



# Latest neutrino oscillation results from Super-Kamiokande

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for Super-Kamiokande collaboration

Neutrino Workshop at IFIRSE, July 17, 2023

# The Super-Kamiokande collaboration



SK collaboration meeting at Toyama, May 2023



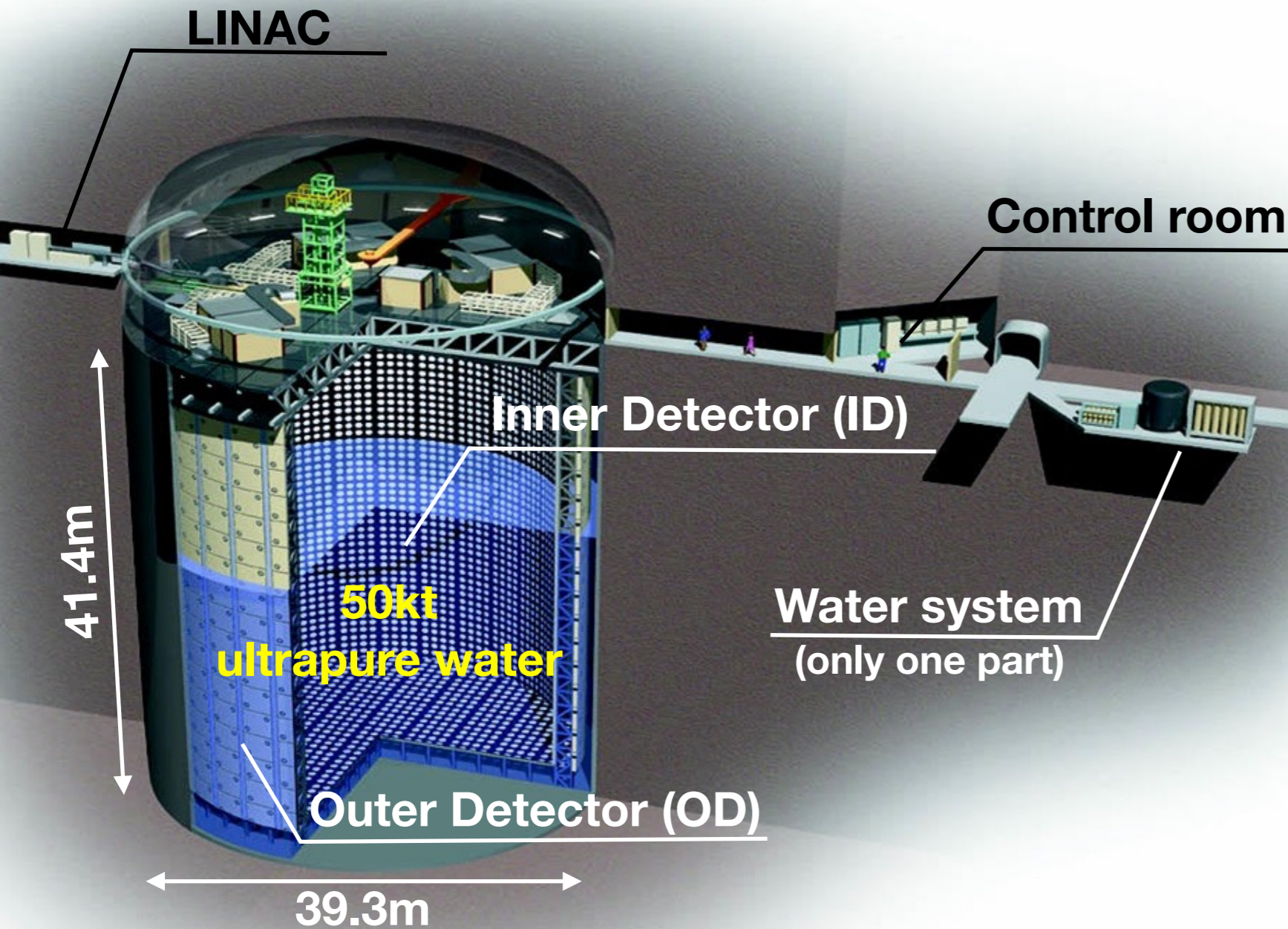
Kamioka Observatory, ICRR, Univ. of Tokyo, Japan  
RCCN, ICRR, Univ. of Tokyo, Japan  
University Autonoma Madrid, Spain  
BC Institute of Technology, Canada  
Boston University, USA  
University of California, Irvine, USA  
California State University, USA  
Chonnam National University, Korea  
Duke University, USA  
Fukuoka Institute of Technology, Japan  
Gifu University, Japan  
GIST, Korea  
University of Hawaii, USA  
IBS, Korea  
IFIRSE, Vietnam  
Imperial College London, UK  
ILANCE, France

INFN Bari, Italy  
INFN Napoli, Italy  
INFN Padova, Italy  
INFN Roma, Italy  
Kavli IPMU, The Univ. of Tokyo, Japan  
Keio University, Japan  
KEK, Japan  
King's College London, UK  
Kobe University, Japan  
Kyoto University, Japan  
University of Liverpool, UK  
LLR, Ecole polytechnique, France  
Miyagi University of Education, Japan  
ISEE, Nagoya University, Japan  
NCBJ, Poland  
Okayama University, Japan  
University of Oxford, UK

