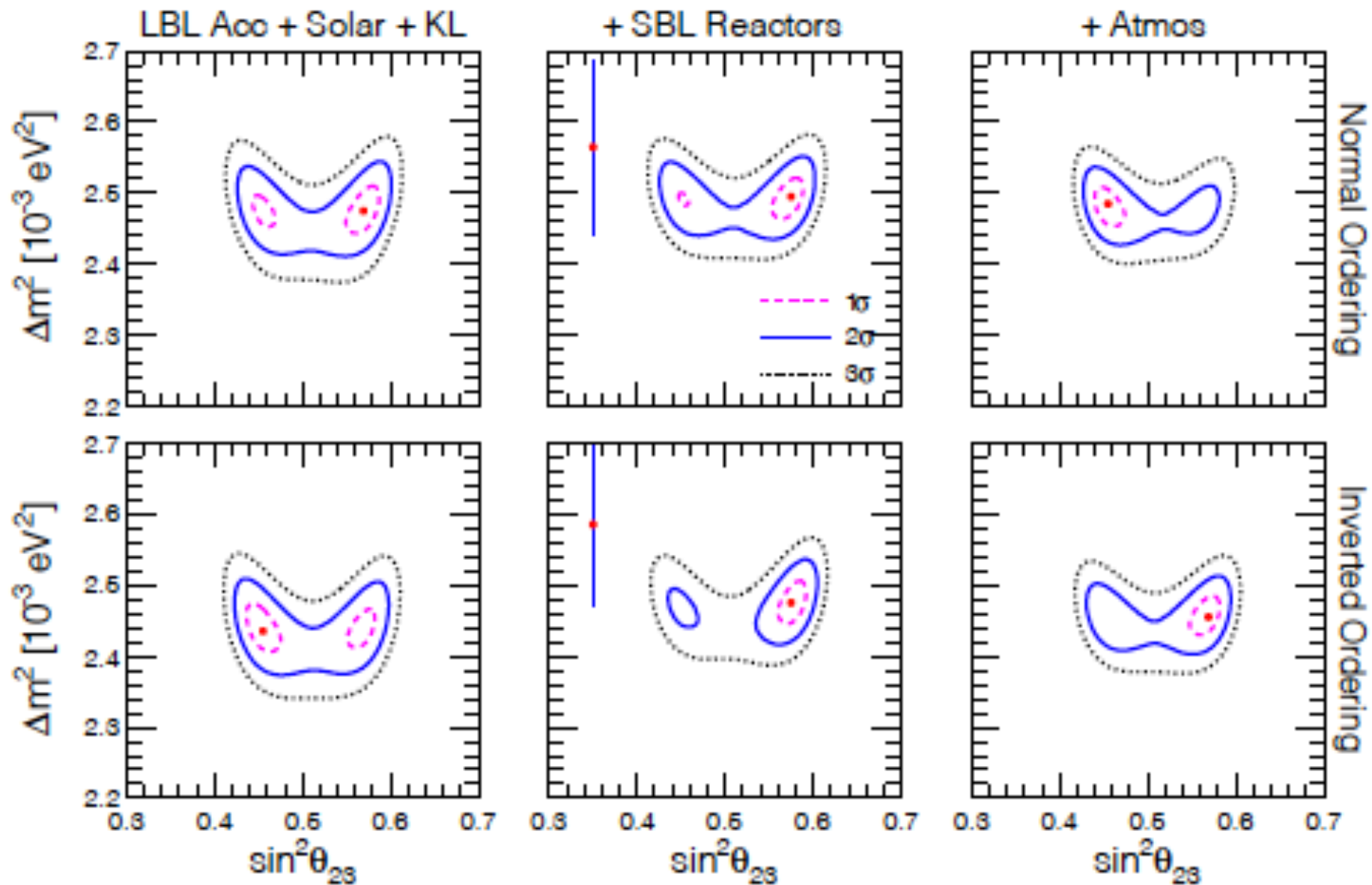
See also, de Salas, Forero, Gariazzo, Martinez-Mirave, Mena, Ternes, Tortolla, Valle, arXiv:2006.11237v2 [hep-ph]

# Global Fit of Neutrino Oscillation Parameters Circa 2021



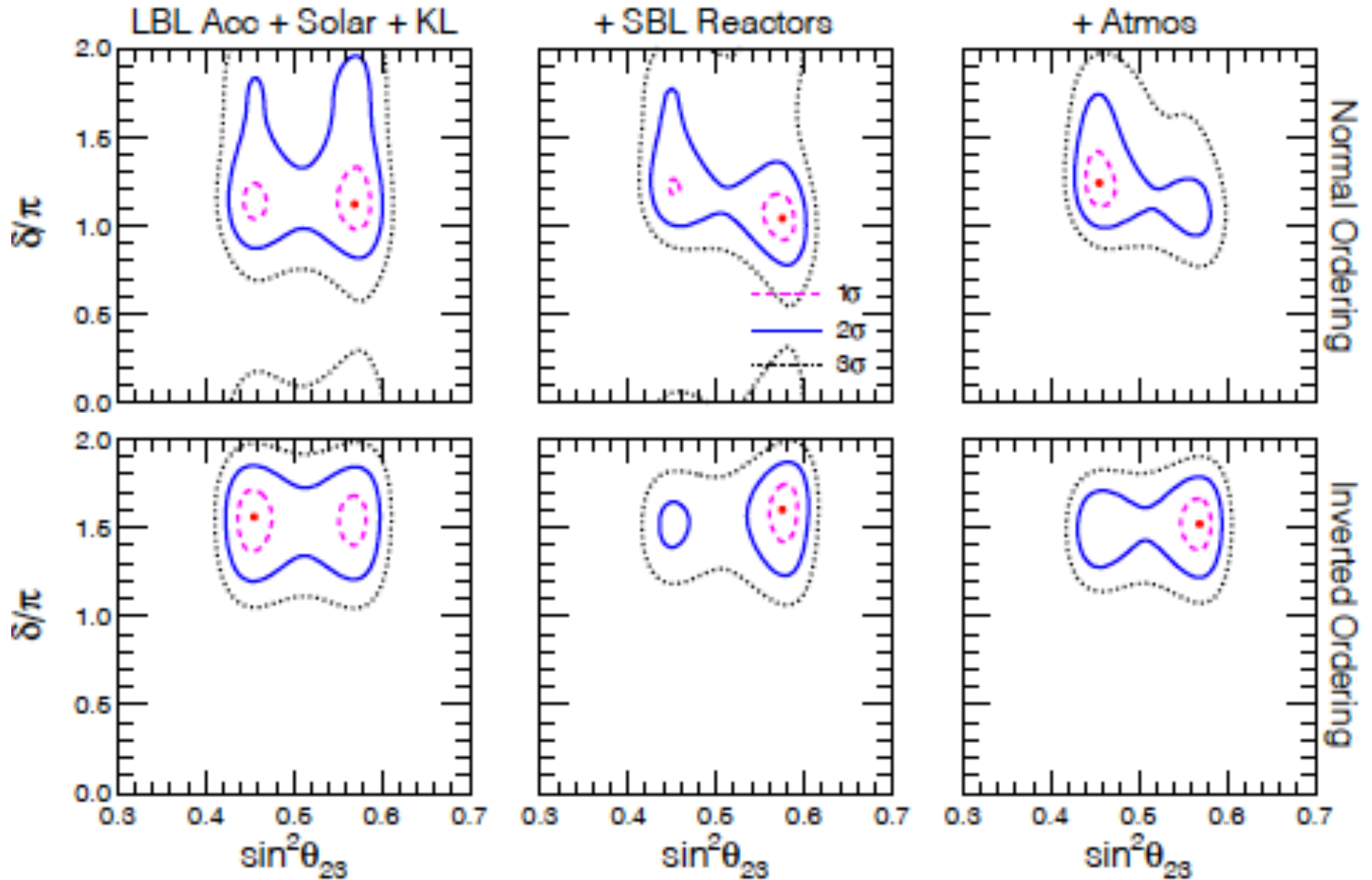
Capozzi, Valentino, Lisi, Marrone, Melchiorri, Palazzo, arXiv:2107.00532v1 [hep-ph]

# Global Fit of Neutrino Oscillation Parameters Circa 2021



Capozzi, Valentino, Lisi, Marrone, Melchiorri, Palazzo, arXiv:2107.00532v1 [hep-ph]

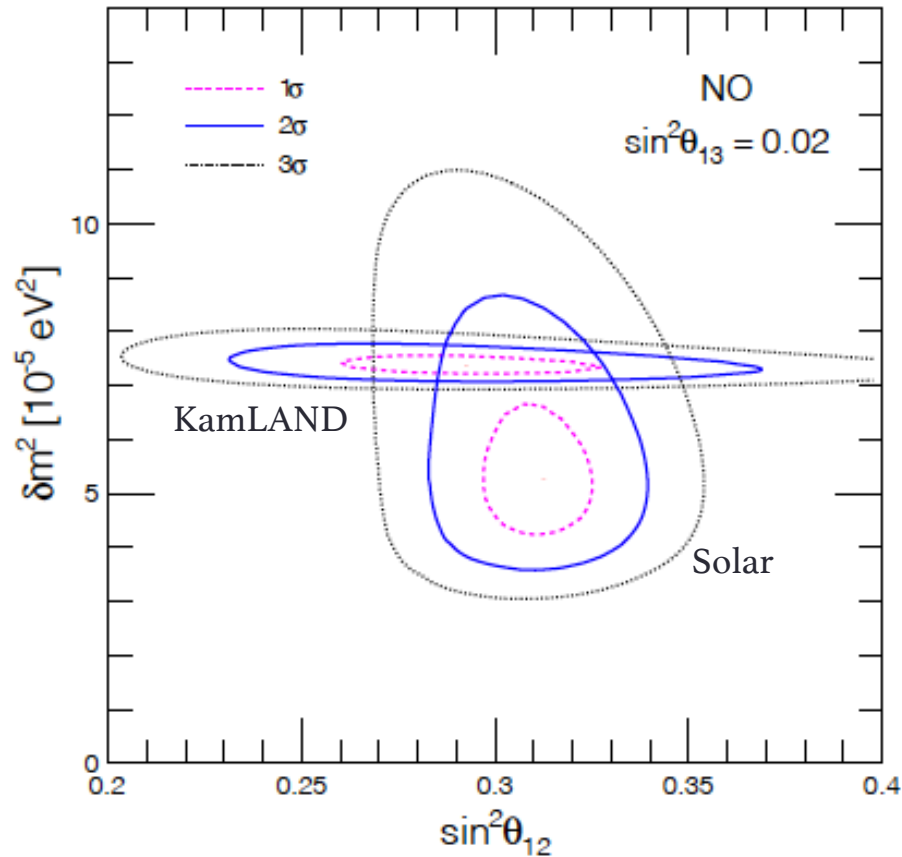
# Global Fit of Neutrino Oscillation Parameters Circa 2021



Capozzi, Valentino, Lisi, Marrone, Melchiorri, Palazzo, arXiv:2107.00532v1 [hep-ph]

# Tension between Solar and KamLAND data removed

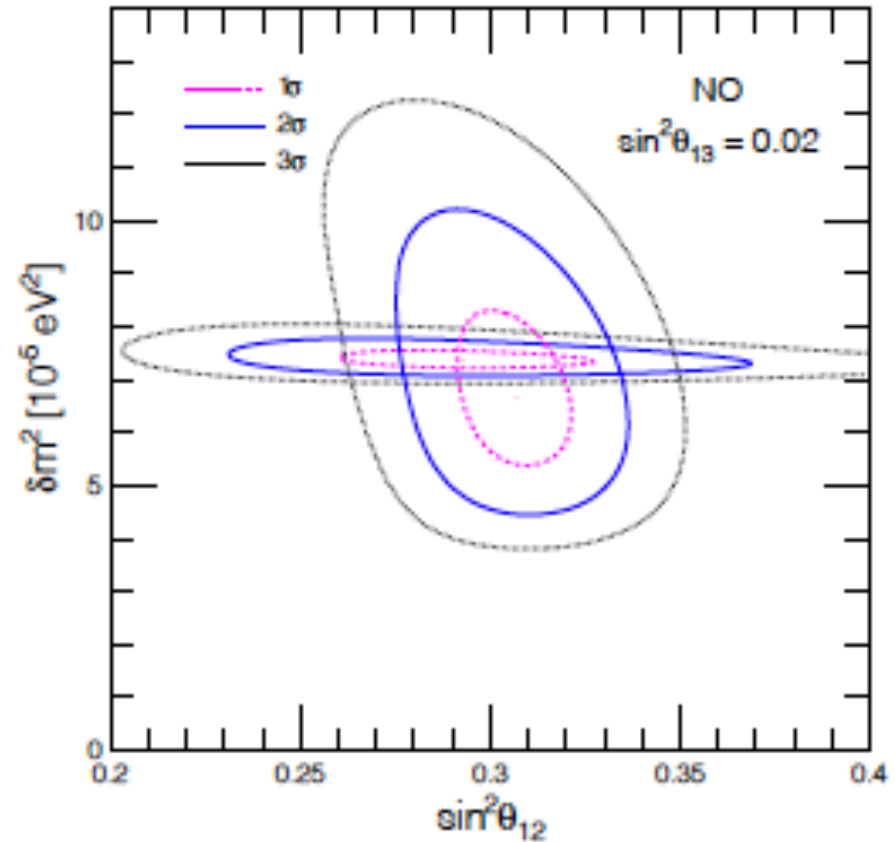
In 2018



< 2 $\sigma$  tension between Solar and KamLAND data

Capozzi, Lisi, Marrone, Palazzo, arXiv:1804.09678v1 [hep-ph]

In 2021



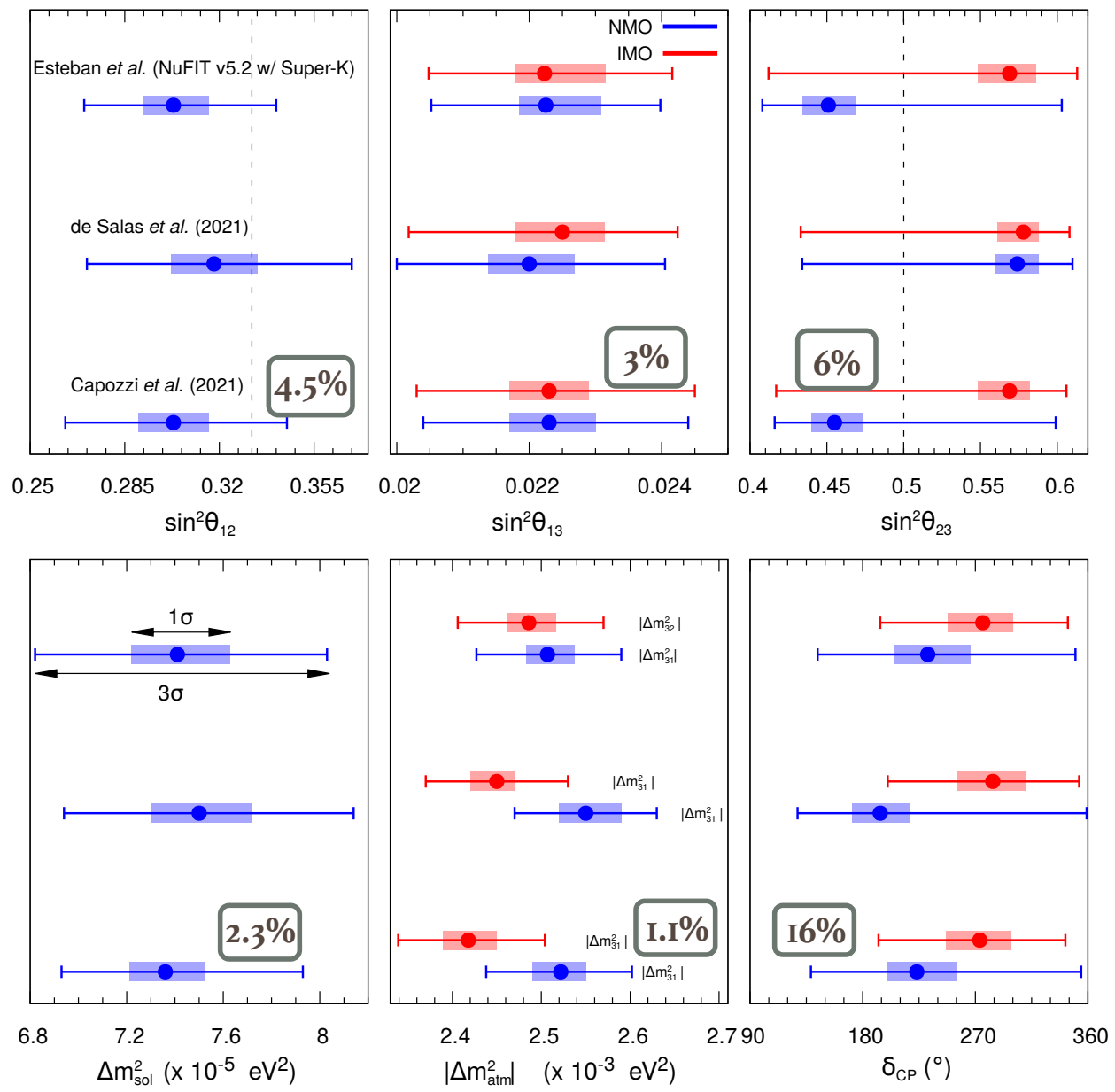
The tension is removed now!  
Due to a slightly smaller day-night  
asymmetry in SK-IV 2970-day Solar data

Capozzi, Valentino, Lisi, Marrone, Melchiorri, Palazzo, arXiv:2107.00532v1 [hep-ph]

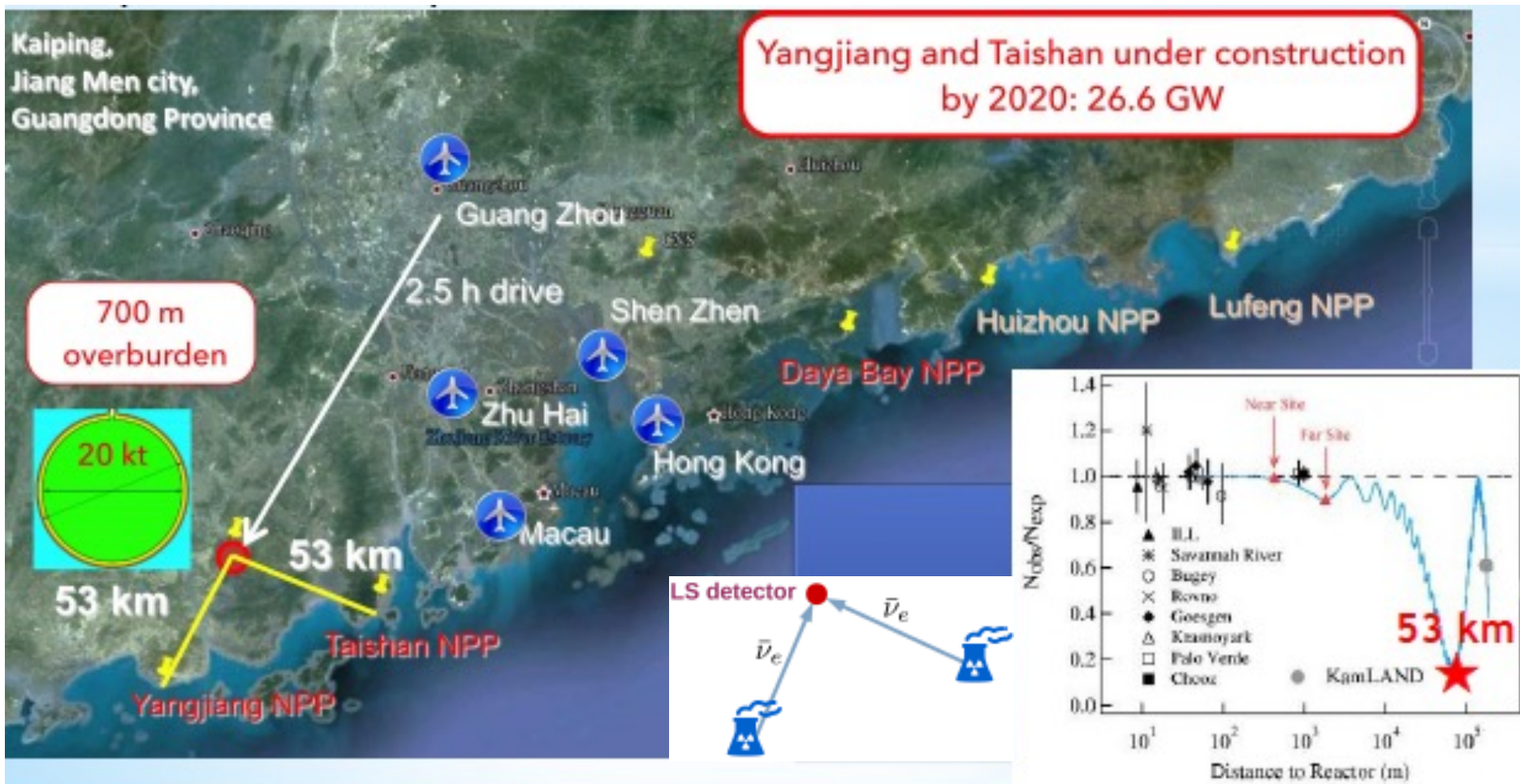
# Remarkable Precision on Neutrino Oscillation Parameters

Robust three-flavor neutrino oscillation paradigm

Huge boost for the discovery of NMO, CPV, and  $\theta_{23}$  Octant



# The Jiangmen Underground Neutrino Observatory (JUNO)



- 20 kt liquid scintillator detector with unprecedented 3% energy resolution at 1 MeV
- Neutrino Mass Ordering measurement & improve precision on oscillation parameters

# Interference effects in JUNO

The electron antineutrino survival probability in vacuum :