Rutherford Appleton Laboratory, UK  
Seoul National University, Korea  
University of Sheffield, UK  
Shizuoka University of Welfare, Japan  
Sungkyunkwan University, Korea  
Stony Brook University, USA  
Tohoku University, Japan  
Tokai University, Japan  
The University of Tokyo, Japan  
Tokyo Institute of Technology, Japan  
Tokyo University of Science, Japan  
TRIUMF, Canada  
Tsinghua University, China  
University of Warsaw, Poland  
Warwick University, UK  
The University of Winnipeg, Canada  
Yokohama National University, Japan

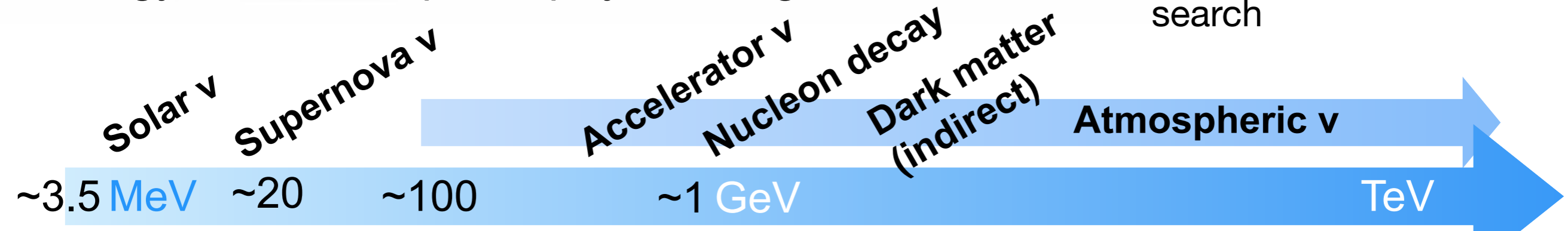
~230 collaborators from 51 institutes in 11 countries

# Super-Kamiokande

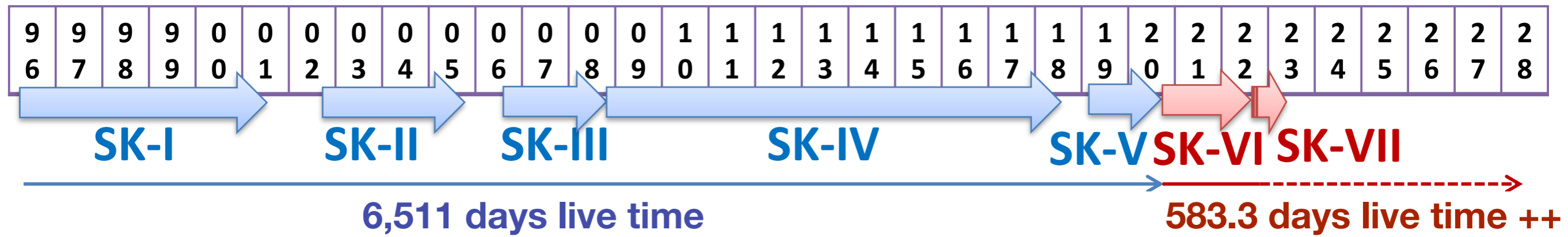


- Locates 1 km underground in Kamioka mine
- **50 kton ultra-pure water Cherenkov detector**
  - Inner Detector (ID)
    - 11,129 PMTs (20-inch)
  - Outer Detector (OD)
    - 1885 PMTs (8-inch)
- Operating since 1996 (SK-I)
- SK-VII is running
- **Physics targets:**
  - Nucleon decay search
  - Neutrino oscillation study
  - Astrophysical neutrino search

Energy scale of Super-K physics targets

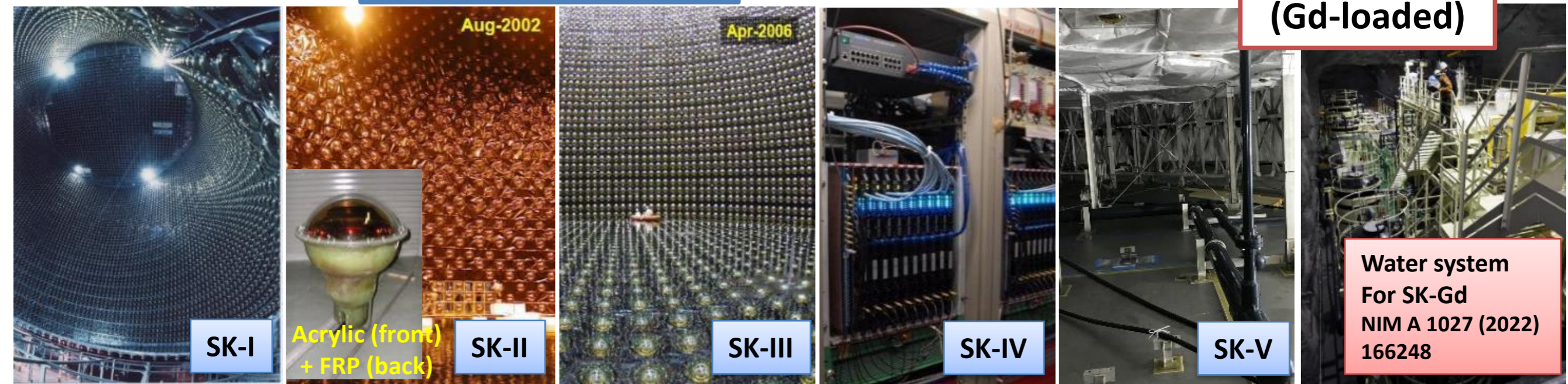


# SK data taking phases



“SK” (pure water)

“SK-Gd” (Gd-loaded)



11146 ID PMTs  
(40% coverage)

5182 ID PMTs  
(19% coverage)

11129 ID PMTs  
(40% coverage)

Electronics Upgrade

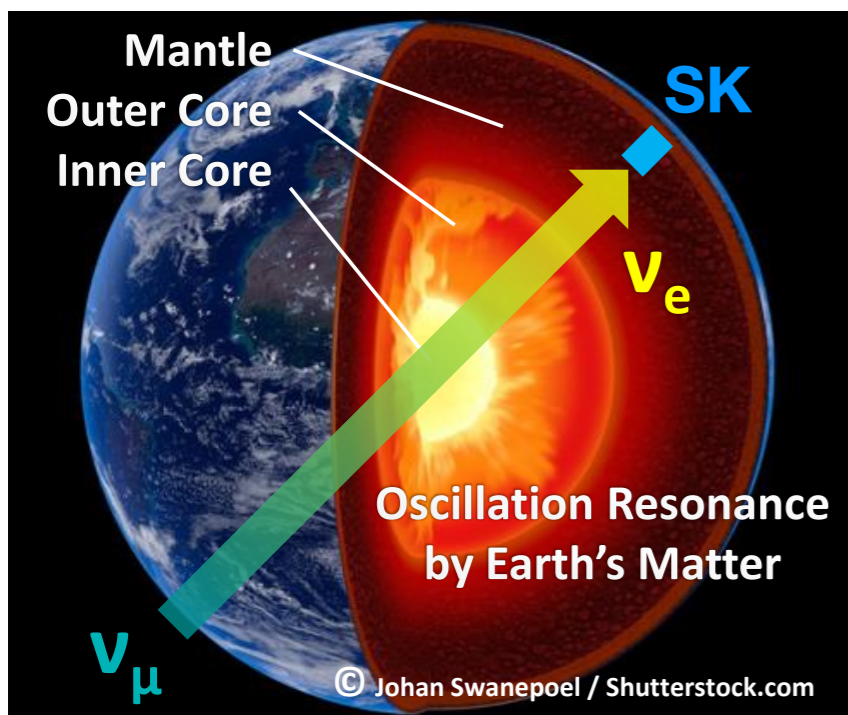
Refurbishment for SK-Gd

Neutron tagging with Gd

Water system  
For SK-Gd  
NIM A 1027 (2022)  
166248

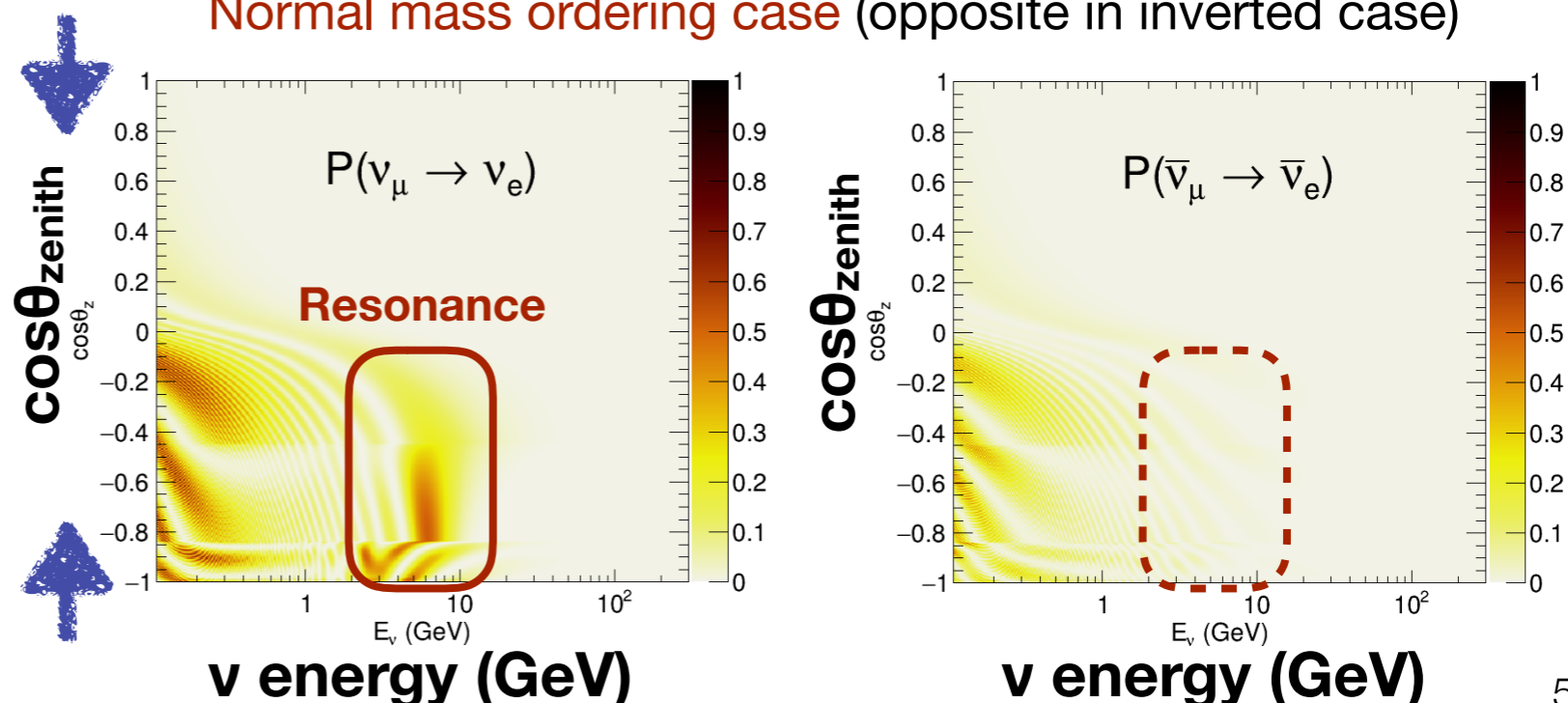
# Atmospheric neutrinos

- Produced with cosmic-rays in Earth's atmosphere
- Atmospheric neutrinos produced in wide range of energy, MeV~TeV, and travel length varies 10km~1300km
- Neutrinos experience “matter effect” in Earth's core, which modifies  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillation probability depending on neutrino mass ordering (MO):