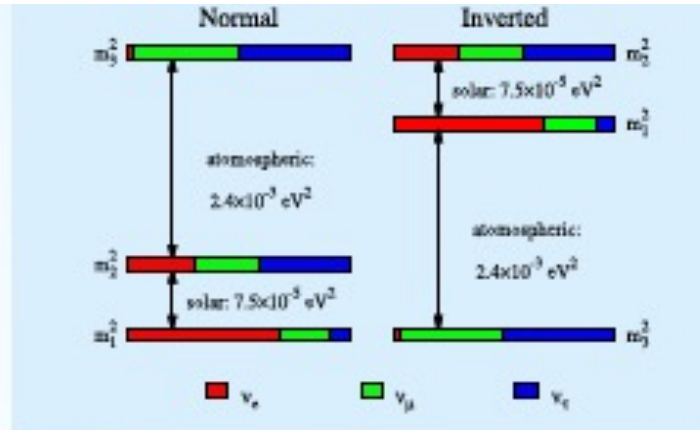
$$P_{ee}(L/E) = 1 - P_{21} - P_{31} - P_{32}$$

$$P_{21} = \cos^4(\theta_{13}) \sin^2(2\theta_{12}) \sin^2(\Delta_{21})$$

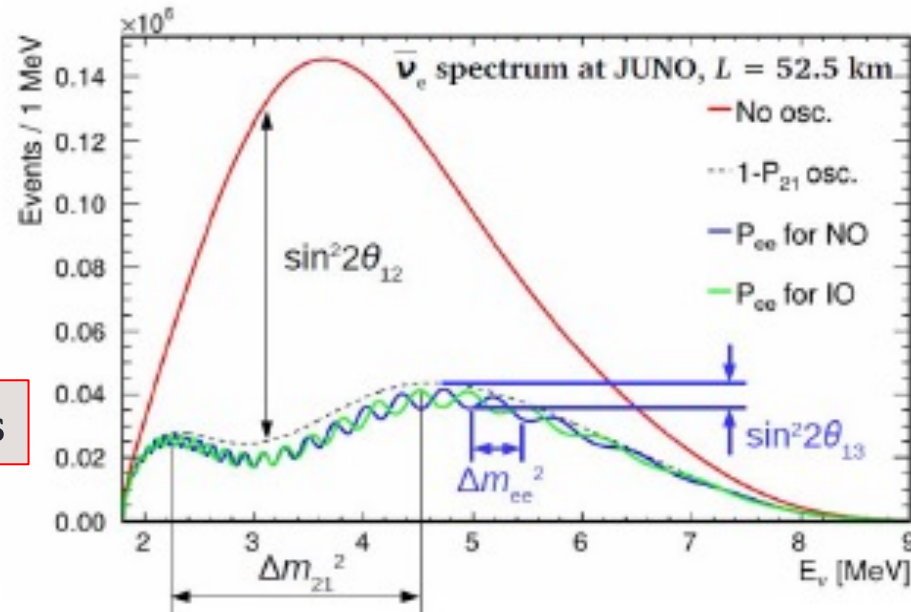
$$P_{31} = \cos^2(\theta_{12}) \sin^2(2\theta_{13}) \sin^2(\Delta_{31})$$

$$P_{32} = \sin^2(\theta_{12}) \sin^2(2\theta_{13}) \sin^2(\Delta_{32})$$

$$\Delta_{ij} = 1.27 \Delta m_{ij}^2 L/E$$



JUNO antineutrino energy spectrum:



Courtesy: Barbara Clerbaux, NuFact 2017

Depending on the NMH, the oscillation frequency differs :

$$\Delta m_{31}^2 = \Delta m_{32}^2 + \Delta m_{21}^2$$

NH :  $|\Delta m_{31}^2| = |\Delta m_{32}^2| + |\Delta m_{21}^2| \quad \omega P_{31} > \omega P_{32}$

IH :  $|\Delta m_{31}^2| = |\Delta m_{32}^2| - |\Delta m_{21}^2| \quad \omega P_{31} < \omega P_{32}$

The L/E spectrum contains the NMH information

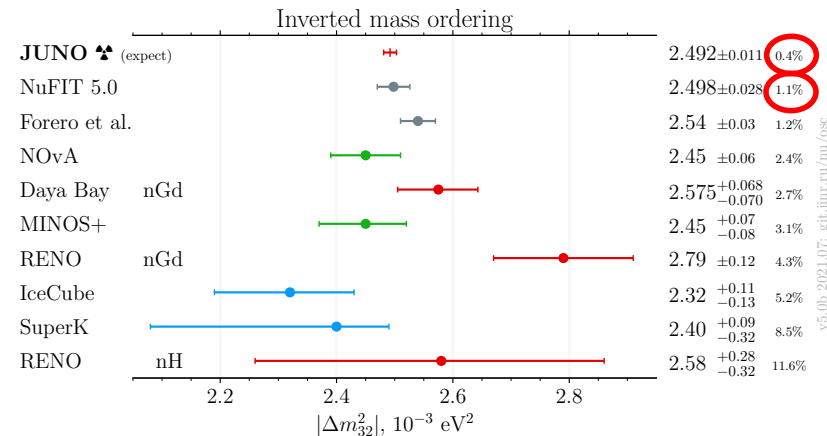
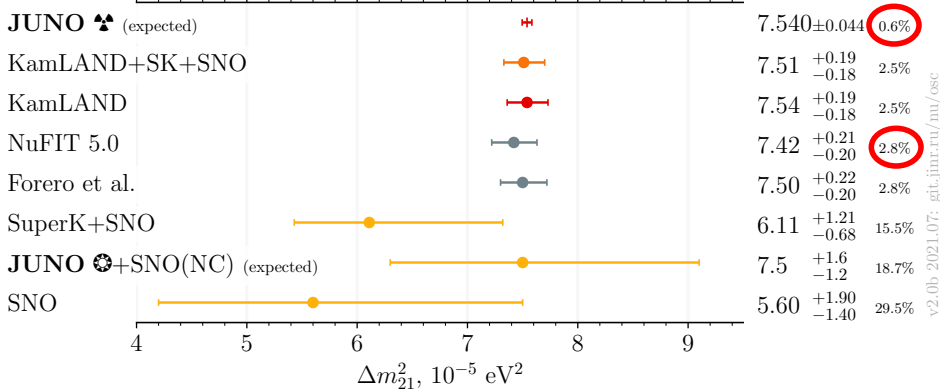
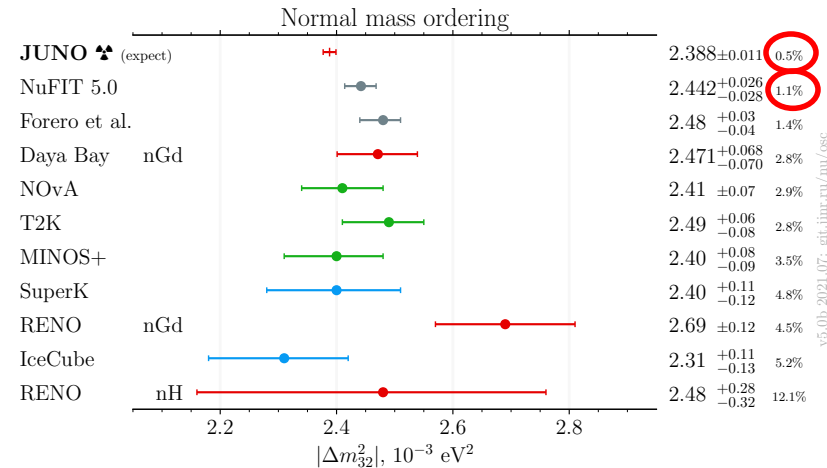
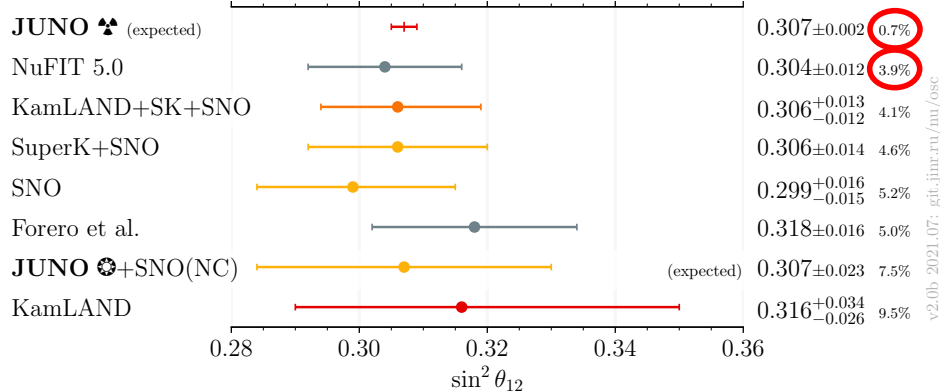
$3\sigma$  mass hierarchy in 6 years

Key issues :

- energy resolution and energy scale
- Large statistics



# Very Bright Future Ahead: Triumph of JUNO



Maxim Gonchar (JUNO Collaboration) EPS-HEP 2021, July 26

JUNO will improve significantly our knowledge on neutrino oscillation parameters. These developments are crucial to probe sub-leading three-flavor effects in next-generation long-baseline experiments for the discovery of NMO, leptonic CPV, and Octant of 2-3 mixing angle

# Quark Mixing vs. Neutrino Mixing

$$|V_{\text{CKM}}| = \begin{pmatrix} 0.97435 \pm 0.00016 & 0.22500 \pm 0.00067 & 0.00369 \pm 0.00011 \\ 0.22486 \pm 0.00067 & 0.97349 \pm 0.00016 & 0.04182^{+0.00085}_{-0.00074} \\ 0.00857^{+0.00020}_{-0.00018} & 0.04110^{+0.00083}_{-0.00072} & 0.999118^{+0.000031}_{-0.000036} \end{pmatrix}$$

PDG 2022

$$|U|_{3\sigma \text{ PMNS}}^{\text{with SK-atm}} = \begin{pmatrix} 0.801 \rightarrow 0.845 & 0.513 \rightarrow 0.579 & 0.144 \rightarrow 0.156 \\ 0.244 \rightarrow 0.499 & 0.505 \rightarrow 0.693 & 0.631 \rightarrow 0.768 \\ 0.272 \rightarrow 0.518 & 0.471 \rightarrow 0.669 & 0.623 \rightarrow 0.761 \end{pmatrix}$$

NuFIT 5.1 (2021)

The goal is to achieve the CKM level precision for the PMNS

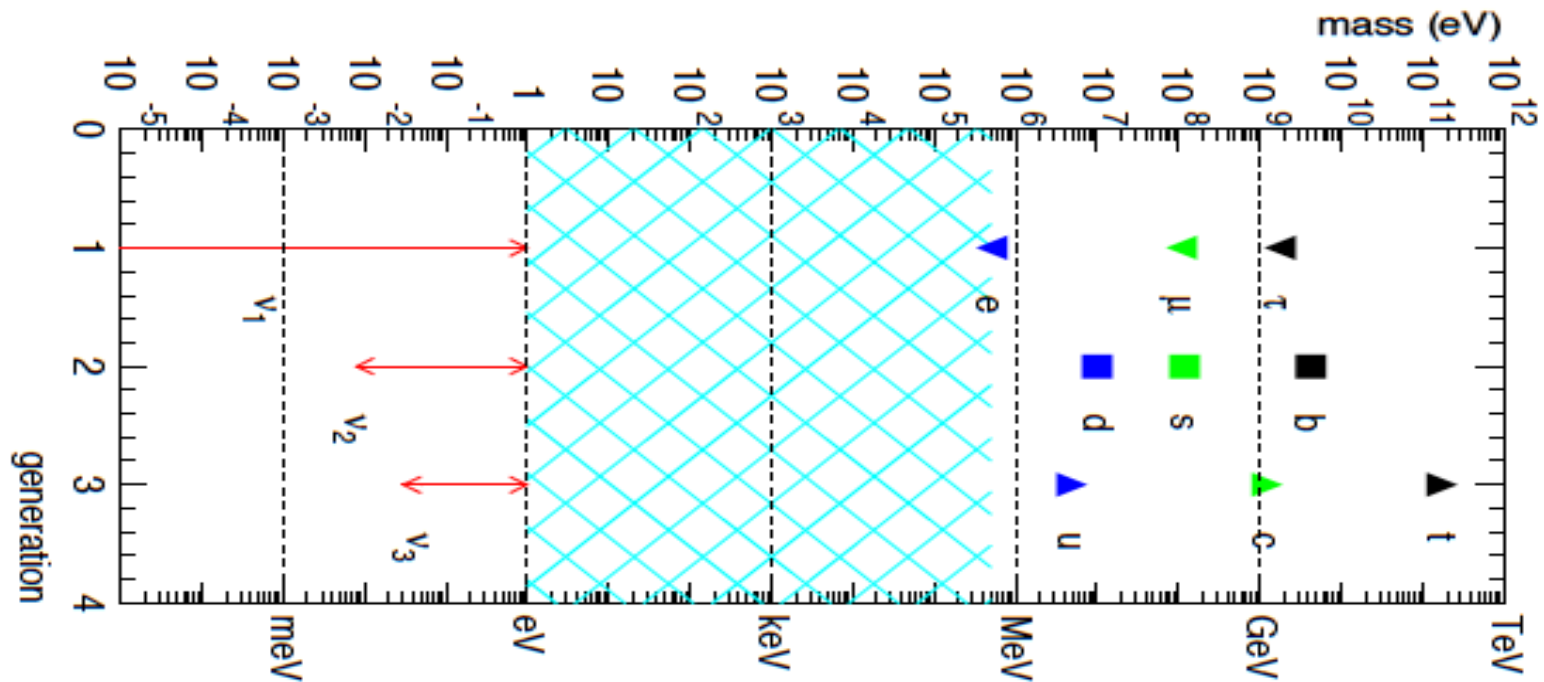
A Long Journey Ahead! But the precision is improving rapidly for PMNS

Good News: There may be large CPV in neutrino sector than quark sector

$$J_{CP} = \frac{1}{8} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \sin \delta_{CP}$$

$J_{\text{CKM}} \sim 3 \times 10^{-5}$ , whereas  $J_{\text{PMNS}}$  can be as large as  $3 \times 10^{-2}$

# The Two Fundamental Questions

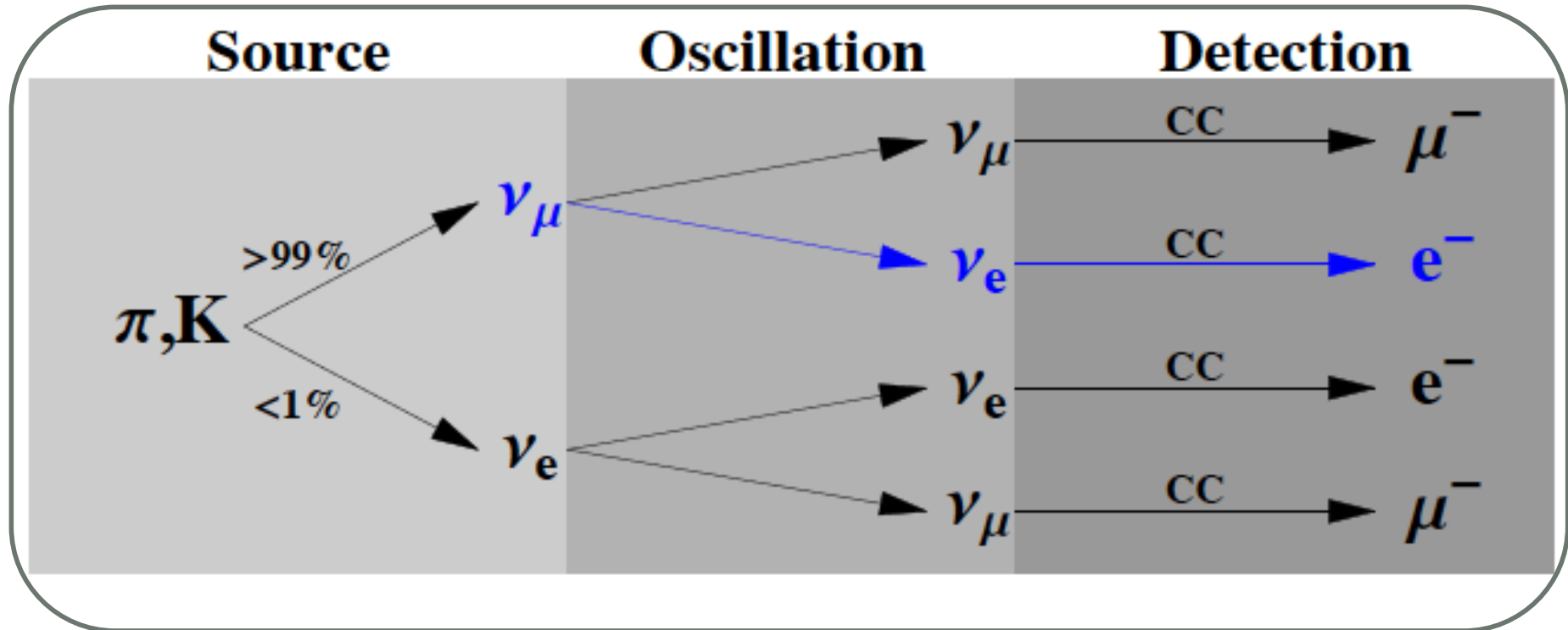


**Why are neutrinos so light? The origin of Neutrino Mass!**

	$\theta_{23}$	$\theta_{13}$	$\theta_{12}$	$\delta$
Leptons	$\sim 45^\circ$	$8.5^\circ$	$34^\circ$	?
Quarks	$2.4^\circ$	$0.20^\circ$	$13^\circ$	$69^\circ$

**Why are lepton mixings so different from quark mixings?**

**The Flavor Puzzle!**



**Traditional approach: Neutrino beam from pion decay**

# Accelerator Long-Baseline Neutrino Experiments

$\nu_{\mu} \rightarrow \nu_e$  and  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$  : Appearance Channel

$\nu_{\mu} \rightarrow \nu_{\mu}$  and  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{\mu}$  : Disappearance Channel

**T2K (Japan) & NOvA (USA) [running, off-axis]**

FD: 295 km  
1<sup>st</sup> Osc. Max.  $\sim$  0.6 GeV

FD: 810 km  
1<sup>st</sup> Osc. Max.  $\sim$  1.6 GeV

narrow-band beam

**DUNE (USA) [upcoming, on-axis]**

FD: 1285 km  
1<sup>st</sup> Osc. Max.  $\sim$  2.6 GeV

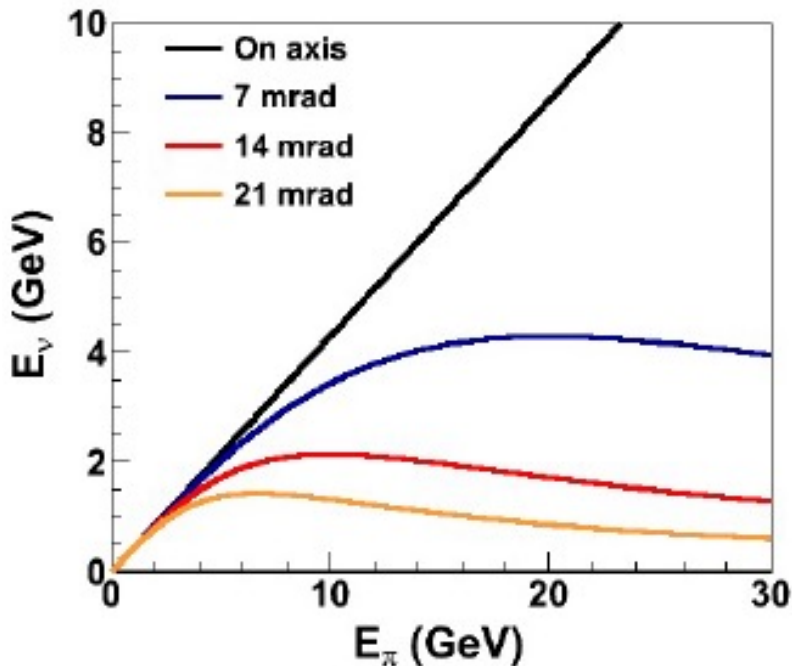
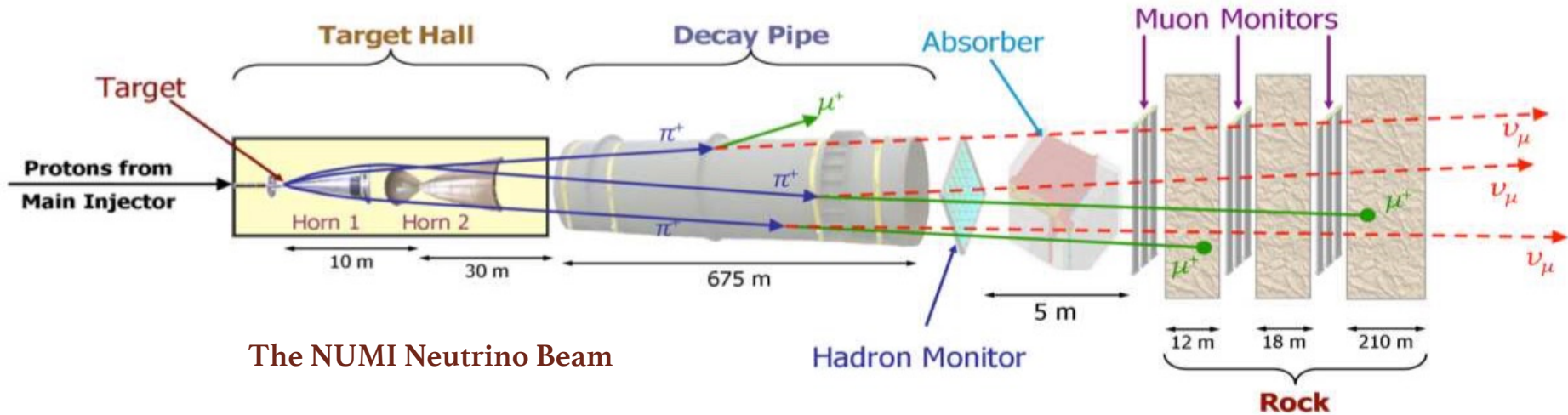
wide-band beam