  - Normal mass ordering:  $\nu_\mu \rightarrow \nu_e$  enhanced
  - Inverted mass ordering:  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  enhanced
- Upward-going  $\nu_e$  and  $\bar{\nu}_e$  appearances in a few ~ several GeV sensitive to neutrino mass ordering



## Oscillation probability

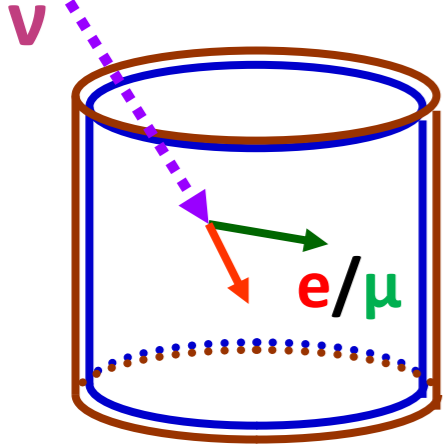
Normal mass ordering case (opposite in inverted case)



# Atmospheric $\nu$ event classification in SK

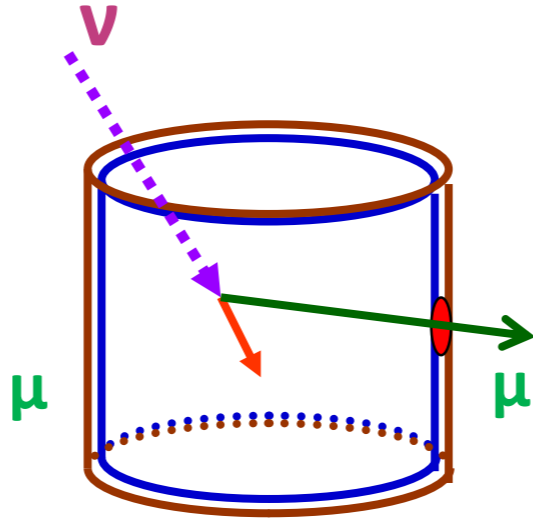
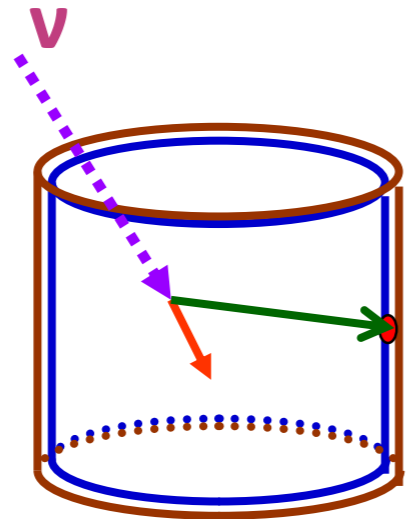
Fully contained (FC)

Sub/Multi-GeV,  
e/ $\mu$ -like, ring #



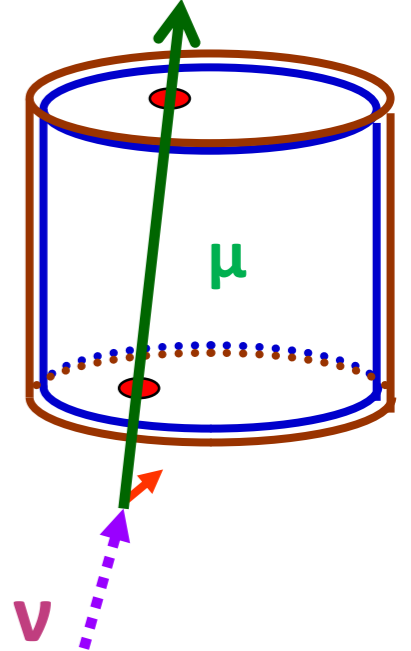
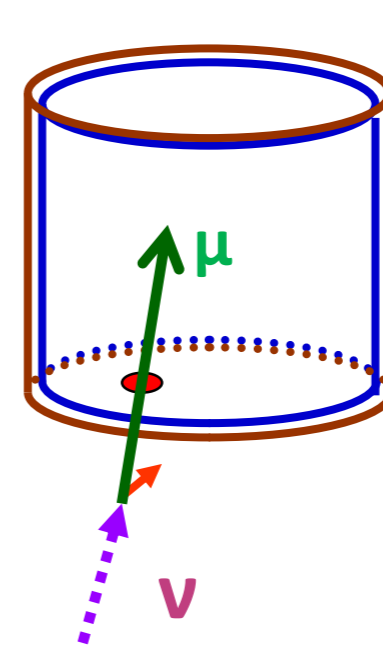
Partially contained (PC)

Stopping through-going

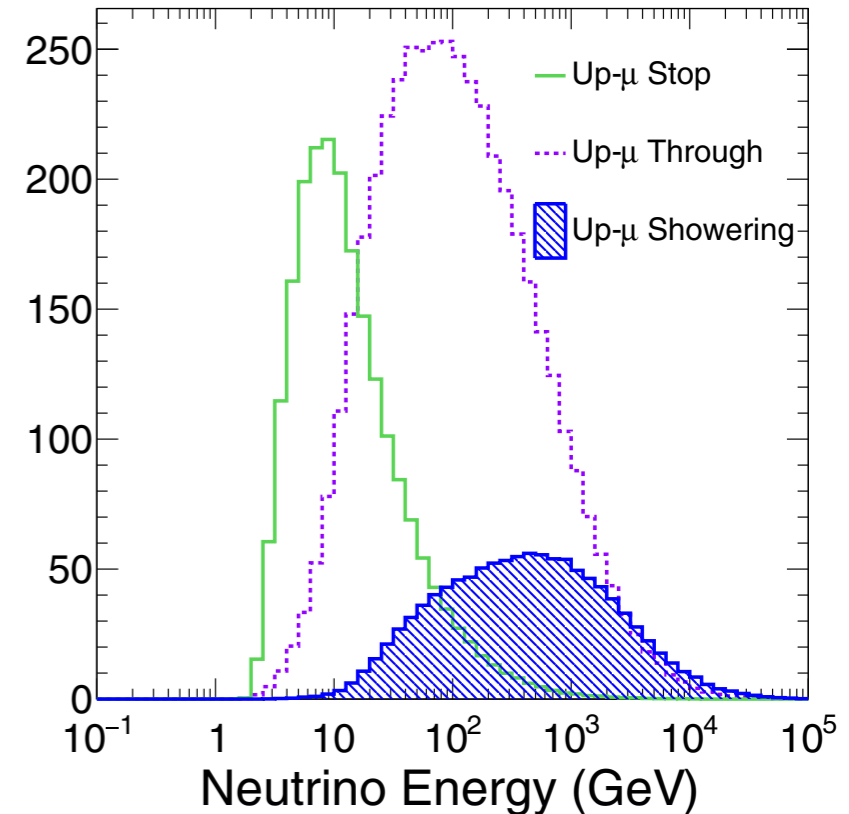
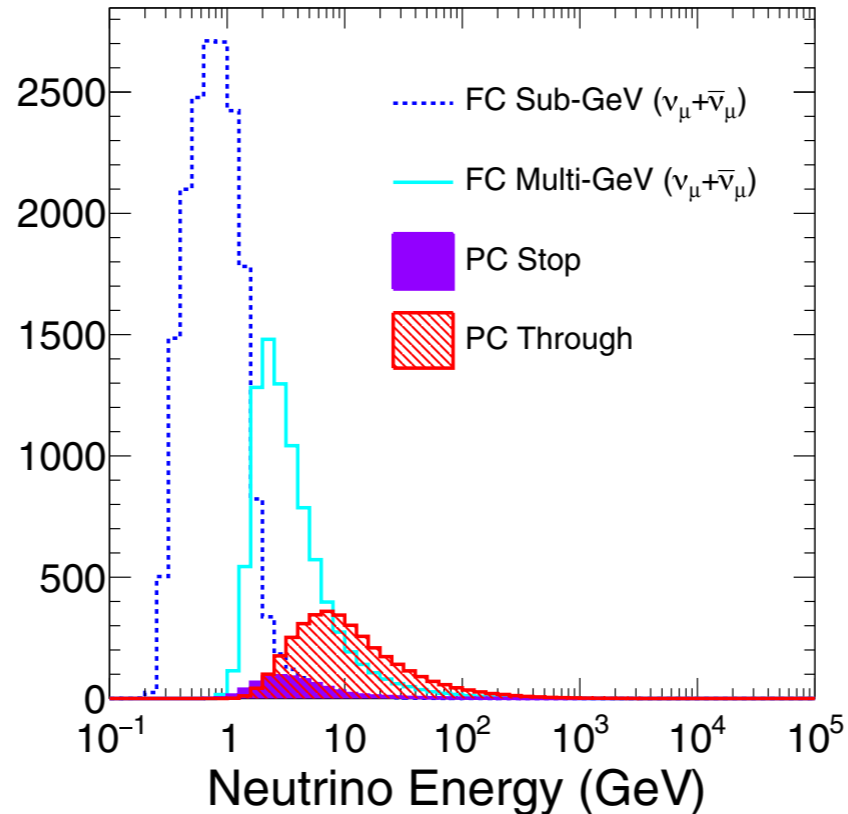
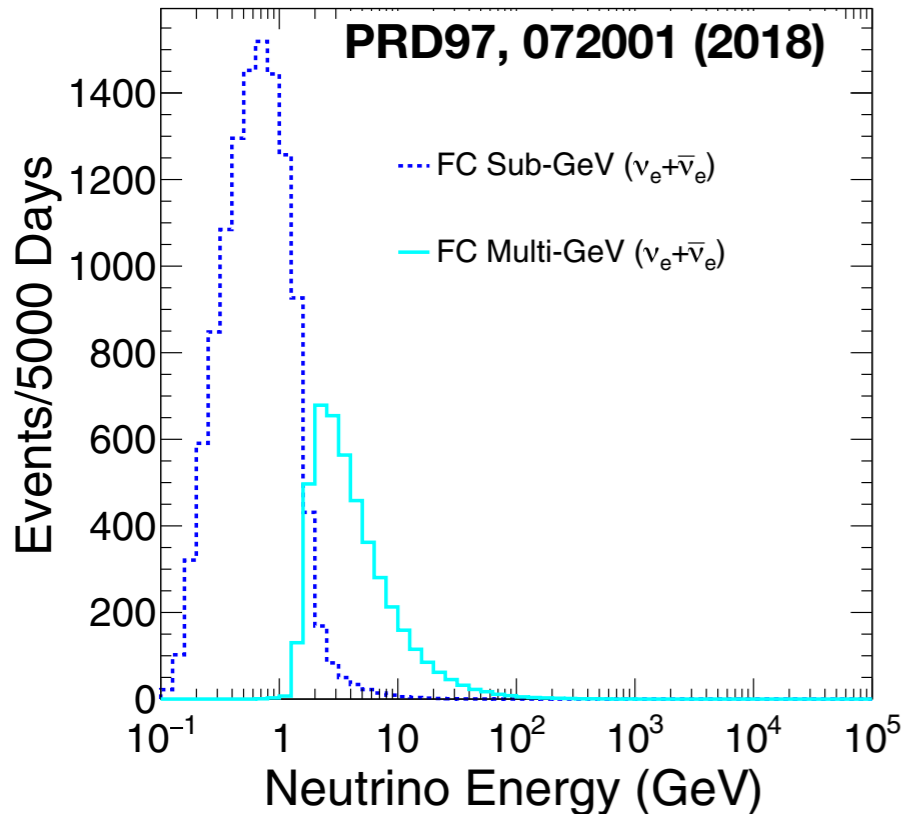