**T2HK (Japan) [upcoming, off-axis]**

FD: 295 km  
1<sup>st</sup> Osc. Max.  $\sim$  0.6 GeV

narrow-band beam

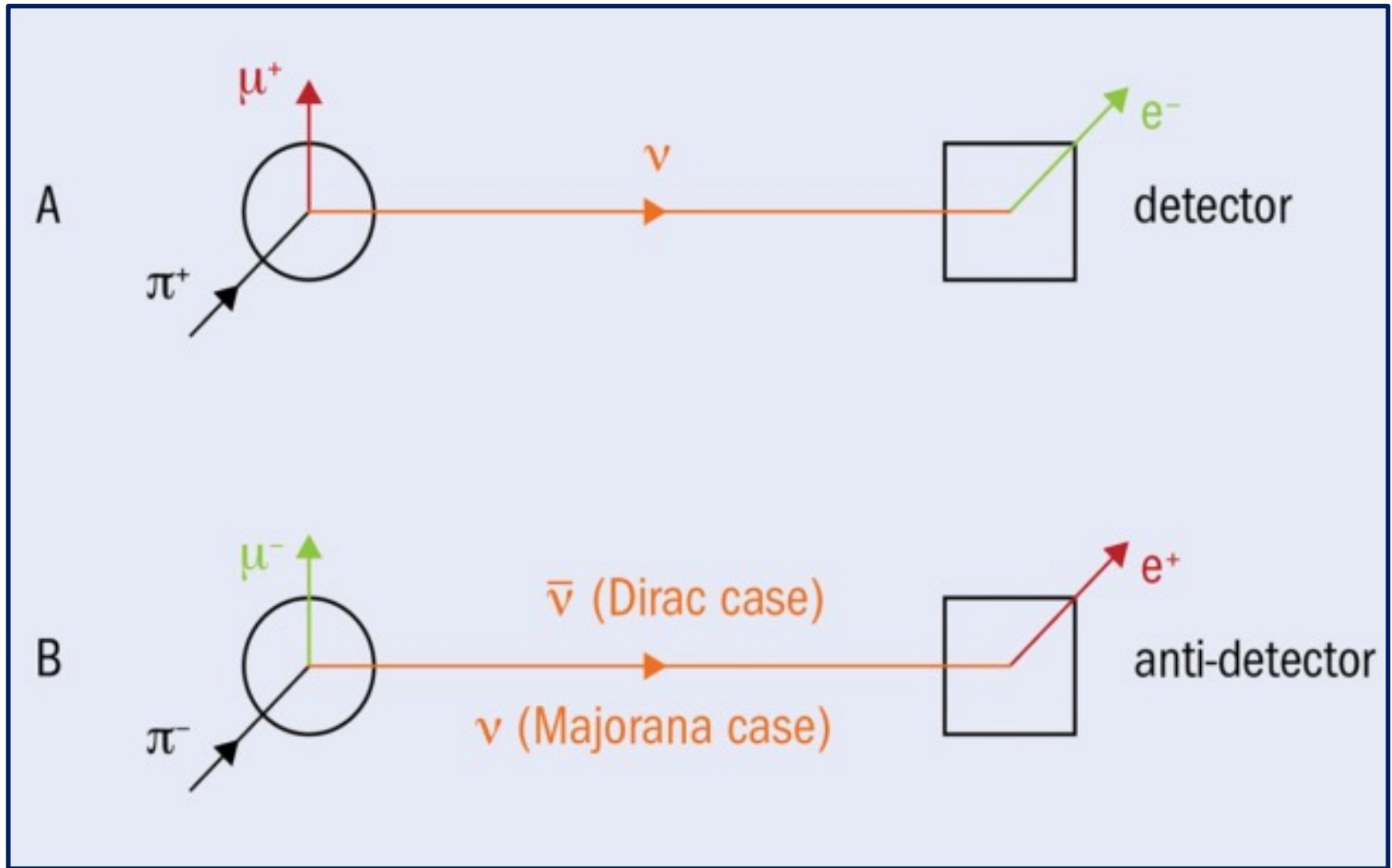
# Producing Neutrino Beam



**Two-body decay of pion:** 
$$E_\nu \approx 0.43 \frac{E_\pi}{1 + \gamma^2 \theta_\nu^2}$$

- NOvA is 14 mrad off-axis
- Narrow-band beam peaks at 2 GeV
- Close to 1<sup>st</sup> oscillation maximum
- Reduces high-energy NC backgrounds
- T2K is at 2.5 degree (43.6 mrad) off-axis
- Narrow-band beam peaks at 0.6 GeV

# The Pursuit of Leptonic CPV in LBL Experiments



**The whole idea is based on comparing the rates of two CP-mirror-image processes**

Above experimental approach is a perfectly valid probe of leptonic CPV regardless of whether neutrinos are Dirac or Majorana particles

# Three-Flavor Effects in $\nu_\mu \rightarrow \nu_e$ Oscillation Channel

The appearance probability ( $\nu_\mu \rightarrow \nu_e$ ) in matter, upto second order in the small parameters  $\alpha \equiv \Delta m_{21}^2 / \Delta m_{31}^2$  and  $\sin 2\theta_{13}$ ,

$$\begin{aligned}
 P_{\mu e} \simeq & \underbrace{\sin^2 2\theta_{13}}_{0.09} \underbrace{\sin^2 \theta_{23}}_{0.03} \frac{\sin^2[(1 - \hat{A})\Delta]}{(1 - \hat{A})^2} \longrightarrow \theta_{13} \text{ driven} \\
 & - \underbrace{\alpha \sin 2\theta_{13}}_{0.009} \xi \sin \delta_{CP} \sin(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1 - \hat{A})\Delta]}{(1 - \hat{A})} \longrightarrow \text{CP-odd} \\
 & + \alpha \sin 2\theta_{13} \xi \cos \delta_{CP} \cos(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1 - \hat{A})\Delta]}{(1 - \hat{A})} \longrightarrow \text{CP-even} \\
 & + \underbrace{\alpha^2}_{0.0009} \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}; \longrightarrow \text{Solar Term}
 \end{aligned}$$

Resolve octant

where  $\Delta \equiv \Delta m_{31}^2 L / (4E)$ ,  $\xi \equiv \cos \theta_{13} \sin 2\theta_{21} \sin 2\theta_{23}$ ,  
 and  $\hat{A} \equiv \pm(2\sqrt{2}G_F n_e E) / \Delta m_{31}^2$

changes sign with  $\text{sgn}(\Delta m_{31}^2)$   
 key to resolve hierarchy!

changes sign with polarity  
 causes fake CP asymmetry!

Cervera et al., hep-ph/0002108  
 Freund et al., hep-ph/0105071  
 Agarwalla et al., e-Print: 1302.6773 [hep-ph]

**This channel suffers from: (Hierarchy -  $\delta_{CP}$ ) & (Octant -  $\delta_{CP}$ ) degeneracies. How can we break them?**



# Current Long-Baseline Experiments: T2K and NOvA



T2K & NOvA operate at different energies and baselines

Complement each other & help to remove degeneracies among various oscillation parameters

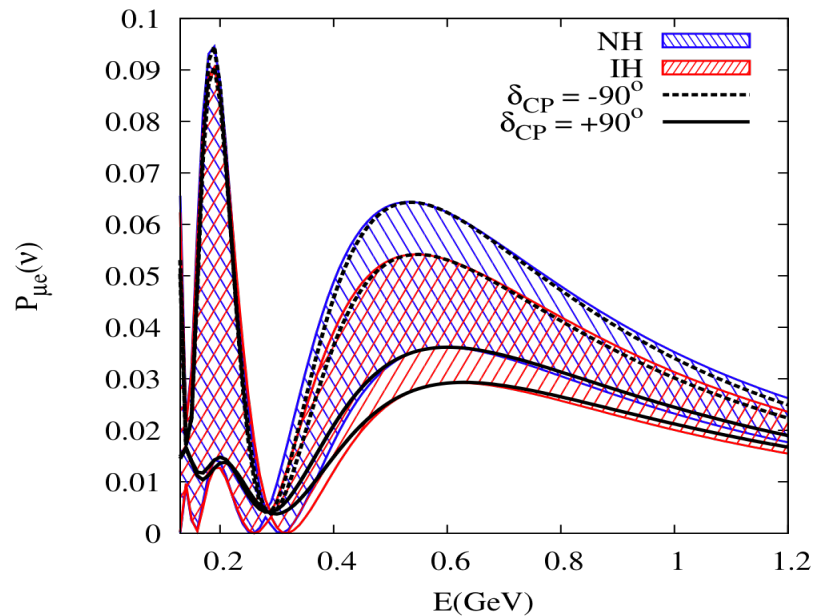
Probe multiple oscillation maxima

Compare neutrino and antineutrino oscillation probabilities



# Hierarchy – $\delta_{CP}$ degeneracy in $\nu_\mu \rightarrow \nu_e$ oscillation channel

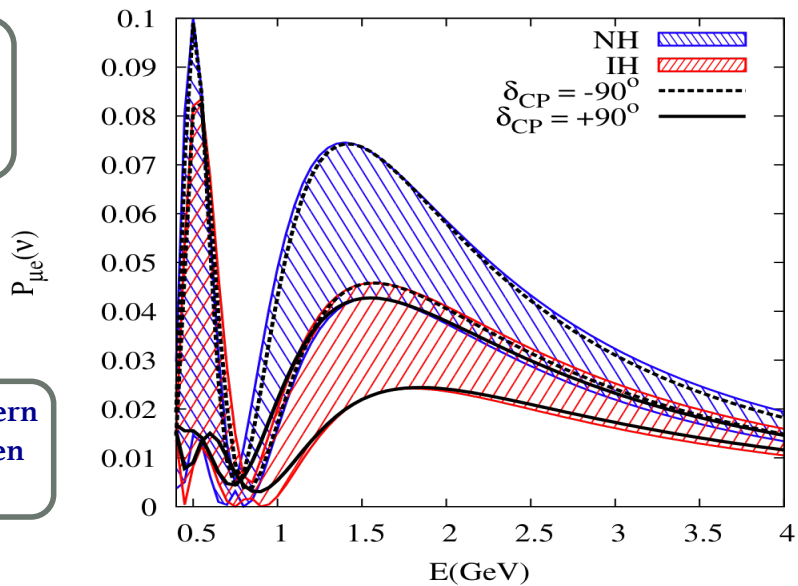
L=295km,  $\sin^2 2\theta_{13} = 0.089$ ,  $\sin^2 \theta_{23} = 0.5$



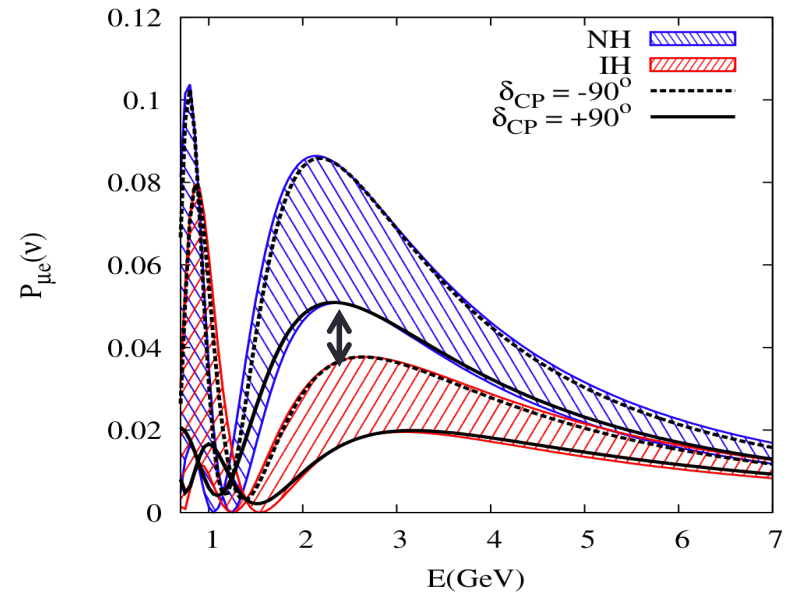
For  $\nu$ :  
Max: NH,  $-90^\circ$   
Min: IH,  $90^\circ$

Degeneracy pattern  
different between  
T2K & NOvA

L=810km,  $\sin^2 2\theta_{13} = 0.089$ ,  $\sin^2 \theta_{23} = 0.5$



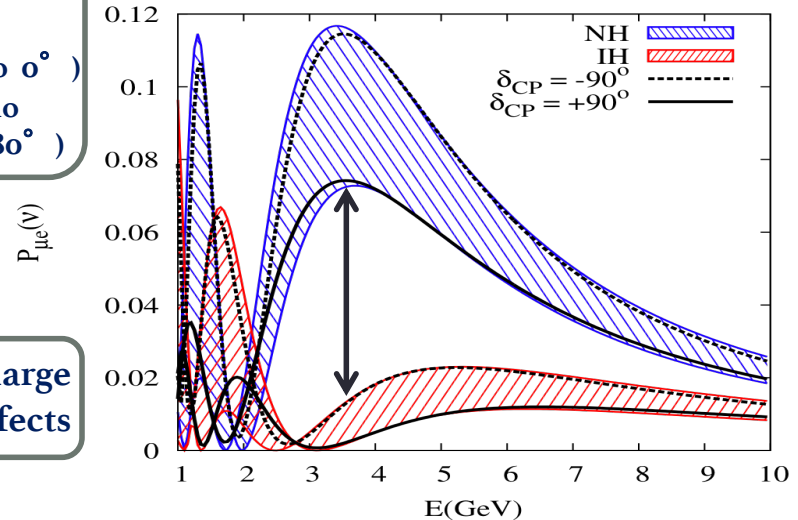
L=1300km,  $\sin^2 2\theta_{13} = 0.089$ ,  $\sin^2 \theta_{23} = 0.5$



Favorable combinations  
For neutrino  
NH, LHP ( $-180^\circ$  to  $0^\circ$ )  
For antineutrino  
IH, UHP ( $0^\circ$  to  $180^\circ$ )

Large  $\theta_{13}$  causes large  
Earth matter effects

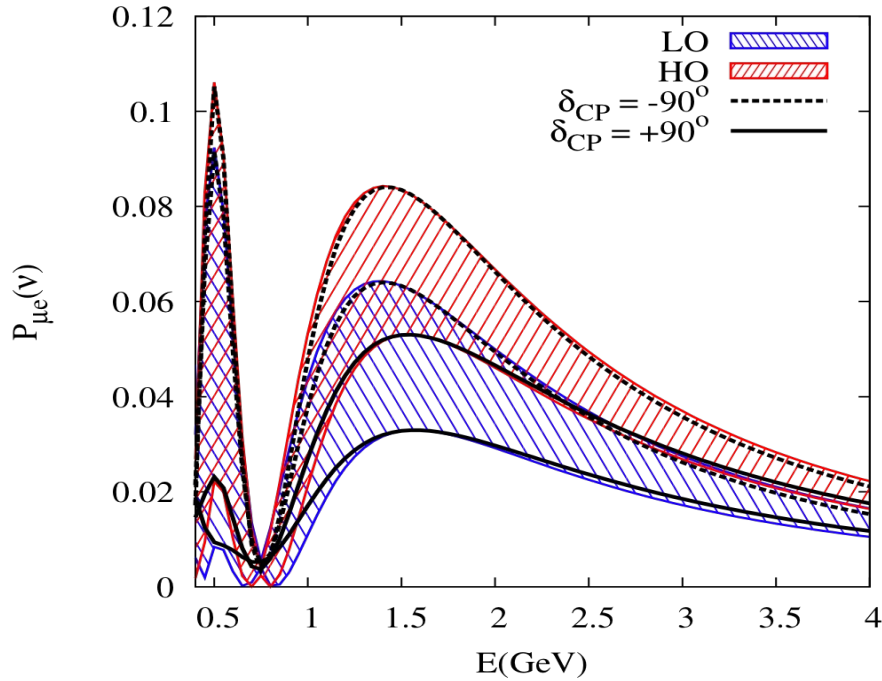
L=2290km,  $\sin^2 2\theta_{13} = 0.089$ ,  $\sin^2 \theta_{23} = 0.5$



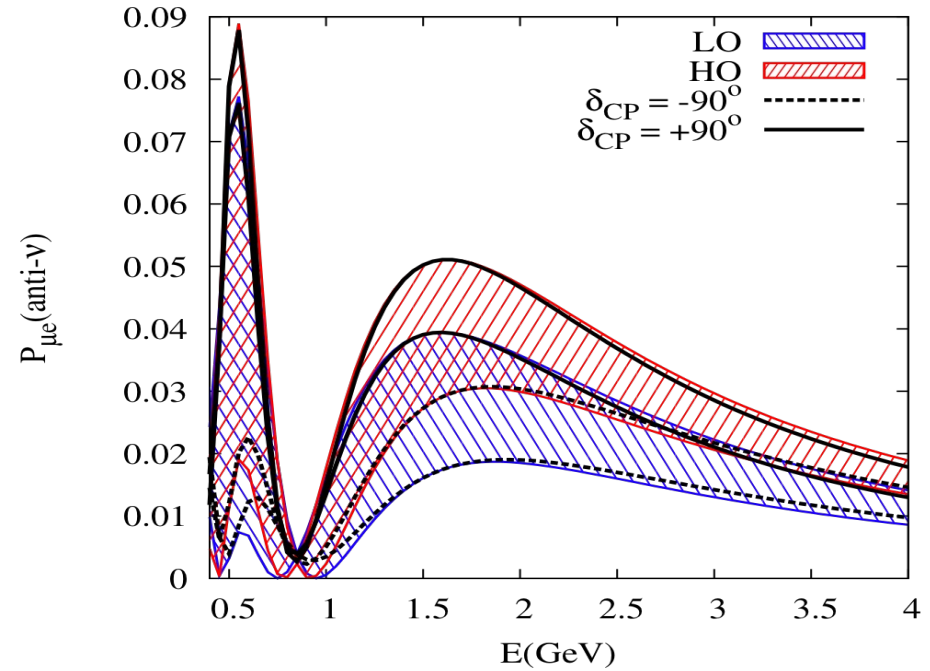
Agarwalla, Prakash, Raut, Sankar, 2012-2013

# Octant – $\delta_{CP}$ degeneracy in $\nu_\mu \rightarrow \nu_e$ oscillation channel

$L=810\text{km}$ ,  $\sin^2 2\theta_{13} = 0.089$ , NH



$L=810\text{km}$ ,  $\sin^2 2\theta_{13} = 0.089$ , NH



For neutrino:

Maximum: HO,  $-90^\circ$

Minimum: LO,  $90^\circ$

For anti-neutrino:

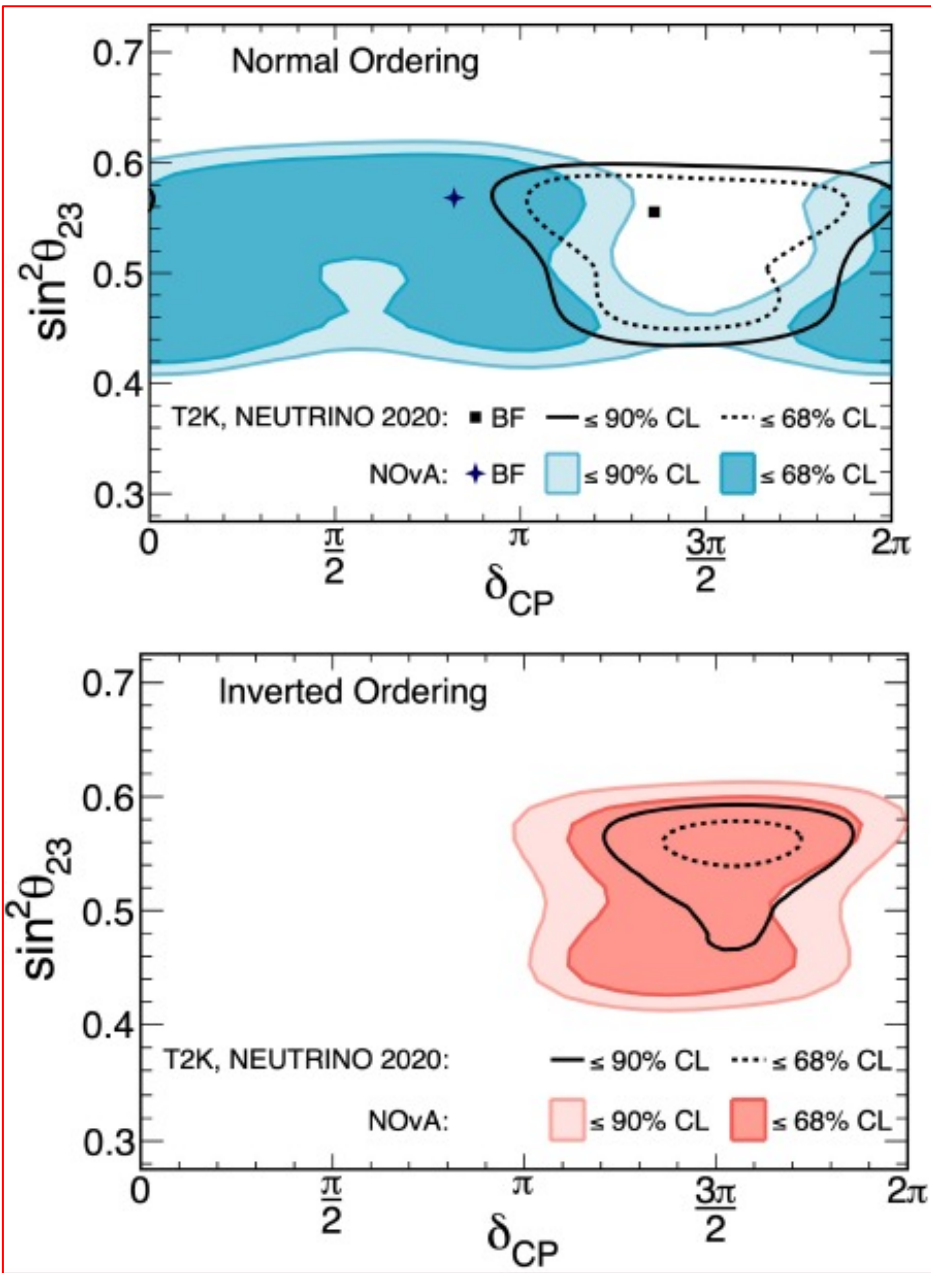
Maximum: HO,  $90^\circ$

Minimum: LO,  $-90^\circ$

Unfavorable CP values for neutrino are favorable for antineutrino & vice-versa

Agarwalla, Prakash, Sankar, arXiv: 1301.2574

# Latest CP Measurements from T2K and NOvA



## Complementarity between T2K & NOvA

Both T2K & NOvA individually show a slight preference for NMO

**NMO:** T2K excludes large regions of the NOvA constraints at 90% C.L., & NOvA excludes parts of T2K's 90% C.L. region

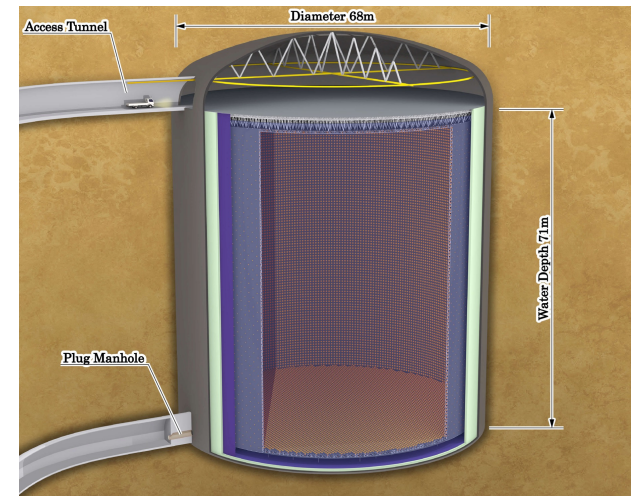
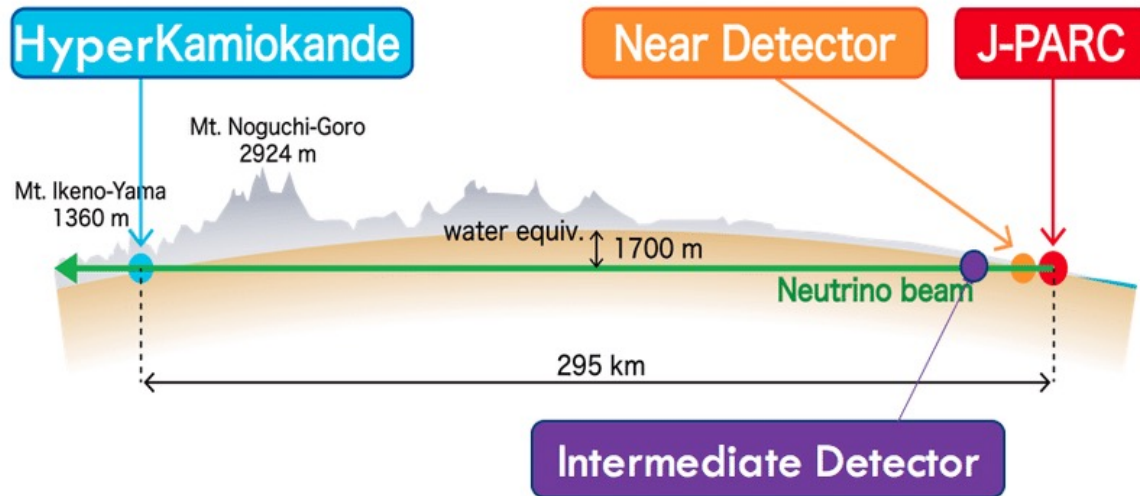
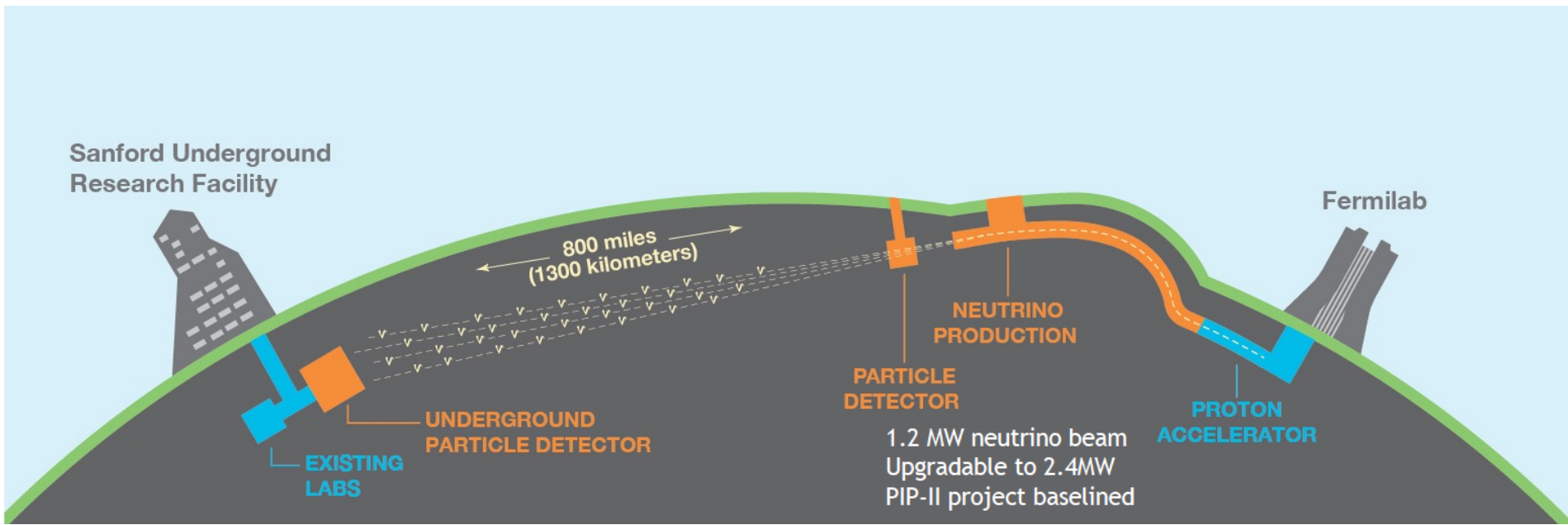
**IMO:** Both the experiments consistently favour the  $\pi < \delta_{CP} < 2\pi$  region, with a weak preference for the upper octant

Both the experiments need more data (at present statistically limited) to have better measurements

Joint oscillation analyses between T2K, Super-K, & NOvA would be very helpful

**T2K:** [arXiv:2303.03222](https://arxiv.org/abs/2303.03222) [hep-ex]  
**NOvA:** [arXiv: 2108.08219](https://arxiv.org/abs/2108.08219) [hep-ex]

# Future Long-Baseline Experiments: DUNE, T2HK, and



# Essential Features of DUNE, T2HK (JD), and T2HKK (JD+KD)

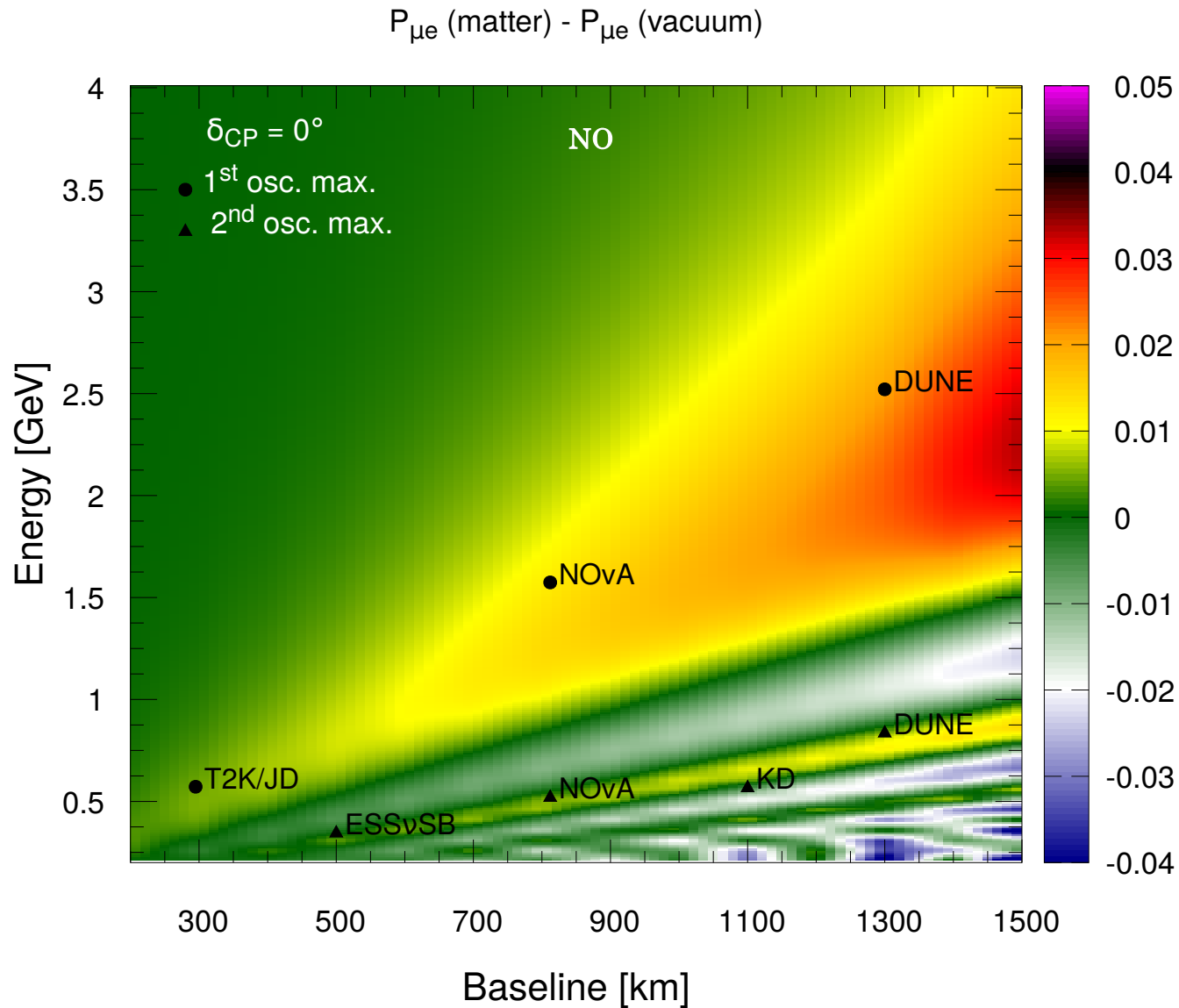
Characteristics	DUNE	JD/KD
Baseline (km)	1285	295 (1100)
$\rho_{\text{avg}}$ (g/cm <sup>3</sup> )	2.848	2.7 (2.8)
Beam Type	wide-band, on-axis	narrow-band, 2.5° off-axis
Beam Power	1.2 MW	1.3 MW
Proton Energy	120 GeV	30 GeV
P.O.T./year	$1.1 \times 10^{21}$	$2.7 \times 10^{22}$
Flux peaks at (GeV)	2.5	0.6
1 <sup>st</sup> ( 2 <sup>nd</sup> ) oscillation maxima for appearance channel (GeV)	2.6 (0.87)	0.6 (0.2) / 1.8 (0.6)
Detector mass (kt)	40, LArTPC	187 each, water Cherenkov
Runtime ( $\nu + \bar{\nu}$ ) yrs	5 + 5	2.5 + 7.5
Exposure (kt·MW·yrs)	480	2431
Signal Norm. Error (App.)	2%	5% (2.7%)
Signal Norm. Error (Disapp.)	5%	3.5%

DUNE Collaboration: [arXiv:2103.04797](https://arxiv.org/abs/2103.04797) [hep-ex]

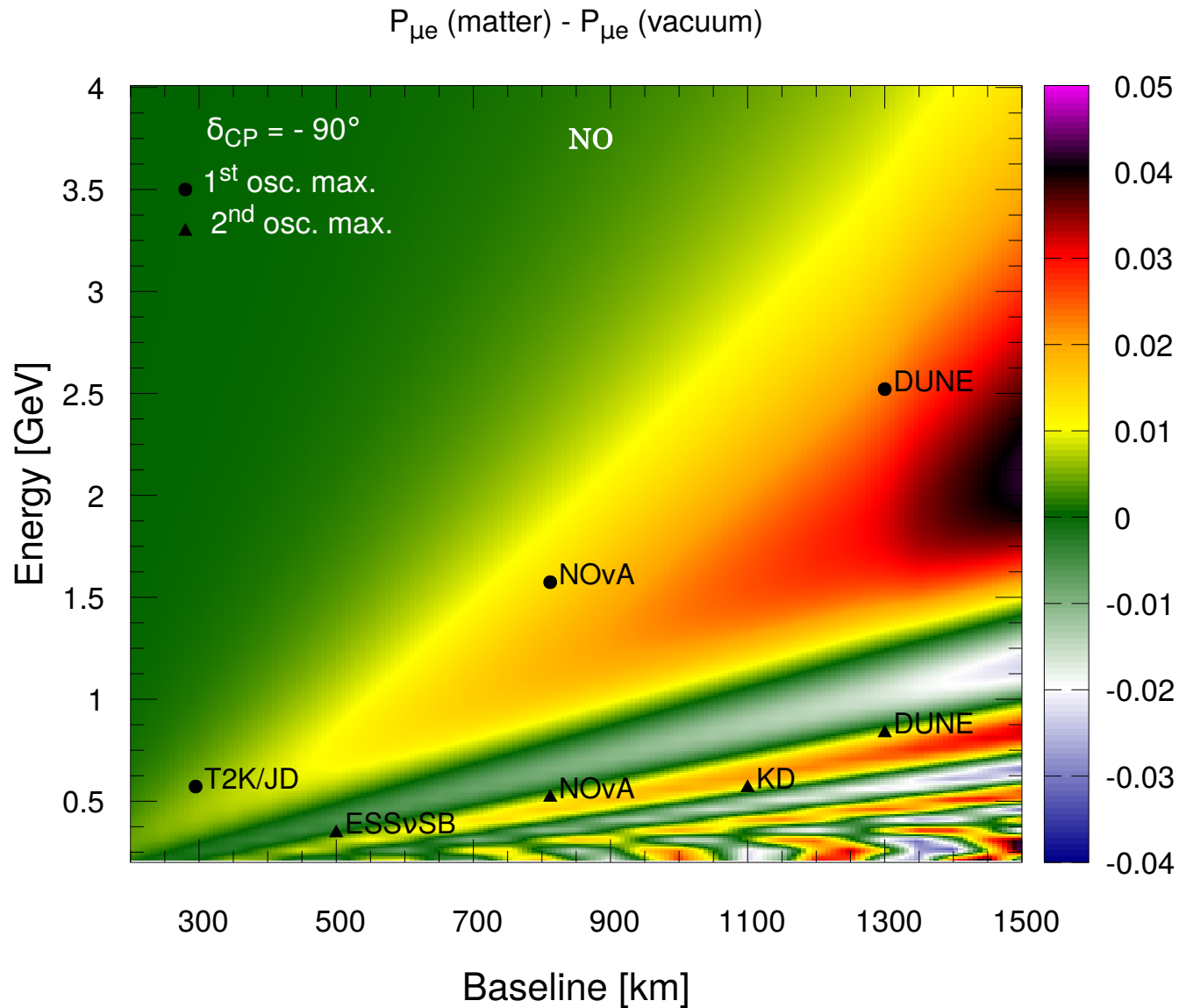
Hyper-Kamiokande Collaboration: [arXiv:1611.06118](https://arxiv.org/abs/1611.06118) [hep-ex]

Due to upgraded ND280 & a new Intermediate Water Cherenkov Detector (IWCD) ~ at 1 km

# Matter Effect in Long-Baseline Experiments

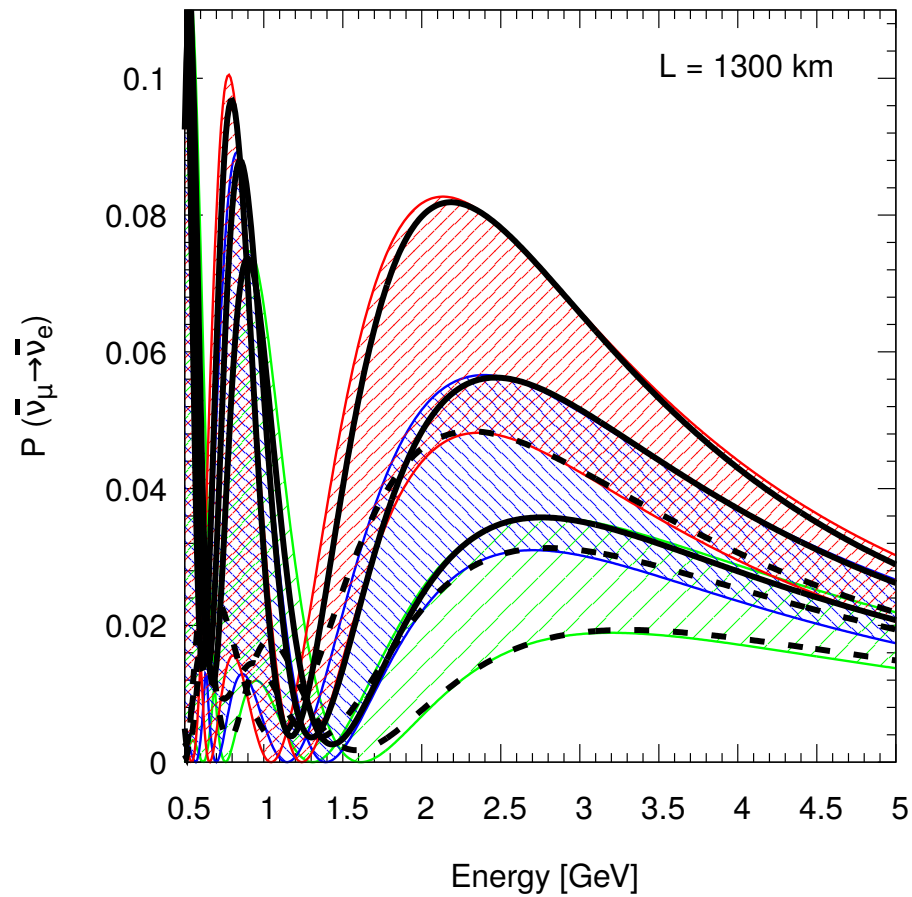
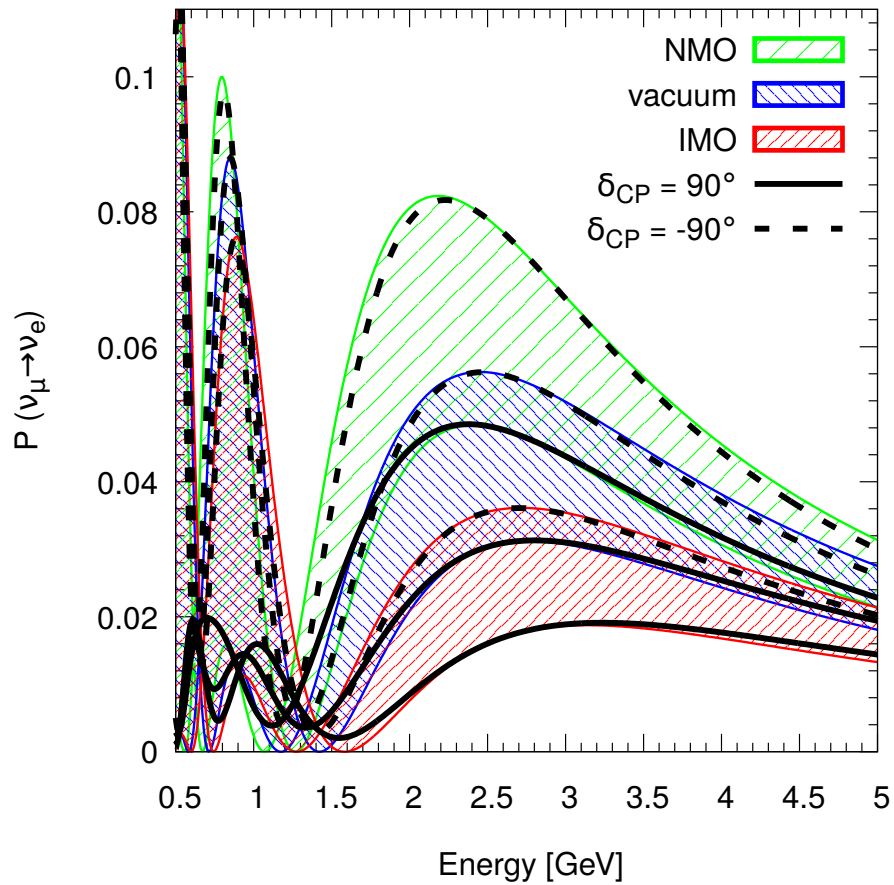