Upward-going muons (Up- $\mu$ )

Stopping  $\mu$  Through-going (non-)showering  $\mu$



Expected energy spectra of atm- $\nu$  samples

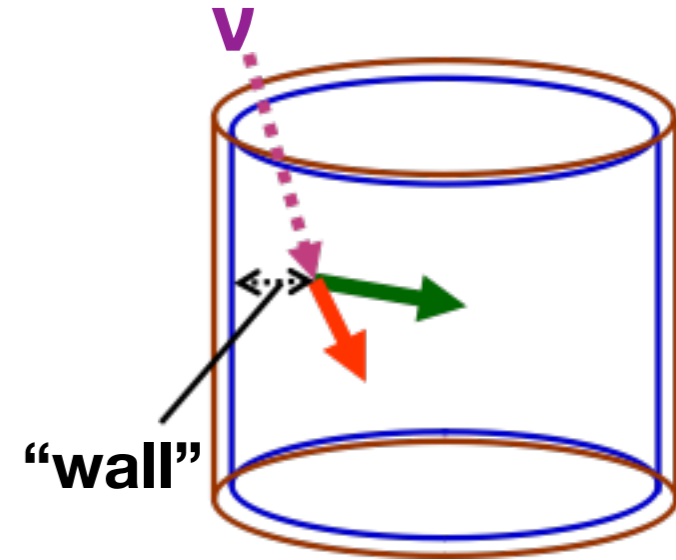


# SK atm- $\nu$ oscillation analysis improvements

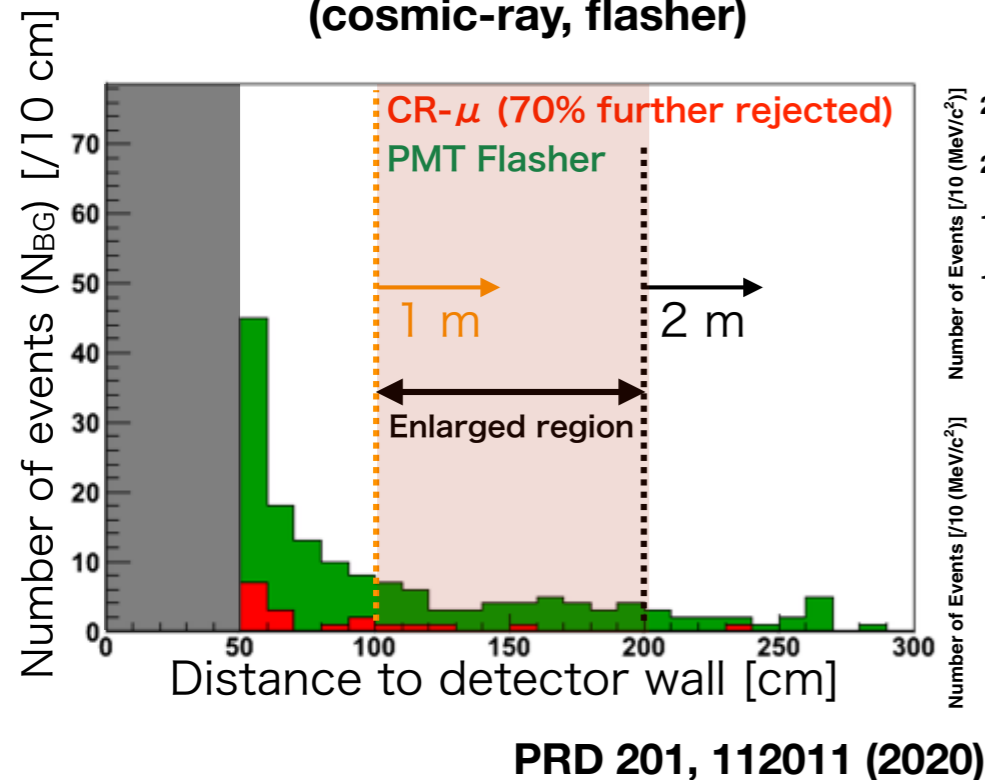
- New results with **full SK ‘pure water’ phase data (SK-I~V data)** with several updates
  - **New publication in preparation**
    - cf. previously published: PRD97, 072001 (2018): SK 5326 days, 328 kt·yr
- Updates since the previous analysis
  - **Expanded fiducial volume: +20% statistics (SK-I~V)**
  - More livetime: 6511 days, 484 kt·yr in total
  - Event selection with neutron tagging (SK-IV~V)
    - Enhance the separation  $\nu$  events from  $\bar{\nu}$  events
  - New multi-ring event classification using a Boosted Decision Tree (BDT)
  - **Atmospheric  $\nu$  oscillation fit with external constraints**
    - **$\theta_{13}$  from reactors**
    - **“T2K model” and T2K runs 1-9 data**
      - Include antineutrino mode sample