# Matter Effect in Long-Baseline Experiments

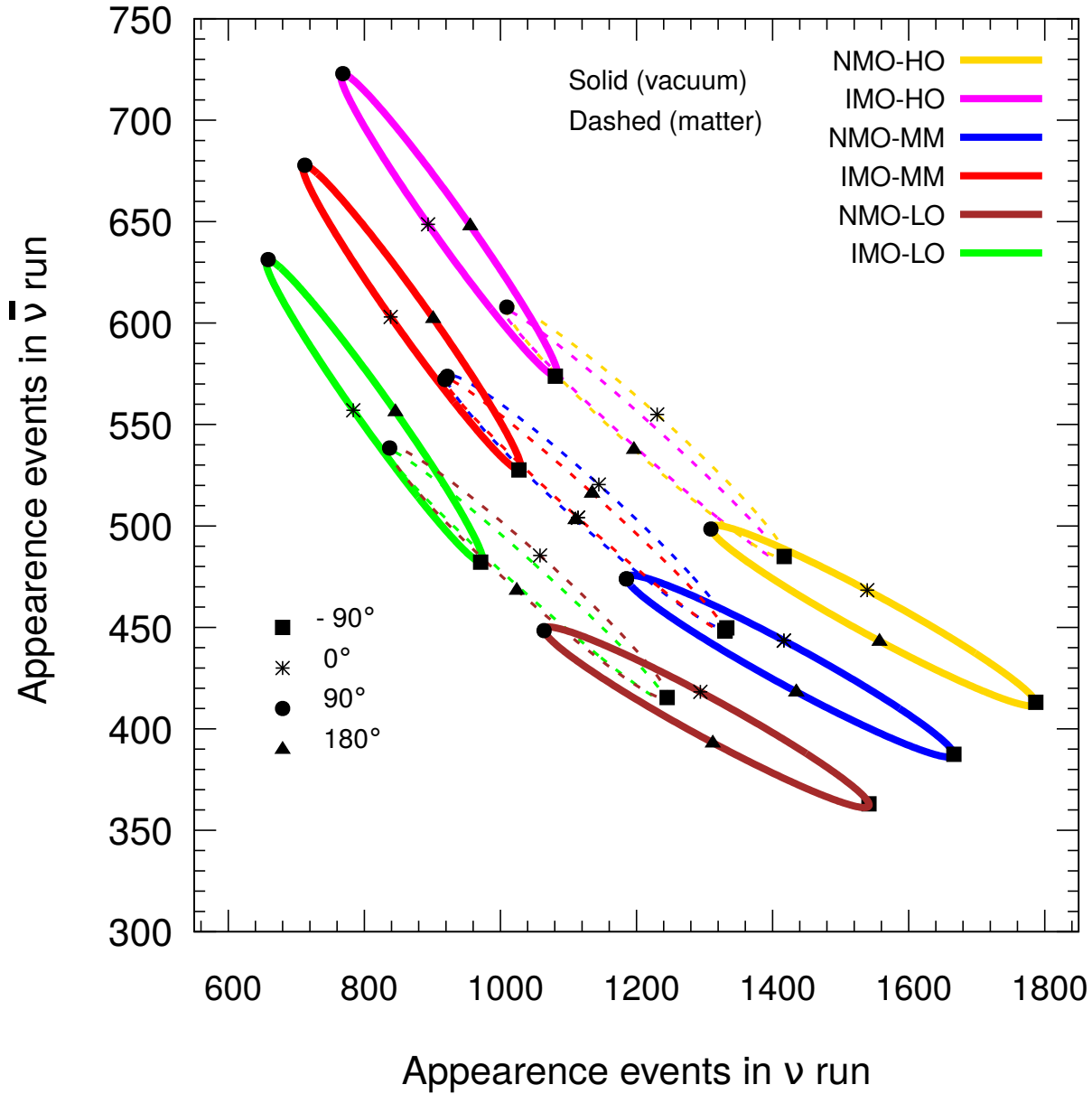




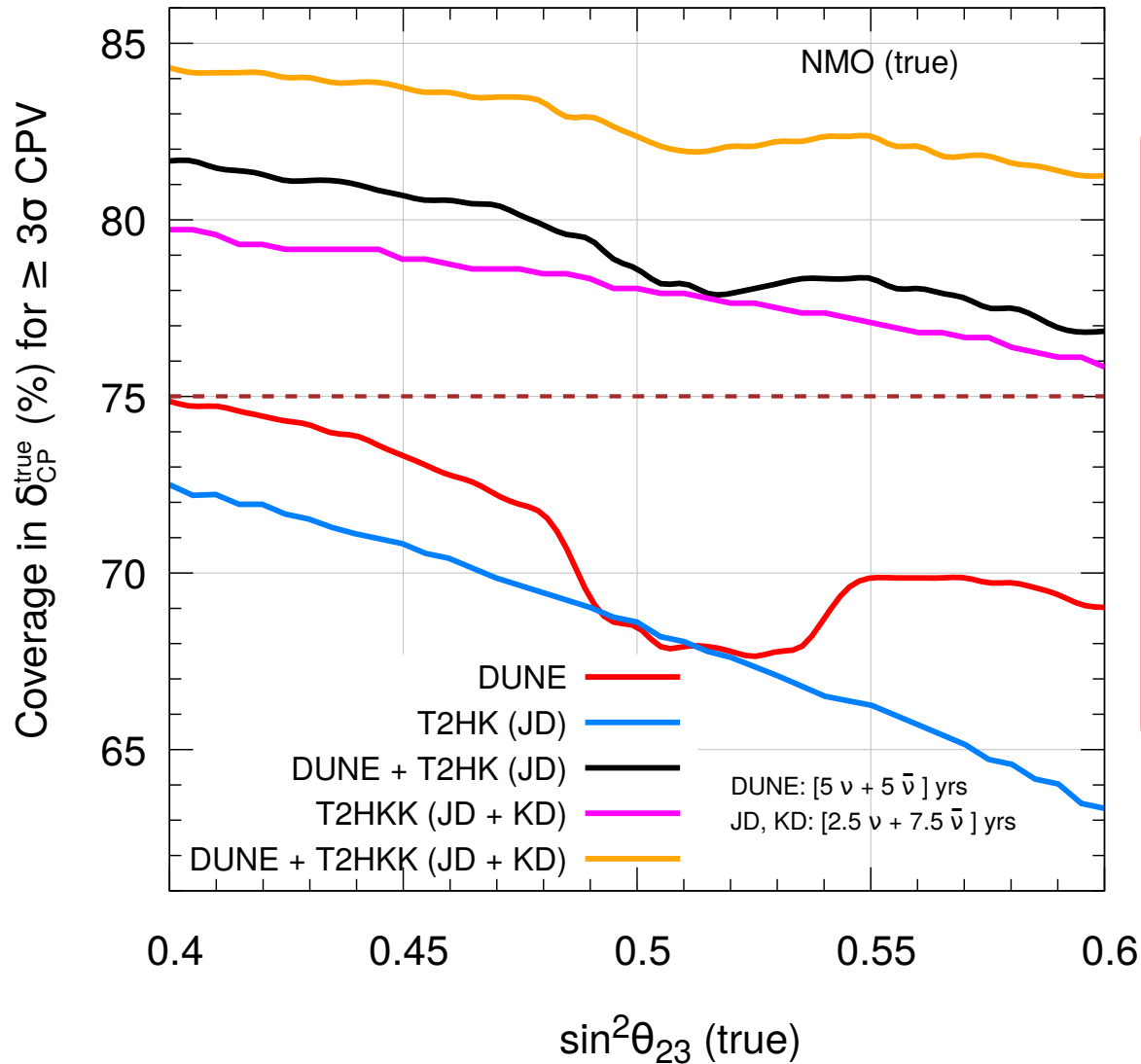
# Matter Effect in Long-Baseline Experiments



# Matter Effect in DUNE



# CP Coverage for Leptonic CP Violation at $\geq 3\sigma$ as a function of $\theta_{23}$



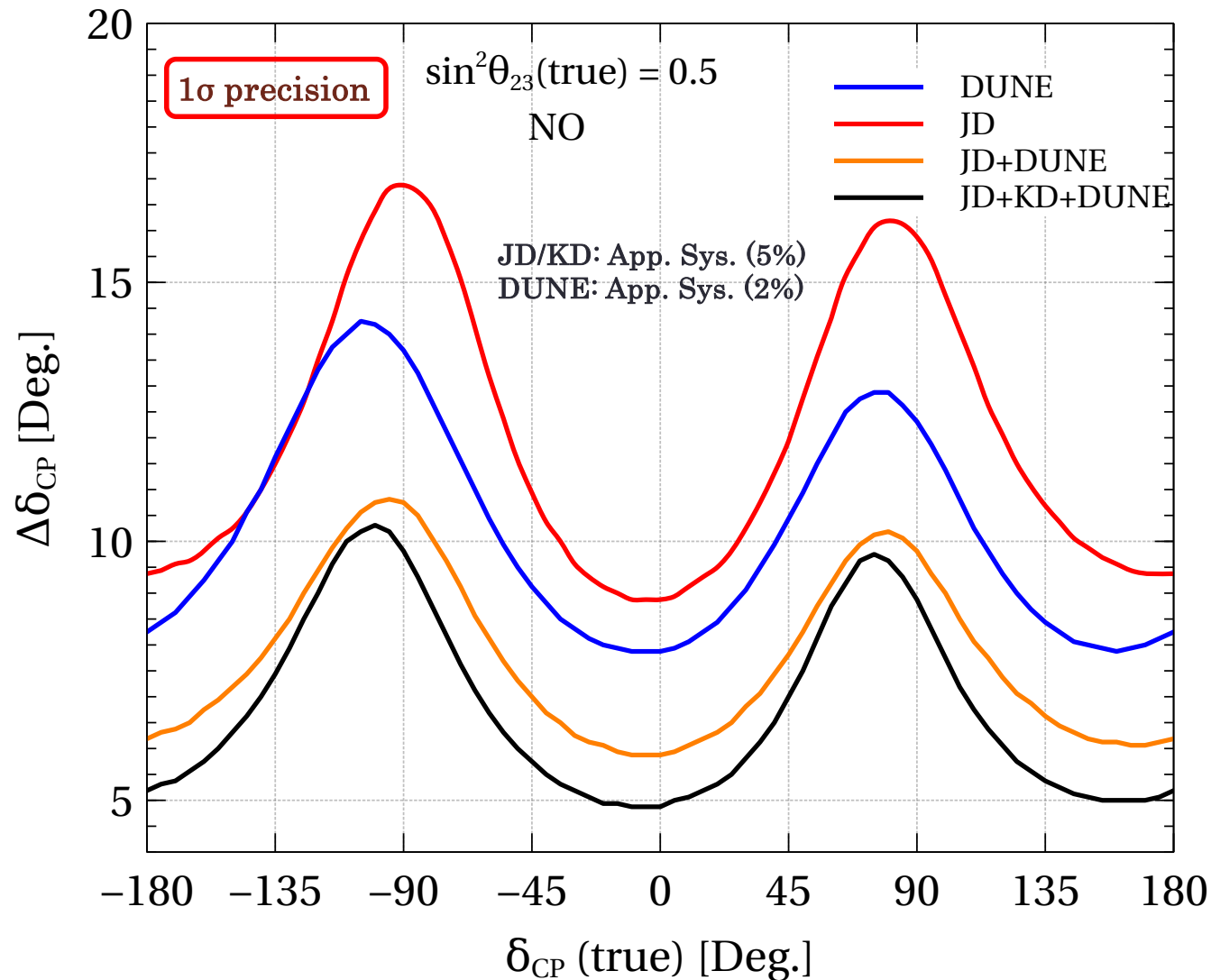
CP asymmetry decreases with increasing  $\theta_{23} \rightarrow$  CP coverage gets reduced as we increase  $\theta_{23}$

Around maximal mixing choices of  $\theta_{23} \rightarrow$  sensitivity gets deteriorated in DUNE

Combination of DUNE & T2HK is must to achieve leptonic CP violation at  $\geq 3\sigma$  for at least 75% choices of  $\delta_{CP}$  irrespective of  $\theta_{23}$

S. K. Agarwalla, S. Das, A. Giarnetti, D. Meloni, and M. Singh, arXiv:2211.10620 [hep-ph]

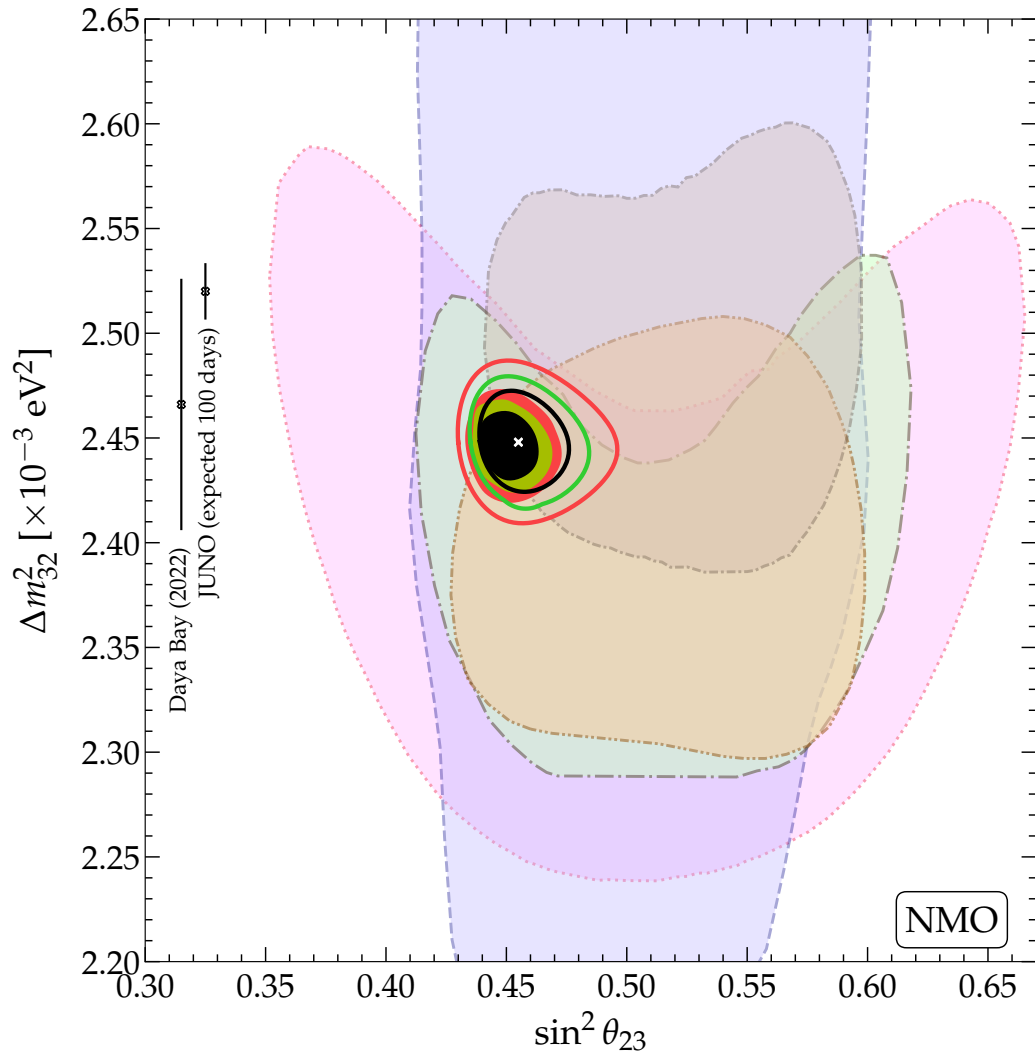
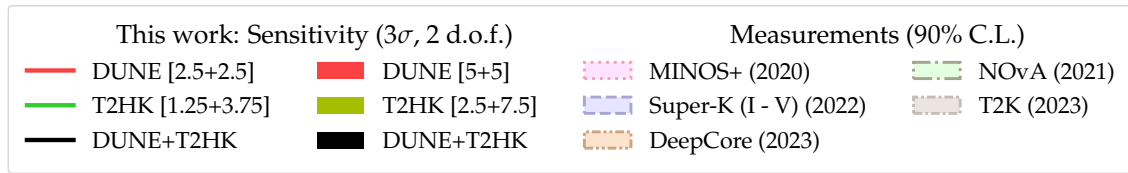
# High-Precision Measurement of Dirac CP Phase



**DUNE + T2HK (JD) can measure any value of  $\delta_{\text{CP}}$  with a  $1\sigma$  precision  $\lesssim 10^\circ$**

S. K. Agarwalla, S. Das, A. Giarnetti, D. Meloni, and M. Singh, in preparation

# Precision Measurement of Atmospheric Oscillation Parameters



Agarwalla, Kundu, and Singh, in preparation

# Probing BSM Scenarios Across 18 orders in E and 25 orders in L

Non-zero neutrino mass: first experimental proof (gateway) for BSM physics

Several BSM possibilities can be introduced in the framework of Effective Field Theory (EFT)

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{c^{d=5}}{\Lambda} \mathcal{O}^{d=5} + \frac{c^{d=6}}{\Lambda^2} \mathcal{O}^{d=6} + \dots$$

d=5 Weinberg Operator: LLHH,  $\Lambda$ : New Physics Scale  
S. Weinberg, PRL 43 (1979) 1566

BSM Scenarios naturally arise due to different mechanisms for generating  $\nu$  masses (e.g., seesaw)

Many models of BSM physics suggest: new fundamental particles and interactions, new sources of CP-invariance violation, lepton number and lepton flavor violations

# Probing BSM Scenarios Across 18 orders in $E$ and 25 orders in $L$

Non-zero neutrino mass: first experimental proof (gateway) for BSM physics

Several BSM possibilities can be introduced in the framework of Effective Field Theory (EFT)

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{c^{d=5}}{\Lambda} \mathcal{O}^{d=5} + \frac{c^{d=6}}{\Lambda^2} \mathcal{O}^{d=6} + \dots$$

d=5 Weinberg Operator: LLHH,  $\Lambda$ : New Physics Scale  
S. Weinberg, PRL 43 (1979) 1566

BSM Scenarios naturally arise due to different mechanisms for generating  $\nu$  masses (e.g., seesaw)

Many models of BSM physics suggest: new fundamental particles and interactions, new sources of CP-invariance violation, lepton number and lepton flavor violations

## Probe BSM Physics at High Energies (TeV-PeV)

High-Energy (TeV-PeV) Astrophysical Neutrinos coming from cosmic distances (Mpc-Gpc)

Giant Neutrino Telescopes: IceCube@South Pole, KM3NeT@Mediterranean Sea, future IceCube-Gen2

Novel Approach --  
New Physics beyond the reach of modern Colliders

# Probing BSM Scenarios Across 18 orders in $E$ and 25 orders in $L$

Non-zero neutrino mass: first experimental proof (gateway) for BSM physics

Several BSM possibilities can be introduced in the framework of Effective Field Theory (EFT)

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{c^{d=5}}{\Lambda} \mathcal{O}^{d=5} + \frac{c^{d=6}}{\Lambda^2} \mathcal{O}^{d=6} + \dots$$

d=5 Weinberg Operator: LLHH,  $\Lambda$ : New Physics Scale  
S. Weinberg, PRL 43 (1979) 1566

BSM Scenarios naturally arise due to different mechanisms for generating  $\nu$  masses (e.g., seesaw)

Many models of BSM physics suggest: new fundamental particles and interactions, new sources of CP-invariance violation, lepton number and lepton flavor violations

## Probe BSM Physics at High Energies (TeV-PeV)

High-Energy (TeV-PeV) Astrophysical Neutrinos coming from cosmic distances (Mpc-Gpc)

Giant Neutrino Telescopes: IceCube@South Pole, KM3NeT@Mediterranean Sea, future IceCube-Gen2

Novel Approach --  
New Physics beyond the reach of modern Colliders

## Probe BSM Physics at Low Energies (MeV-GeV)

Low-Energy (MeV-GeV) Accelerator & Atmospheric  $\nu$ s travelling terrestrial distances (few m - 1000s of km)

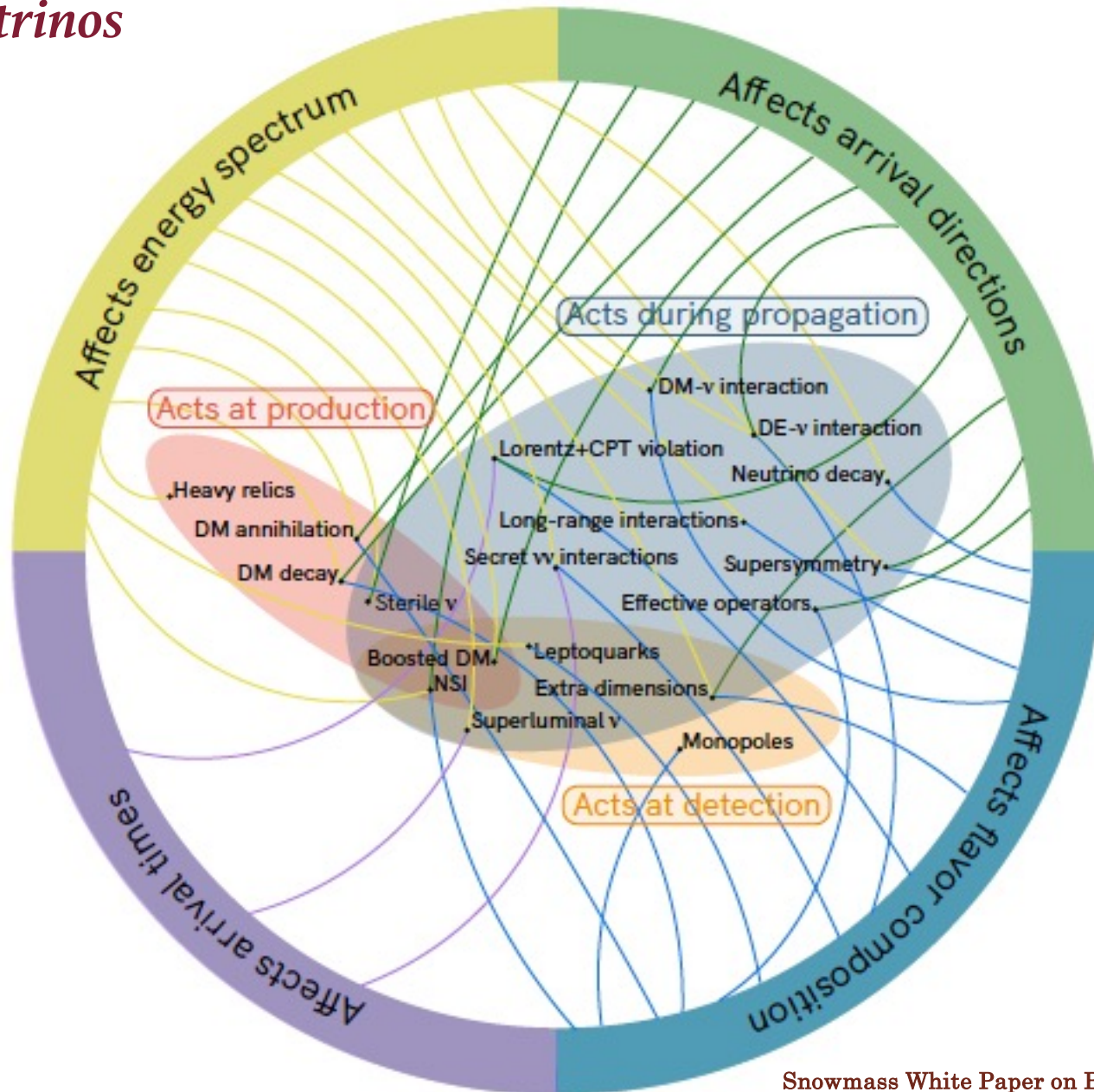
Accelerator: DUNE@USA, T2HK@Japan  
Atmospheric: India-based Neutrino Observatory (INO)