# Enlarged fiducial volume

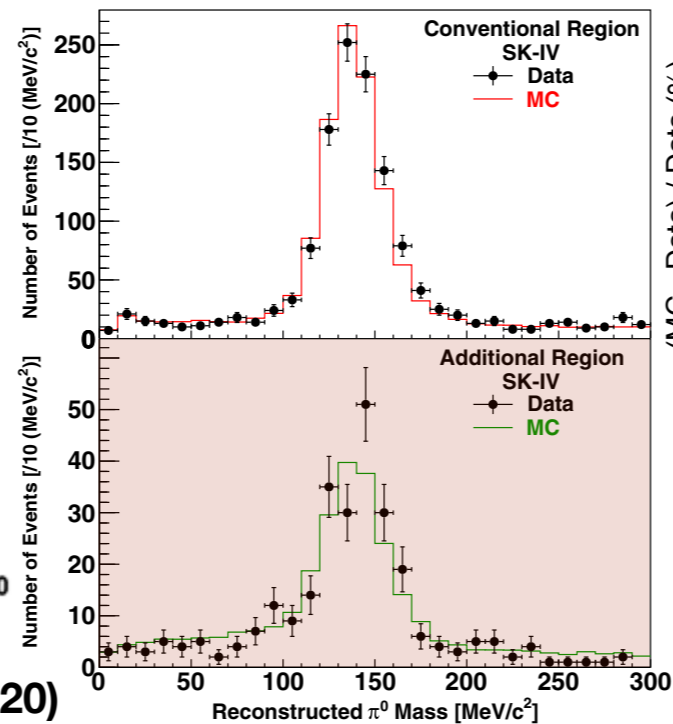
- Distance btw vertex and nearest ID wall surface = “wall”
- Conventional fiducial volume defined ‘wall > 2m’
  - Expand to ‘wall > 1m’ (for all SK periods)
  - **Increase fiducial volume by 20% (22.5kt → 27.2kt)**
- Confirmed no significant increase of non- $\nu$  background and no significant bias in reconstruction (ex. energy scale)
  - Systematics in the expanded region under control



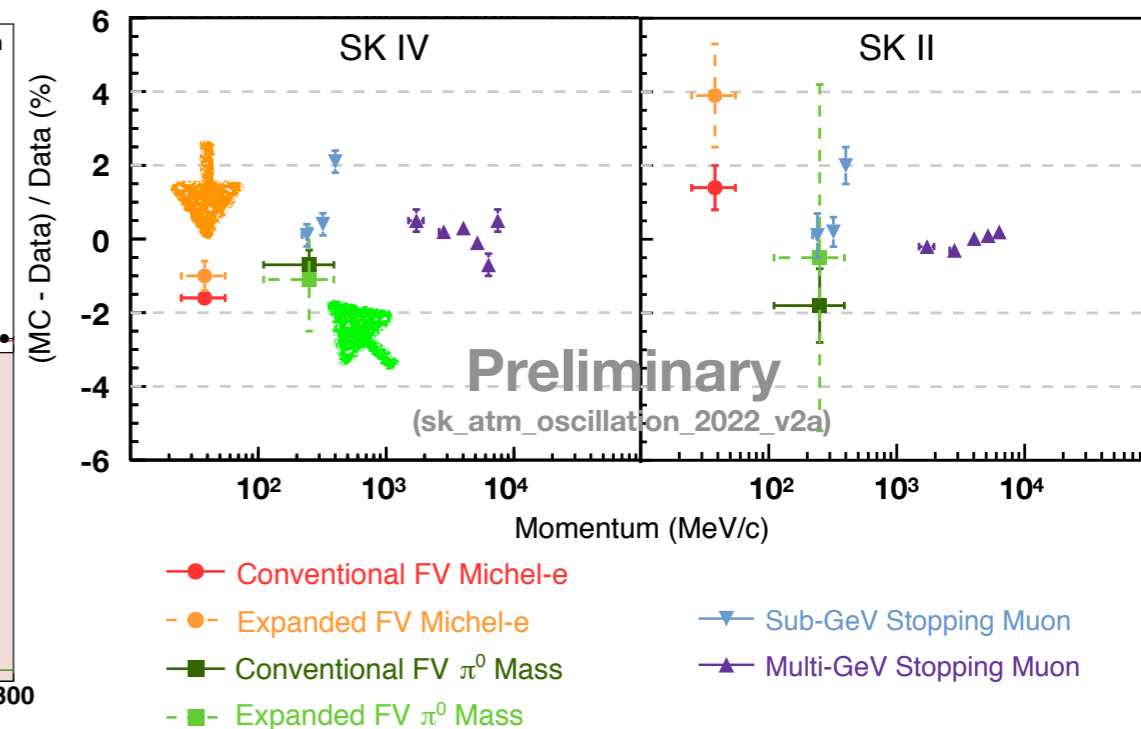
**External background**  
(cosmic-ray, flasher)