Expected to measure oscillation parameters with a precision around a few % -- sensitive to sub-leading BSM physics at low energies

Complement BSM search @ High-Energy Colliders



# BSM Scenarios and Observables of Astrophysical Neutrinos



Snowmass White Paper on BSM: arXiv:2203.10811v2

**Extra Slides**

# *Few Unique Features of Neutrinos*

- ⊙ **After photon, neutrino is the second-most abundant particles in the universe**

**Cosmic microwave background: 400 photons / cm<sup>3</sup> (Temperature: ~ 2.7 K)**

$$\text{mean energy } E_\gamma = k_B T = 2.3 \times 10^{-4} \text{ eV}$$

**Cosmic neutrino background: 330 neutrinos / cm<sup>3</sup> (Temperature: ~ 1.95 K)**

**(These are known as relic neutrinos: very low in energy: ~ 0.0002 eV)**

**(Even empty space between galaxies is full of neutrinos)**

**About 100 trillion neutrinos pass through our body every second**

**Hundred trillion = 100 000 000 000 000**

- ⊙ **The Sun produces ~ 10<sup>38</sup> neutrinos per second**

**But most of the neutrinos are relics of the Big Bang (~ 10<sup>10</sup> years old)**

# *Few Unique Features of Neutrinos*

- ⊙ **Nature's most elusive messenger, interacts very rarely, very hard to detect**

**Invisible: do not interact with light**

**100 billion neutrinos + the whole Earth = only one interaction**

**Stopping radiation with lead shielding: 50 cm for  $\alpha$ ,  $\beta$ ,  $\gamma$**

**Stopping neutrinos from the Sun: light years of lead**

- ⊙ **Arrives 'unscathed' from the farthest reaches of the Universe**

**Brings information from deep within the stars (Not possible with light)**

- ⊙ **The lightest massive particles**

**A million times lighter than the electron**

**No direct mass measurement yet**

## *Close Encounter with Neutrinos*

- ⊙ **When we take our morning walk on the green Nature, our body receives**
  - 400000 billion neutrinos from the Sun**
  - 50 billion neutrinos from the natural radioactivity of the Earth**
  - 10 – 100 billion neutrinos from the nuclear power plants all over the world**
- ⊙ **We can still enjoy our walk. Typically, a neutrino must zip through**
  - 10,000,000,000,000,000,000 people before doing anything**
- ⊙ **Our body contains about 20 milligrams of  $^{40}\text{K}$  which is beta-radioactive**
  - We emit about 340 million neutrinos per day, which run from our body**
    - at the speed of light until the end of the Universe**

# Neutrino Interaction Cross Section

Elastic scattering:  $\bar{\nu}e^- \rightarrow \bar{\nu}e^-$

Dimensional estimate assuming  $E_{\text{CM}} \gg m_e$  :  $\sigma \sim G_F^2 E_{\text{CM}}^x$

( $E_{\text{CM}}$  is the only available Lorentz-invariant scale parameter)

Dimensional analysis:

$$[\text{GeV}^{-2}] = [\text{GeV}^{-4}][\text{GeV}^x] \Rightarrow x = 2$$

$$\sigma \sim G_F^2 E_{\text{CM}}^2$$

Energies in the CM frame ( $E_{\text{CM}}$ ) and the lab frame ( $E_{\bar{\nu}}$ )

$$E_{\text{CM}}^2 = (E_{\bar{\nu}} + m_e)^2 - p_{\bar{\nu}}^2 \approx 2m_e E_{\bar{\nu}}$$

Therefore,  $\sigma \sim 2m_e G_F^2 E_{\bar{\nu}}$

# Neutrino Interaction Cross Section

Natural units:  $\sigma \sim 2m_e G_F^2 E_{\bar{\nu}}$  [unit:  $\text{GeV}^{-2}$ ]

Practical units:  $\sigma \sim 2(\hbar c)^2 m_e G_F^2 E_{\bar{\nu}}$   
[Unit:  $\text{GeV}^{-2} \times (\text{GeV} \times \text{cm})^2 = \text{cm}^2$ ]

The cross-section has a linear energy-dependence

Numerically,

$$\begin{aligned}\sigma &\sim 2m_e G_F^2 E_{\bar{\nu}} (\hbar c)^2 = \\ &= 2 \cdot 0.5 \text{ MeV} \cdot (1.166 \times 10^{-5} \text{ GeV}^{-2})^2 E_{\bar{\nu}} (0.2 \text{ GeV fm})^2 \sim \\ &\sim 10^{-43} \left( \frac{E_{\bar{\nu}}}{\text{MeV}} \right) \text{ cm}^2\end{aligned}$$

# Neutrino Mean Free Path

Mean free path of a typical reactor/solar ( $\sim 1$  MeV) (anti)neutrino in rock:

$$\lambda = (n\sigma)^{-1} \approx \left( \frac{\rho}{2m_p} \sigma \right)^{-1} \approx \frac{2 \times 1.67 \times 10^{-24} \text{ g}}{3 \text{ g/cm}^3 \times 10^{-43} \text{ cm}^2} \approx 10^{17} \text{ m} \approx 10 \text{ light years}$$

$n$ : density of protons [ $\text{cm}^{-3}$ ].

( $\sim$  distance to  $\alpha$  Canis Minoris)

$\rho$ : density of matter [ $\text{g cm}^{-3}$ ].

About half of the nucleons are protons.

Consider a  $\sim 1$  MeV neutrino produced in the Solar core.

Probability of interaction before leaving Sun:

$$P = 1 - e^{-R_{\odot}/\lambda} \approx R_{\odot}/\lambda \sim \frac{7 \times 10^8 \text{ m}}{10^{17} \text{ m}} \sim 10^{-8}$$

(average Solar density =  $1.4 \text{ g/cm}^3$ )

**Take Home Message**  $\rightarrow$

Low energy neutrinos are direct probes into the Sun's (and Earth's) interior  
(but not into neutron stars having densities around  $10^{14} \text{ g/cm}^3$ )



# Neutrino Detection

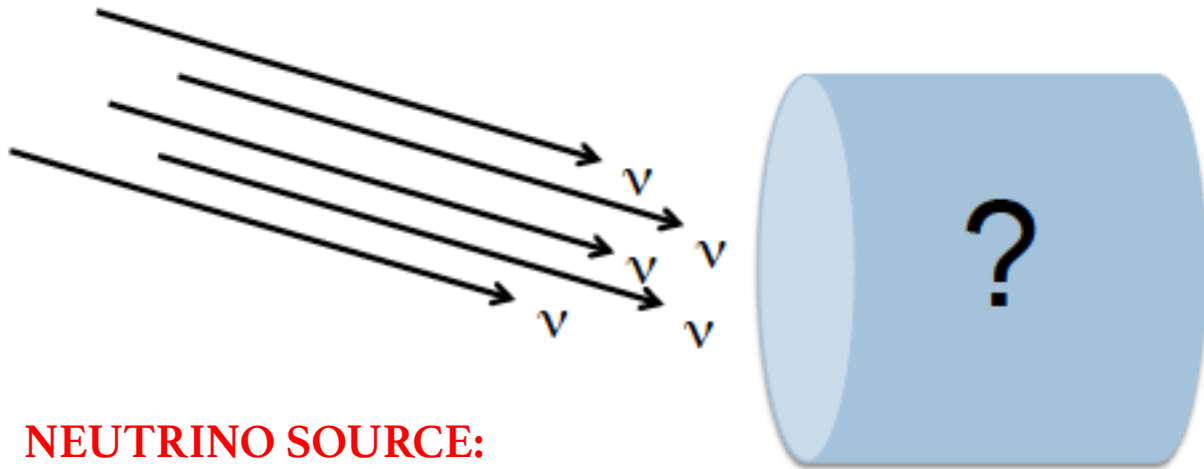
**Starting point: imagine you want to build a neutrino detector**

- to measure neutrino oscillation parameters
- or to peer deep into the Universe
- or to look for some other exciting new physics related to neutrinos

**Things to keep in mind:**

- a) what type of neutrino do you want to detect?  $\nu_e, \nu_\mu, \nu_\tau$  or  $\bar{\nu}_e, \bar{\nu}_\mu, \bar{\nu}_\tau$
- b) what is the source of neutrinos? influences the energy of  $\nu$  and interaction type(s)
- c) what do you want to measure?
  - final state particles? – directional information? – energy information?
- d) how many events do you need to achieve the required sensitivity?
  - determines the size of the detector and what you put in
- e) how much money do you have? **(most important!)**

Let us start the game.....



## NEUTRINO SOURCE:

Supernova, Sun, Atmosphere,  
Cosmic, Geo-neutrinos

Accelerator, Reactor,  
Radioactive Decays

## NEUTRINO DETECTOR

## QUESTIONS?

- How many neutrino interactions should I expect to see?
- How will they look like?

# Neutrino Economics

$$N_\nu(E) \sim \Phi_\nu(E) \times \sigma_\nu(E) \times \text{target}$$

**$\nu$  flux**

(# neutrinos)

depends on your  $\nu$  source

**$\nu$  cross section**

tiny ( $\sim 10^{-38} \text{ cm}^2$ )

$$\sigma_\nu^{\text{tot}} \sim E_\nu$$

at 1 GeV  $\sigma(\nu p) \sim 10^{-38} \text{ cm}^2$   
compare to  $\sigma(pp) \sim 10^{-26} \text{ cm}^2$   
 $\rightarrow \nu$  physics is a very patient business

$$\frac{G_F}{\sqrt{2}} = \frac{g^2}{8M_W^2}$$

tells you the probability for a  $\nu$  to interact with another particle

H. Bethe and R. Peirels:

- "there is no practically possible way of observing the neutrino"

# Neutrino Economics

$$N_{\nu}(E) \sim \Phi_{\nu}(E) \times \sigma_{\nu}(E) \times \text{target}$$

**$\nu$  flux**

(# neutrinos)

depends on your  $\nu$  source

*make this large!*

**detector**

(# targets, detection  $\varepsilon$ )

*make this large!*

**$\nu$  cross section**

tiny ( $\sim 10^{-38} \text{ cm}^2$ )

$$\sigma_{\nu}^{\text{tot}} \sim E_{\nu}$$

*can't do much about this unless you can increase  
the energy of your neutrinos*

# Designing a Neutrino Detector

Reactor antineutrino production rate per unit of thermal power:

$$F_{\nu} / P_{\text{th}} \sim 10^{20} \text{ s}^{-1} \text{ GW}^{-1}.$$

Power output of a typical reactor:  $P_{\text{th}} \sim 1 \text{ GW}$ , therefore  $F_{\nu} \sim 10^{20} \text{ s}^{-1}$ .

Let's place a detector at a distance  $L=10\text{m}$  from the reactor core.

Antineutrino flux at the detector:  $d\Phi/dt = F_{\nu} / (4\pi L^2) \sim 10^{13} \text{ cm}^{-2} \text{ s}^{-1}$ .

Detector active mass:  $m_{\text{det}} = 100 \text{ kg}$ .

Rate of IBD interactions in the detector:

$$F_{\text{int}} \approx (m_{\text{det}} / (2m_p)) \sigma (d\Phi/dt) \approx 0.3 \times 10^{29} \times 10^{-43} \text{ cm}^2 \times 10^{13} \text{ cm}^{-2} \text{ s}^{-1} = 0.03 \text{ s}^{-1}.$$

**~2 interactions / minute**

Most reactor antineutrinos are below IBD threshold.

Also, some protons are bound in nuclei (**80%** for  $\text{H}_2\text{O}$ ).

The detector is not 100% efficient. Rate of **detected** interactions:

**~ few interactions / hour**

# IBD Detection Principle

Inverse beta decay signature:

**prompt** signal from the positron annihilation +  
**delayed** signal from the neutron capture

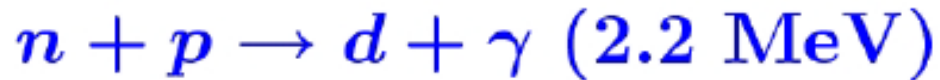


$$E_{\text{threshold}} = 1.8 \text{ MeV}$$

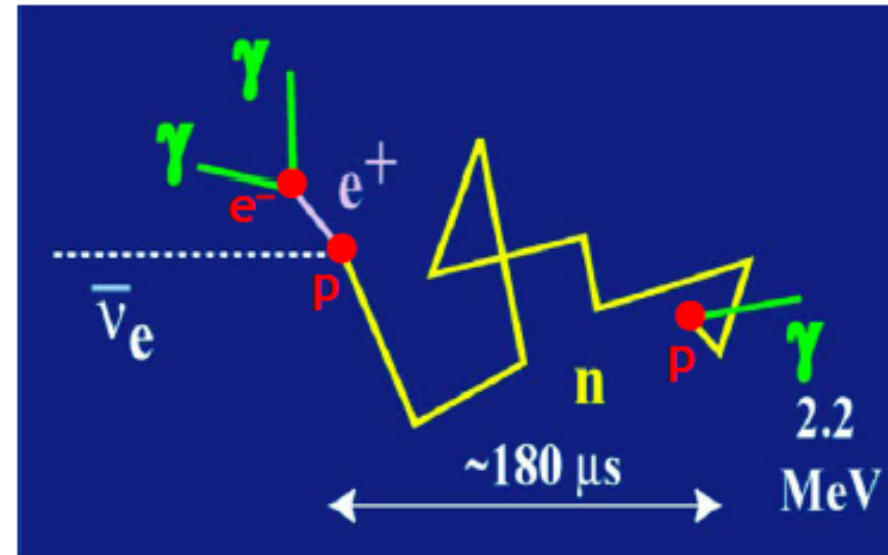
Positron detection: via annihilation



Neutron detection:  
via thermalization & capture, e.g.



(typical capture time  $\tau \sim 200 \mu\text{s}$ )  
( $\tau \sim 10 \mu\text{s}$  for Cd, Gd-doped targets)



**A possible detector type: scintillation detector**

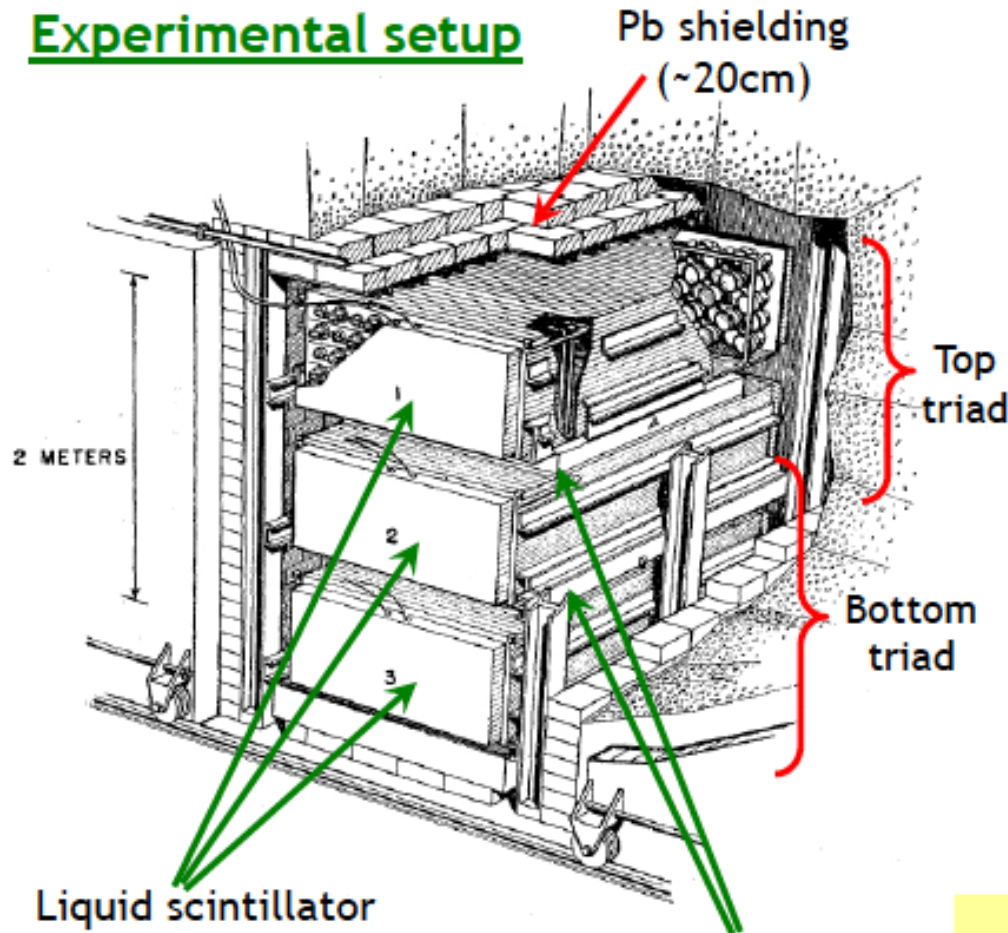
**Scintillation:** fast ( $\sim 1 \text{ ns}$ ) isotropic luminescence produced by absorption of ionising radiation

**→ A real-time experiment**

# Cowan-Reines Experiment

(Savannah River nuclear power plant, South Carolina, US, 1955–56)

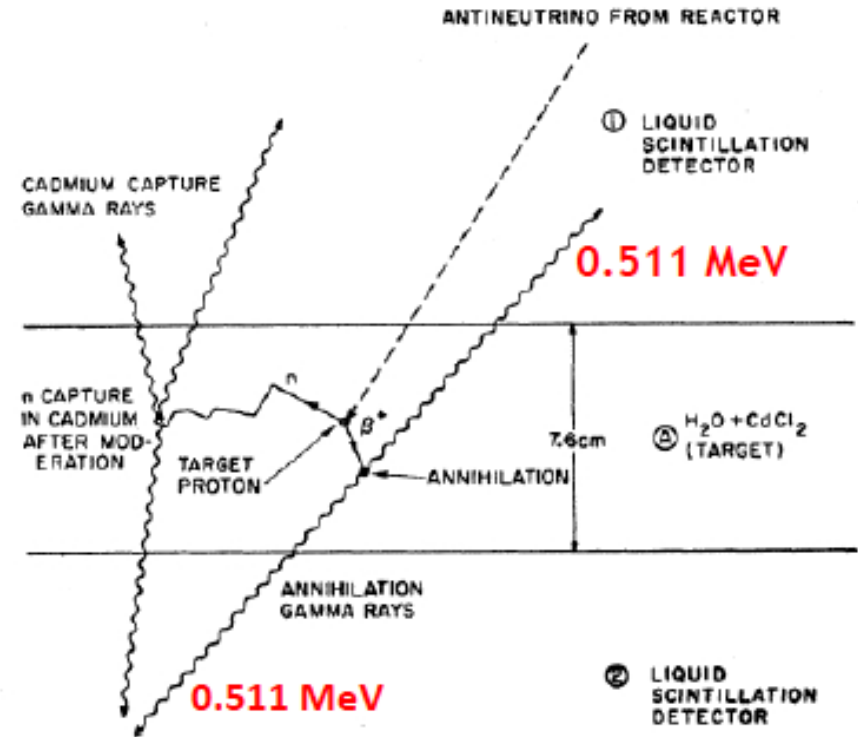
## Experimental setup



Liquid scintillator detectors (each equipped with 110 photomultipliers)

Thin  $\text{H}_2\text{O}+\text{CdCl}_2$  target tanks ( $0.2\text{m}^3$  each).  
Cd/H atomic ratio = 1%.

## Antineutrino interaction event



Prompt signal:  $2 \times 0.511$  MeV photons.  
Delayed signal: n capture on Cd,  $\sim 8$  MeV.  
Both signals: coincidence in two detectors.

# Reines-Cowan Announcement

RADIO-TELETYPE UNIT. RADIOGRAMM - RADIOGRAMME. RADIO-SUISSE S.A.

SBZ1311 ZHV UN1844 FM BZJ116 MH CHICAGOILL 56 14 1310  
PLC 00253

Erhalten - Recv. "VIA RADIO-SUISSE" Emission - Transmis

NEWYORK

NAME - NOM  
100

Brieftelegramm

LT

74 15. VI. 56 -1 10

NACHLASS  
PROF. W. PAULI

PROFESSOR W PAULI  
ZURICH UNIVERSITY ZURICH

Per Post  
①

NACHLASS  
PROF. W. PAULI

WE ARE HAPPY TO INFORM YOU THAT WE HAVE DEFINITELY DETECTED  
NEUTRINOS FROM FISSION FRAGMENTS BY OBSERVING INVERSE BETA DECAY  
OF PROTONS OBSERVED CROSS SECTION AGREES WELL WITH EXPECTED SIX  
TIMES TEN TO MINUS FORTY FOUR SQUARE CENTIMETERS  
FREDERICK REINES AND CLYDE COWAN  
BOX 1663 LOS ALAMOS NEW MEXICO

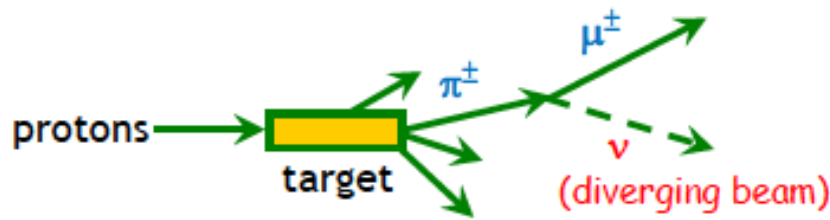
1956

Pauli replied: Thanks for the message.  
Everything comes to him who knows how to wait.

The neutrino was discovered in 1956.  
Nobel Prize awarded in 1995.



# First Accelerator Neutrinos



Are the  $\nu$  produced together with muons identical to the  $\nu$  produced together with electrons (e.g. in a reactor)?

Neutrino interaction (IBD) cross-section:

$$\sigma(1 \text{ MeV}) \sim 10^{-43} \text{ cm}^2; \quad \sigma(1 \text{ GeV}) \sim 10^{-38} \text{ cm}^2$$

Accelerator-produced (GeV)  $\nu$ 's are  
 $\sim 10^5$  times more likely to interact than reactor ones

Interaction probability in 2.25m thick Al block (used as the first detector):

$$P \approx \underbrace{\frac{\rho}{2m_p}}_{\text{density of relevant nucleons}} \sigma L \approx \frac{2.7 \text{ (g / cm}^3) / 2}{1.66 \times 10^{-24} \text{ g}} \cdot 10^{-38} \text{ cm}^2 \cdot 2.25 \text{ m} \approx 2 \times 10^{-12}$$

density of relevant nucleons

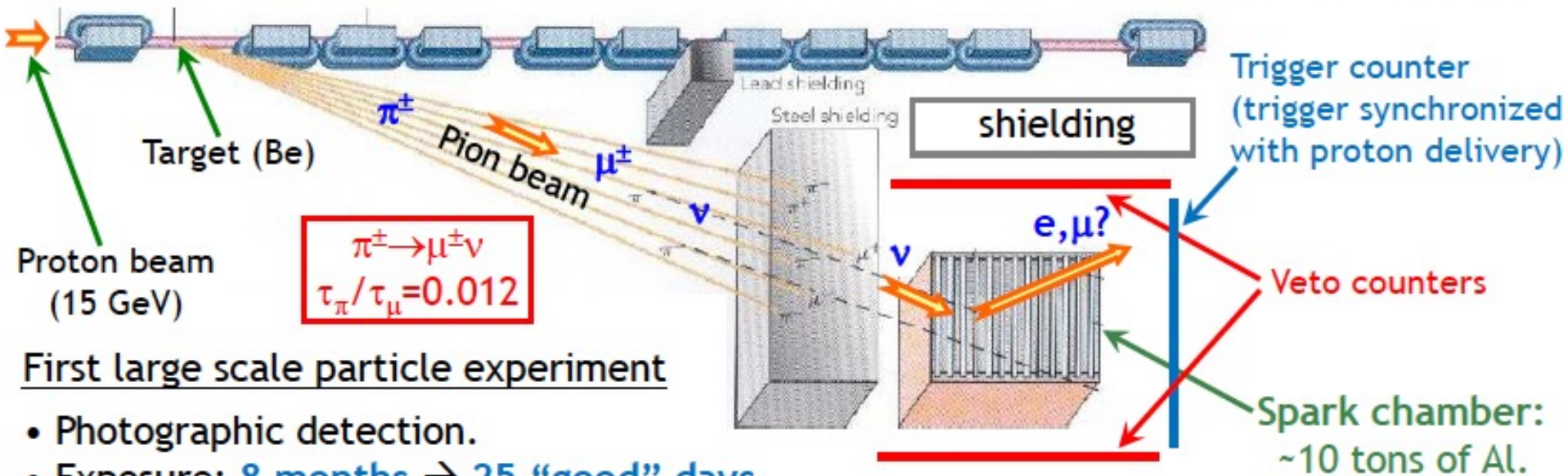
Production rates required for an experiment:

$$\nu \text{ beam} \sim 10^{12} / \text{hour} \Rightarrow p \text{ beam} \sim 10^{13} / \text{s} \quad (\text{high intensity})$$

The first accelerator proton beam of such intensity became available in the Brookhaven lab (US) in the early 1960s

# The Discovery of Muon Neutrino

## Lederman–Schwartz–Steinberger experiment, Brookhaven, 1962



### First large scale particle experiment

- Photographic detection.
- Exposure: 8 months  $\rightarrow$  25 “good” days.
- Detector “ON” for a total of 5.5 s.
- $\sim 10^{14}$  neutrinos through the detector.
- $\sim 5000$  spark chamber photographs taken.

### Method:

- Detect inverse beta decay in the spark chamber: e.g.  $\nu n \rightarrow \ell^- p$
- Identify the lepton type (e or  $\mu$ ).

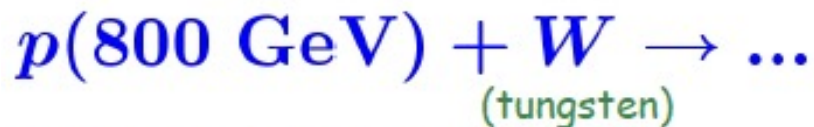
### Results:

- ❖ 29 muon tracks identified:  
 $\nu n \rightarrow \mu^- p$
- ❖ No electron tracks identified:  
the reaction  $\nu n \rightarrow e^- p$   
**WAS NOT OBSERVED**

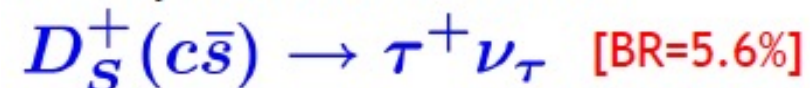
$\nu_e$  and  $\nu_\mu$  demonstrated to be different particles: Nobel Prize 1988

# The Discovery of Tau Neutrino

Secondary beam production:



Primary tau-neutrino source:



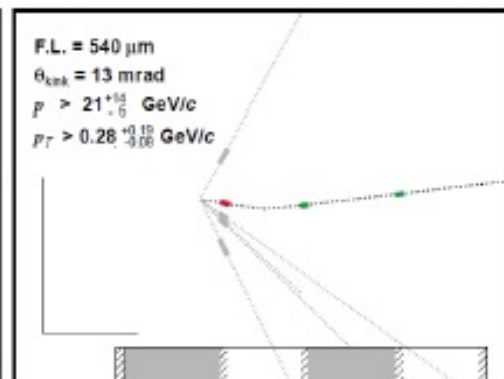
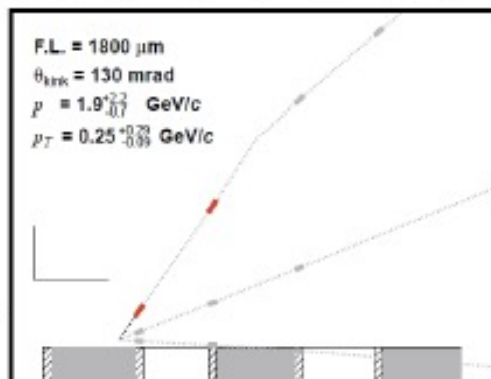
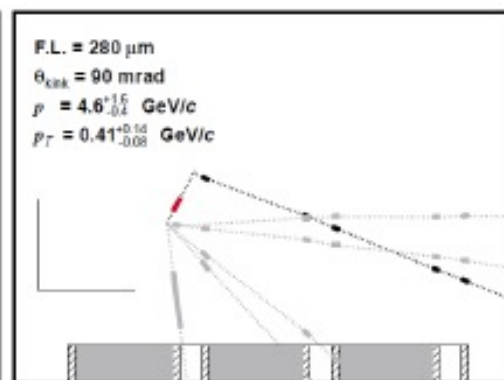
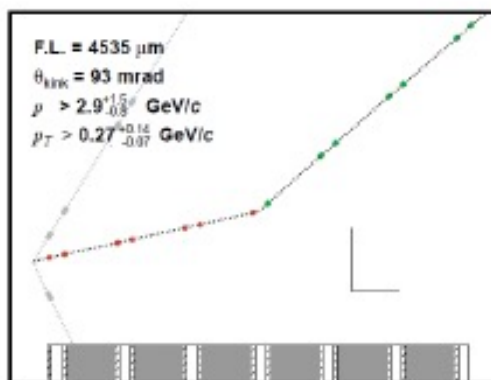
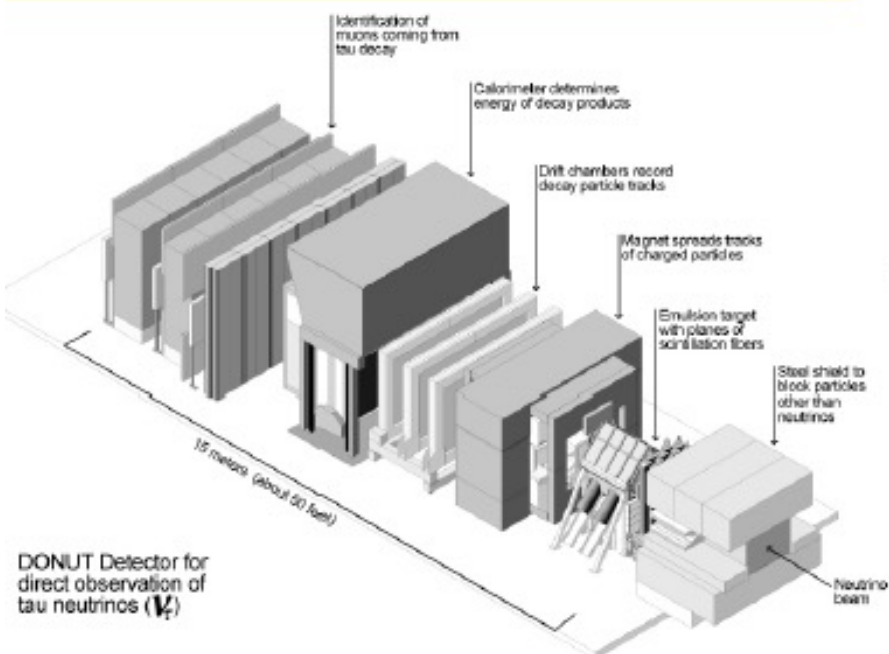
(~5% of all  $\nu$ 's are expected to be  $\nu_\tau$ )

$\nu_\tau$  postulated following  $\tau$  discovery in 1975;  
directly observed by the FNAL E872  
(DONUT) experiment in 2000.

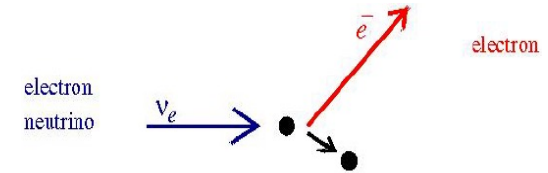
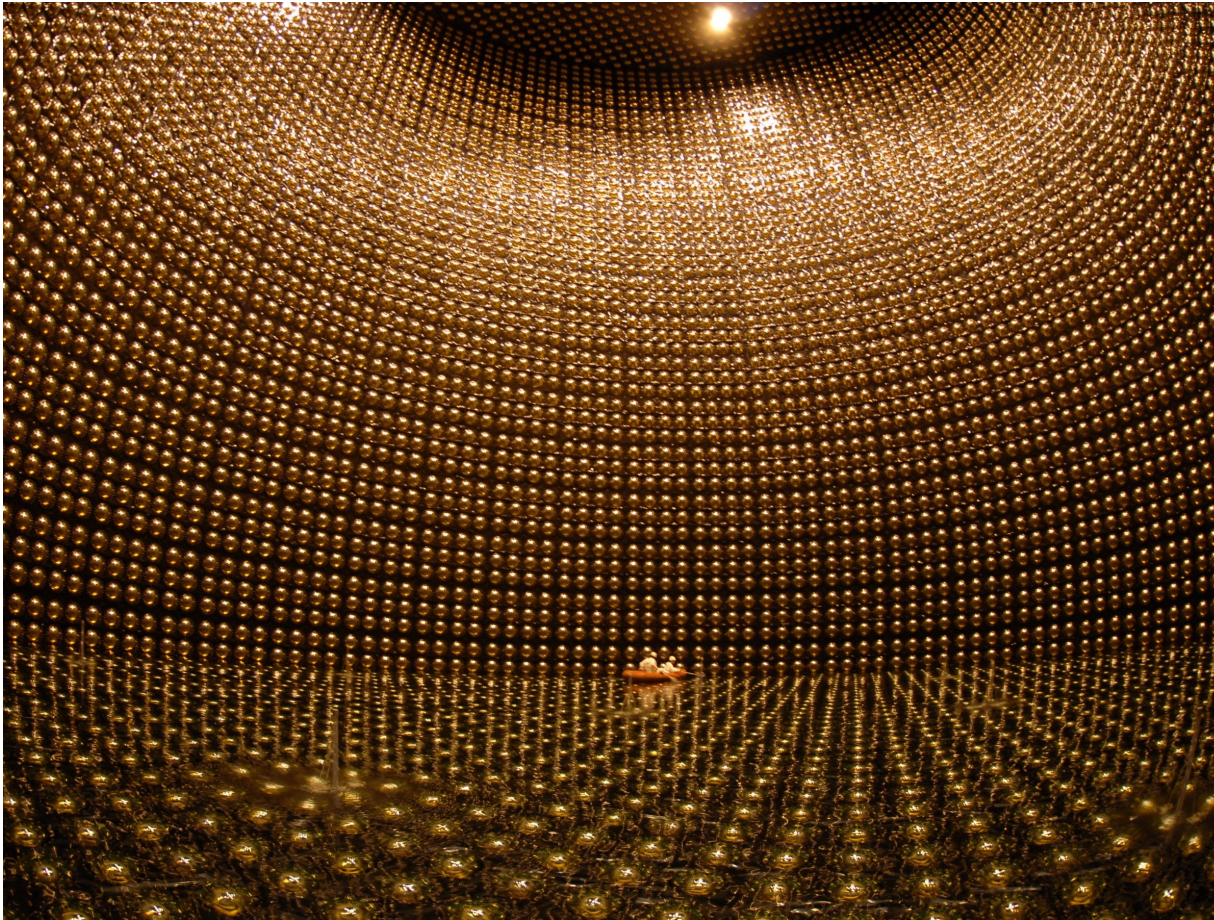


Mean  $\tau$  free path:  $\gamma c\tau=2\text{mm}$ ; decay into  
a single charged track: “track with a kink”

Detector type:  
Pb/emulsion sandwich + spectrometer



# Neutrino Detection in Super-Kamiokande



**Around 11,146  
Photomultiplier  
tubes (PMT)**

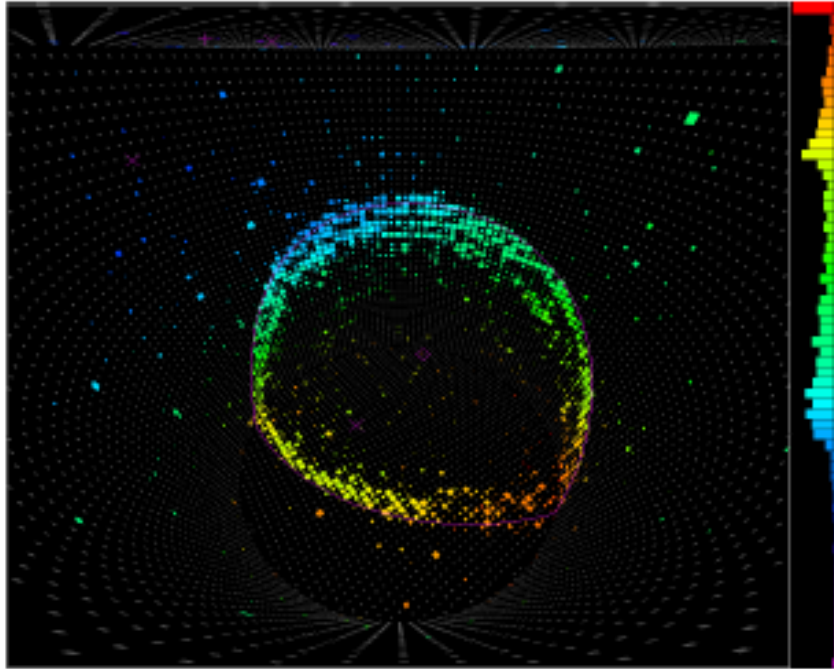
**Observes about 5 -10  
neutrinos per day  
(out of  $\geq 10^{25}$  neutrinos  
passing through)**

**Super-Kamiokande detector in Japan is located 1,000 m (3,300 ft) underground in the Mozumi mine. It consists of a cylindrical stainless-steel tank (41.4 m tall and 39.3 m in diameter) holding 50,000,000 litres of ultra-pure water**

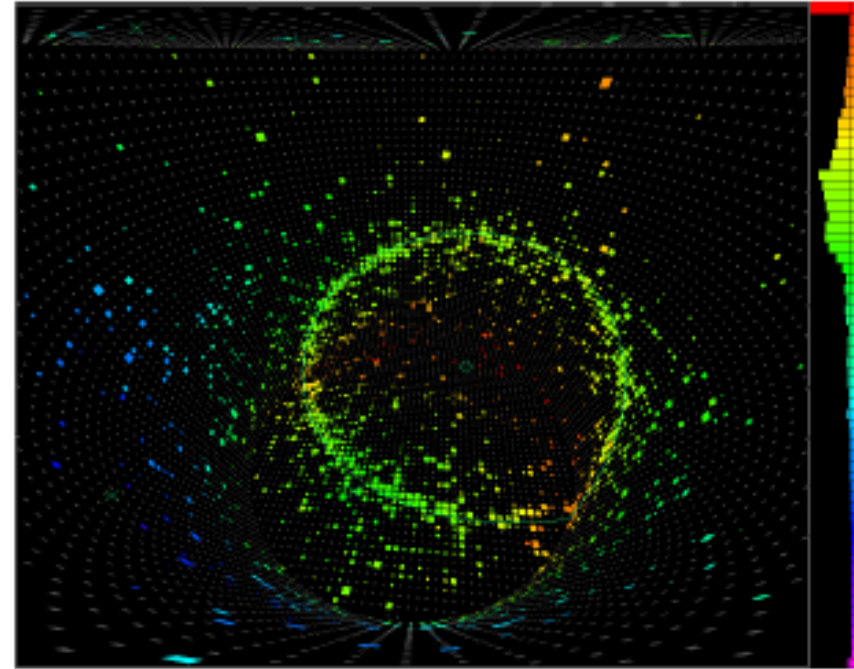
**Important message: Build very large detectors & wait for a very long time**

# Super-Kamiokande

muon from  $\nu_{\mu}$   
(sharp outer edge)



electron from  $\nu_e$   
(fuzzy ring)



- detector responsible for discovery of atmospheric  $\nu$  oscillations (1998), being used for T2K to measure  $\nu_{\mu} \rightarrow \nu_e$  oscillations with accelerator  $\nu$ 's

Each dot is one phototube, and its color represents the relative amount of light it collected, in terms of the amount of electric charge produced by the phototube, during the  $1.3 \mu\text{s}$  time window in which the displayed data were logged. The location and shape of the ring tell us the direction of the outgoing charged particle.

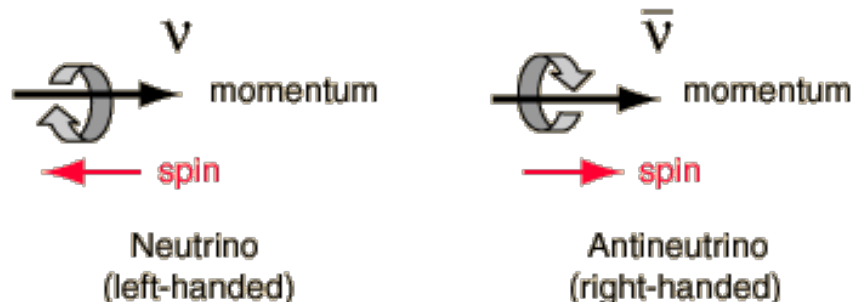
# Neutrinos are Left-Handed

- **Helicity** is projection of spin along the particles direction
  - Frame dependent (if massive)

The operator:  $\sigma \cdot \mathbf{p}$



- Neutrinos only interact weakly with a (V-A) interaction
  - All neutrinos are left-handed
  - All antineutrinos are right-handed



- If neutrinos have mass then left-handed neutrino is:
  - Mainly left-helicity
  - But also small right-helicity component  $\propto m/E$

- **Handedness (or chirality)** is Lorentz-invariant
  - Only same as helicity for massless particles.
- Only left-handed charged-leptons ( $e^-, \mu^-, \tau^-$ ) interact weakly but mass brings in right-helicity:

$$\begin{aligned}
 R_{theory} &= \frac{\Gamma(\pi^+ \rightarrow e^+ \nu_e)}{\Gamma(\pi^+ \rightarrow \mu^+ \nu_\mu)} \\
 &= \left(\frac{m_e}{m_\mu}\right)^2 \left(\frac{m_\pi^2 - m_e^2}{m_\pi^2 - m_\mu^2}\right)^2 \\
 &= 1.23 \times 10^{-4}
 \end{aligned}$$

Helicity is the projection of the spin onto the direction of momentum

# Neutrinos are Left Handed

Explanation:

Assuming massless neutrinos, we find experimentally:

- All neutrinos are left handed
- All anti-neutrinos are right handed

c) Left handed: Spin and  $Z$  component of momentum are anti-parallel

d) Right handed: Spin and  $Z$  component of momentum are parallel.

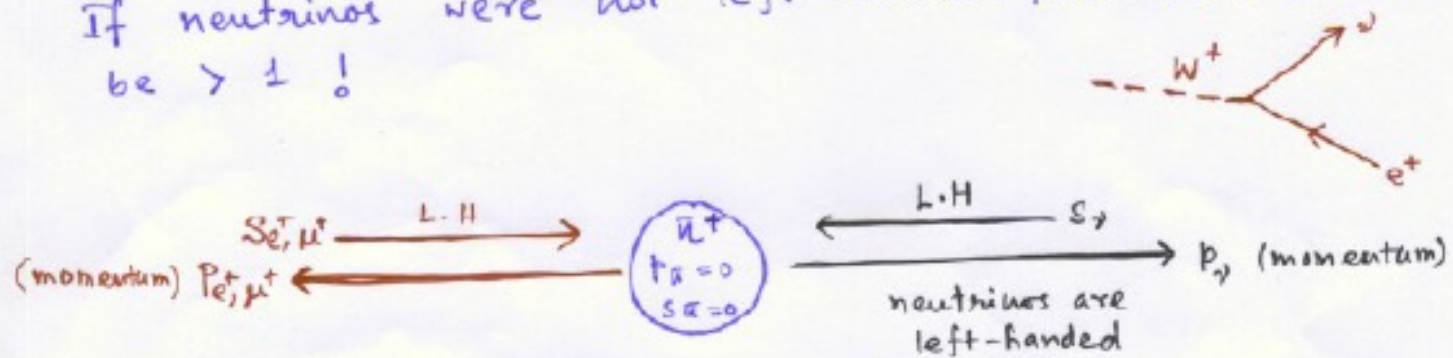
This left/right handedness is illustrated in  $a^+ \rightarrow l^+ \nu_l$  decay.

$$\frac{\text{Br}(a^+ \rightarrow e^+ \nu_e)}{\text{Br}(a^+ \rightarrow \mu^+ \nu_\mu)} = 1.283 \times 10^{-4} \quad \text{or same is true for } a^- \text{ decay as well.}$$

# Neutrinos are Left-Handed

$$\frac{\text{Br}(\bar{u}^+ \rightarrow e^+ \nu_e)}{\text{Br}(\bar{u}^+ \rightarrow \mu^+ \nu_\mu)} = 1.283 \times 10^{-4} \quad \text{or same is true for } a^- \text{ decay as well.}$$

If neutrinos were not left handed, the ratio would be  $> 1$  !



Angular momentum conservation forces the charged lepton ( $e, \mu$ ) to be in "wrong" handed state:

→ a left handed positron ( $e^+$ ).

o Now the probability to be in the wrong handed state  $\sim m_\ell^2$

$$\frac{\text{Br}(\bar{u}^+ \rightarrow e^+ \nu_e)}{\text{Br}(\bar{u}^+ \rightarrow \mu^+ \nu_\mu)} = \frac{m_e^2}{m_\mu^2} \left[ \frac{m_u^2 - m_e^2}{m_u^2 - m_\mu^2} \right]^2 = (1.280 \pm 0.004) \times 10^{-4}$$

Handedness:  $2 \times 10^{-5}$

Phase space  $\sim 5$

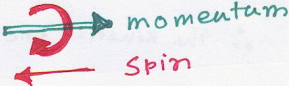


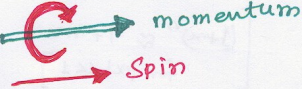
# C, P, CP Properties of Neutrino

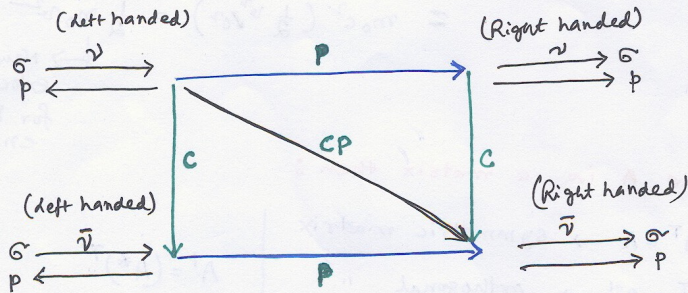
\* Experimentally we find that all neutrinos are left handed and anti-neutrinos are right handed.

Left handed: Spin and  $\hat{z}$  component of momentum are anti-parallel.

Right handed: Spin and  $\hat{z}$  component of momentum are parallel.

Neutrinos (left-handed): 

Anti-neutrinos (right-handed): 



∴ CP should be good symmetry.

N.B In the quark sector, we have seen tiny CP violation. Now, one of the fundamental question in the neutrino sector is that whether CP is violated in neutrino sector or not?

\* Unsolved Issue: Fundamental Query: Can we discover CP violation in neutrino sector?

Parity (P) and Charge Conjugation (C) are violated separately in weak interactions.

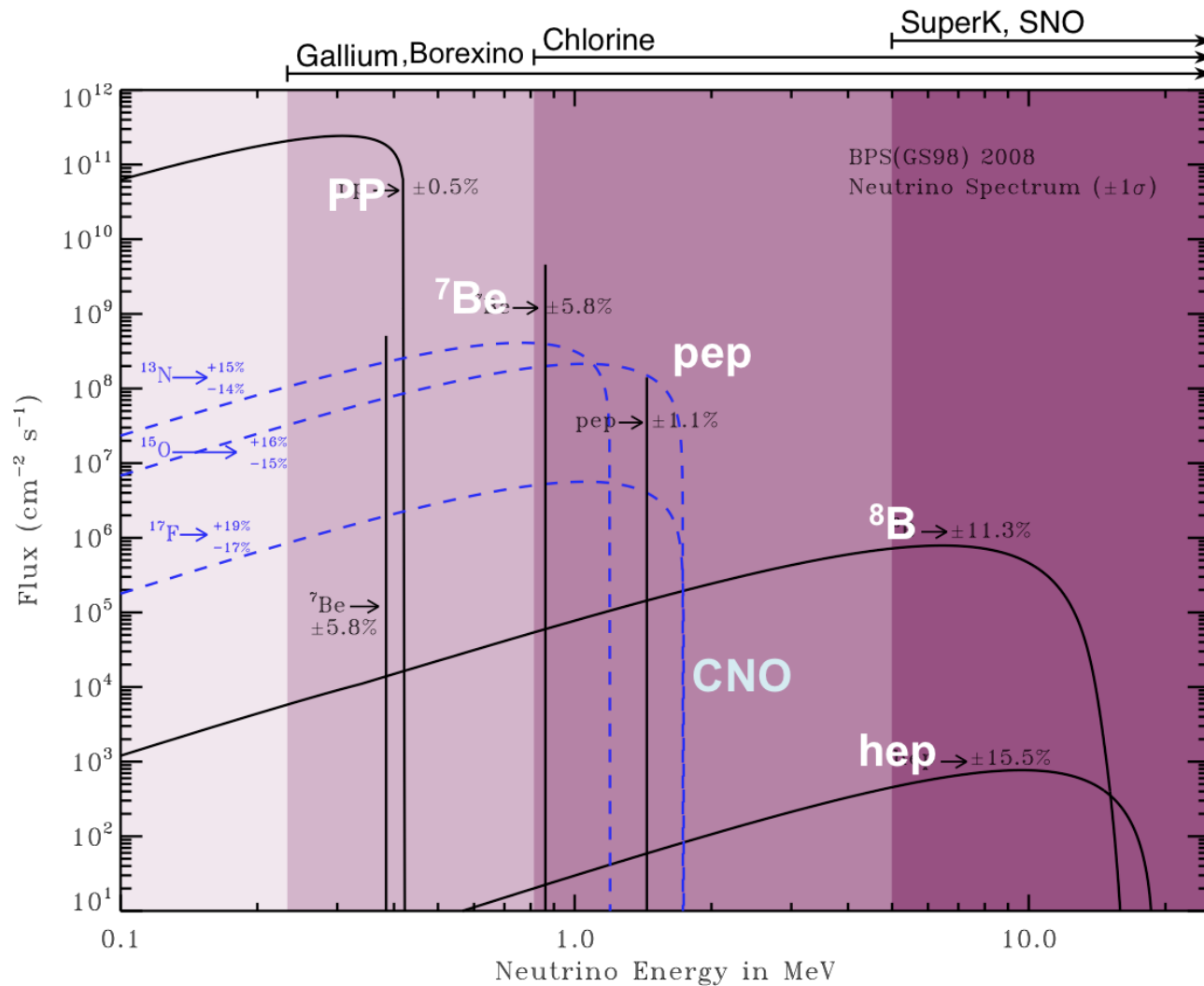
Is there CP violation in neutrino sector?

Study of Neutrino and Antineutrino Oscillation probabilities separately may provide the answer

Present and future long-baseline neutrino oscillation experiments are going to shed light on this

**(T2K, NOvA, DUNE, T2HK)**

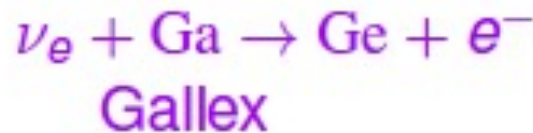
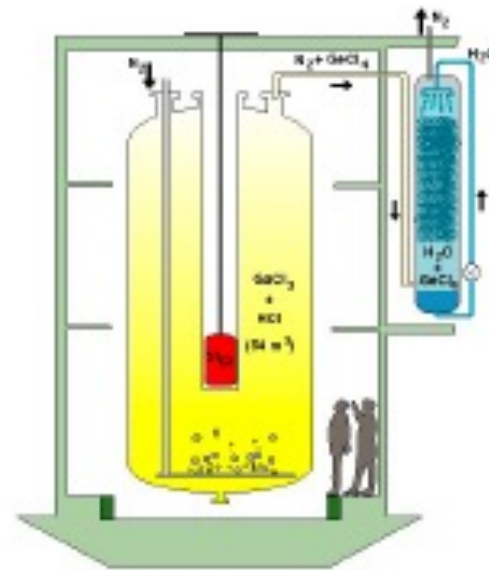
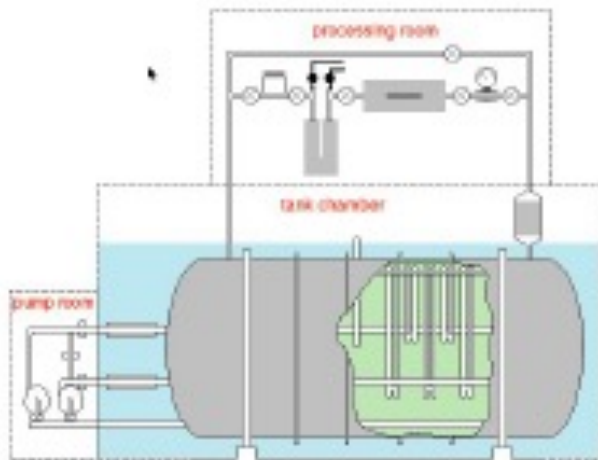
# The Solar Neutrino Spectra



- Magnitudes of fluxes depend on details of solar interior
- Spectral shapes robustly known

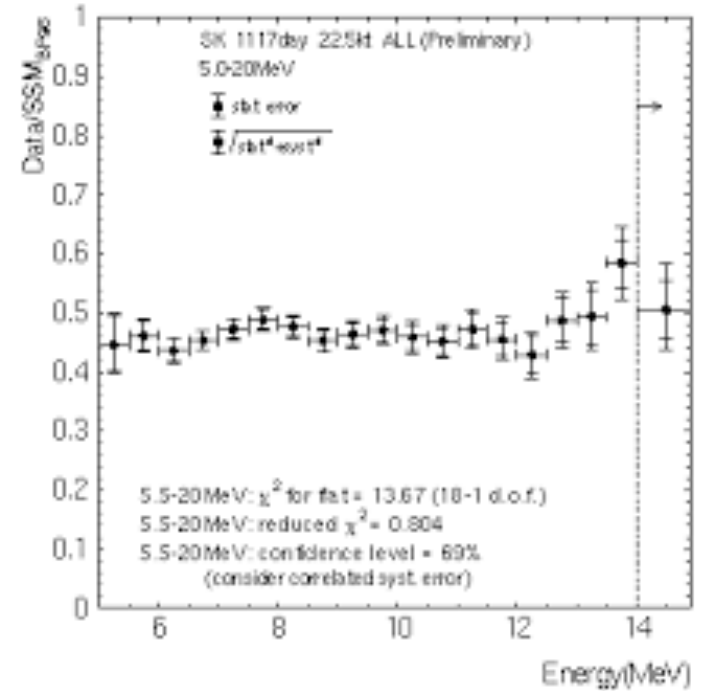
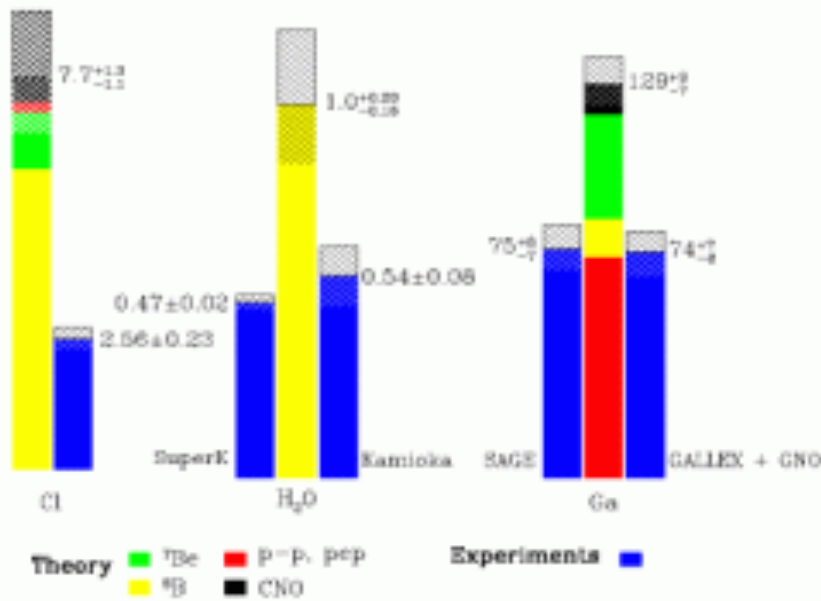
# Detecting Neutrinos from the Sun

- The Sun produces  $\nu_e$
- These  $\nu_e$  can be detected at Earth: difficult, but possible



# Do we really understand how the Sun shines?

Total Rates: Standard Model vs. Experiment  
Bahcall-Pinsonneault 2000



# *The Solar Neutrino Anomaly*

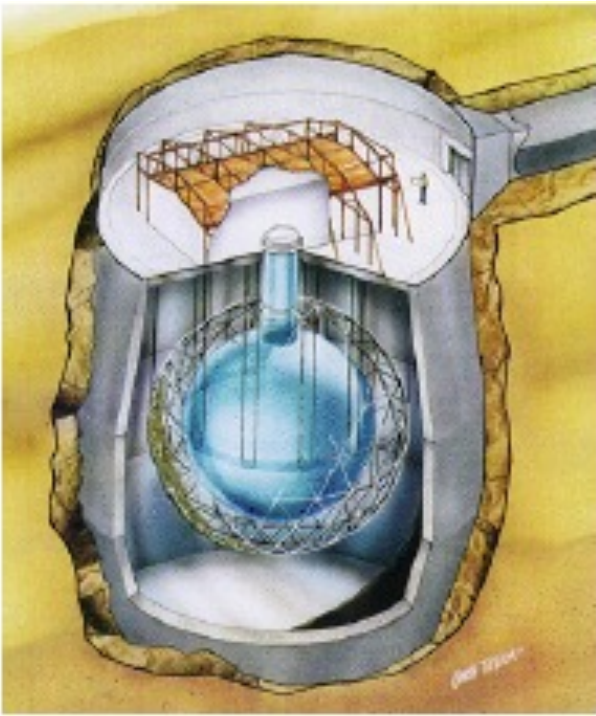
## **Puzzle:**

- Only about 30%–50% of neutrinos from the Sun found
- Different experiments give different neutrino loss...  
(They look at different energy ranges, of course..)
- SuperKamiokande shows similar neutrino loss at all energies

## **Possible Reasons:**

- The astrophysicists cannot calculate accurately
- The experimentalists cannot measure accurately
- Neutrinos behave differently from what everyone thought

# Heavy water Cherenkov experiment: SNO



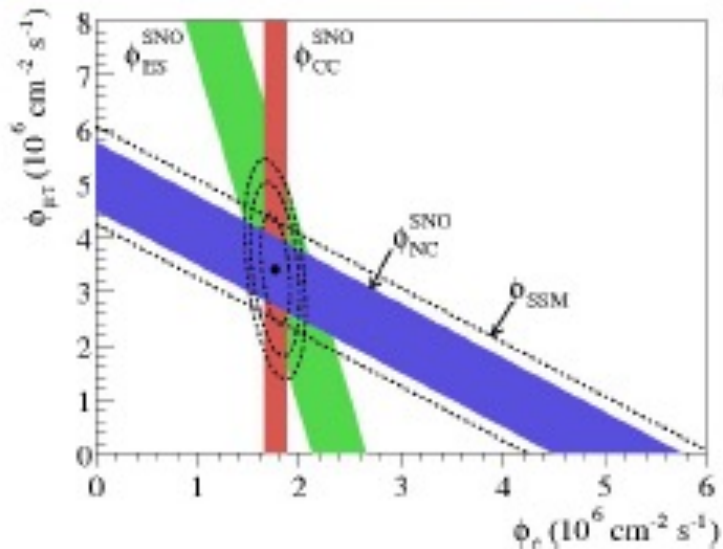
- **Heavy water Cherenkov**

- $\nu_e D \rightarrow p p e^-$   
sensitive to  $\Phi_e$

- $\nu_{e,\mu,\tau} e^- \rightarrow \nu_{e,\mu,\tau} e^-$   
Sensitive to  $\Phi_e + \Phi_{\mu\tau}/6$

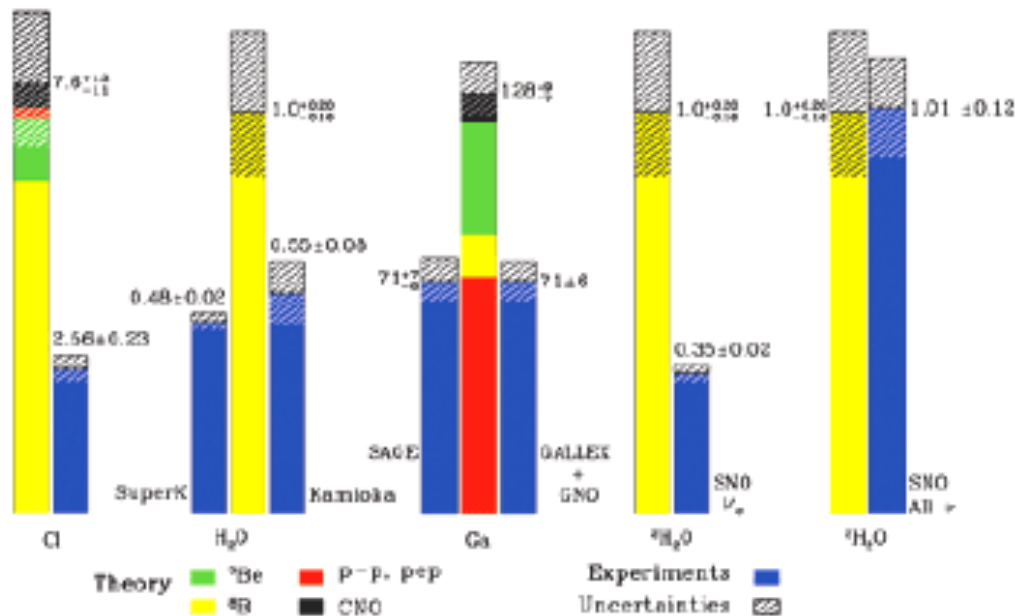
- $\nu_{e,\mu,\tau} D \rightarrow n p \nu_{e,\mu,\tau}$   
sensitive to  $\Phi_e + \Phi_{\mu\tau}$

- Neutral current: no effect of oscillations



# Solar neutrino problem solved (2002)

Total Rates: Standard Model vs. Experiment  
Bahcall-Pinsonneault 2000



- All neutrinos from the Sun are now accounted for !
- Our understanding of the Sun is vindicated...

# Three Neutrino Mixing

Three neutrino mixing firmly established...

flavor states participating in standard weak interactions

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Leptonic Mixing Matrix

neutrino mass states

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Atmospheric &  
Long-baseline accelerator  
neutrinos

Quasi  
2-neutrino  
mixing

Solar &  
Long-baseline reactor  
neutrinos

$$L/E = 500 \text{ km/GeV}$$

$$\Delta m_{31}^2 \sim 2.5 \times 10^{-3} \text{ eV}^2$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta_{ij} * \sin^2\left(1.27 \Delta m_{ij}^2 \frac{L}{E}\right)$$

$$L/E = 15,000 \text{ km/GeV}$$

$$\Delta m_{21}^2 \sim 7.6 \times 10^{-5} \text{ eV}^2$$



# *Neutrino Physics: An Exercise in Patience*

Three most fundamental questions were being asked in the past century...

## 1. How tiny is the neutrino mass? (Pauli, Fermi, '30s)

Planck + BAO + WMAP polarization data: upper limit of **0.23 eV** for the sum of  $\nu$  masses  
Planck Collaboration, arXiv:1303.5076 [astro-ph.CO]

## 2. Can a neutrino turn into its own antiparticle? (Majorana, '30s)

Hunt for  $\nu$ -less Double- $\beta$  decay ( $Z, A \rightarrow Z+2, A$ ) is still on, demands **lepton number violation**  
Nice Review by Avignone, Elliott, Engel, Rev.Mod.Phys. 80 (2008) 481-516

## 3. Do different $\nu$ flavors 'oscillate' into one another? (Pontecorvo, Maki-Nakagawa-Sakata, '60s)

B. Pontecorvo, Sov. Phys. JETP 26, 984 (1968) [Zh. Eksp. Teor. Fiz. 53, 1717 (1967)]

Last question positively answered only in recent years. Now an established fact that  
**neutrinos are massive** and leptonic flavors are not **symmetries of Nature**

After the measurement of  $\theta_{13}$ , a clear first order picture of the three-flavor lepton mixing matrix has emerged, signifies a major breakthrough in  $\nu$  physics