**Reconstructed  $\pi^0$  mass**



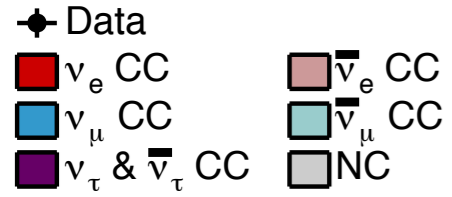
**Energy scale**  
( $\pi^0$  mass, Michel-e, stop- $\mu$ )





# Neutron tagging and multi-ring BDT classification

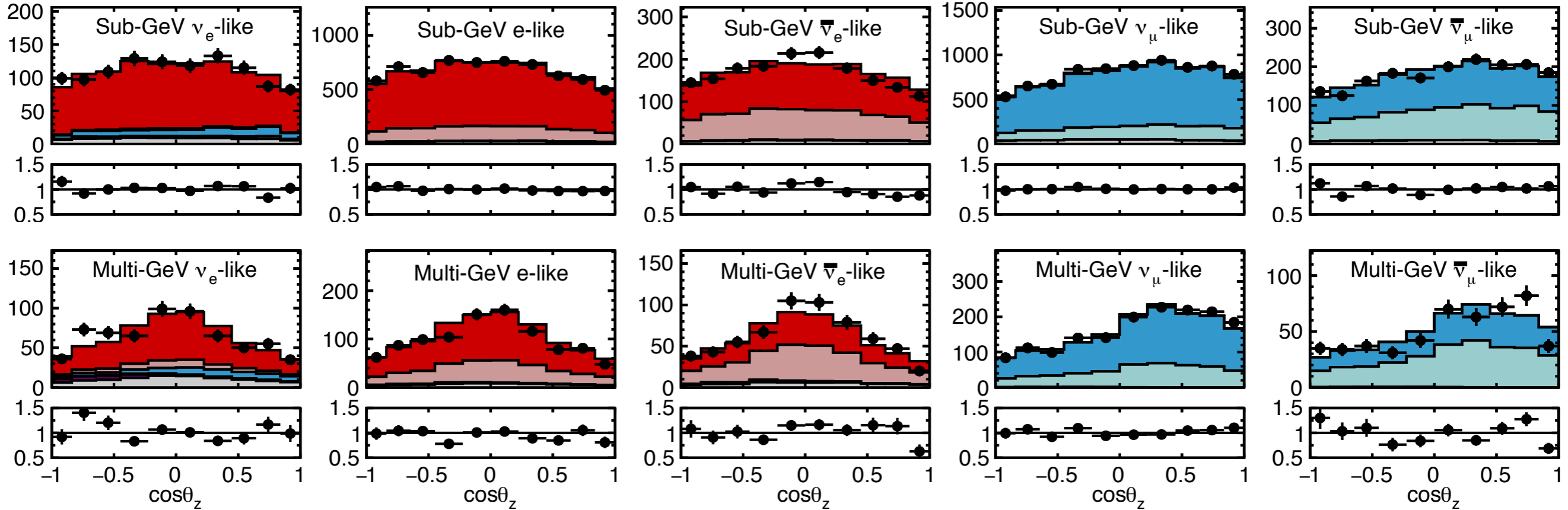
Preliminary  
(sk\_atm\_oscillation\_2022\_v2a)



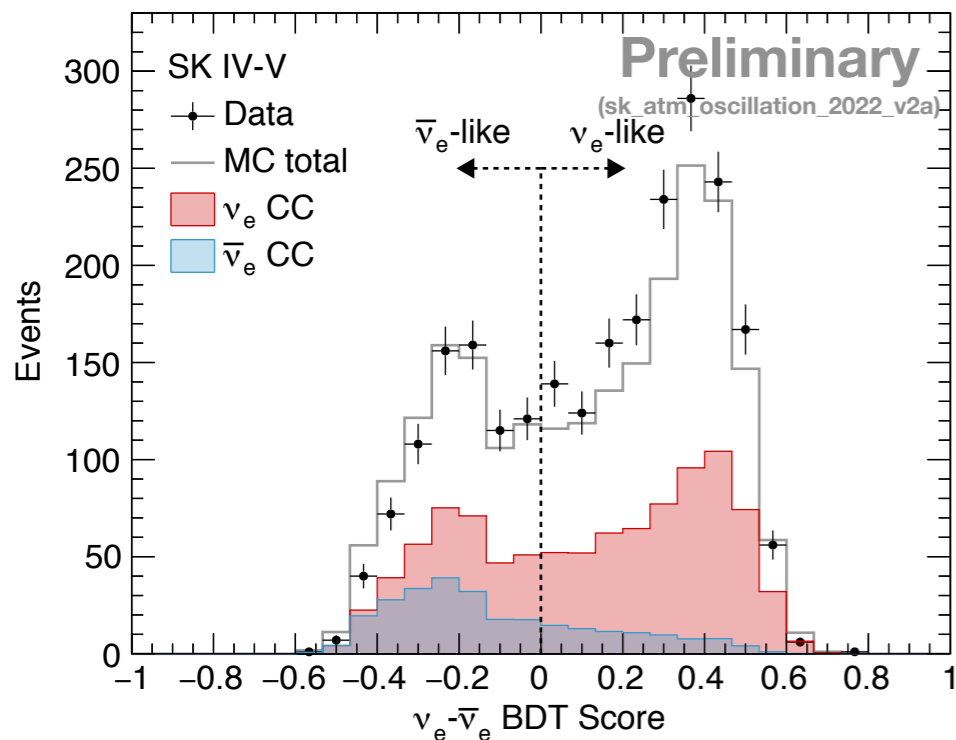
## Single-ring sample with neutron tagging

e-like

$\mu$ -like



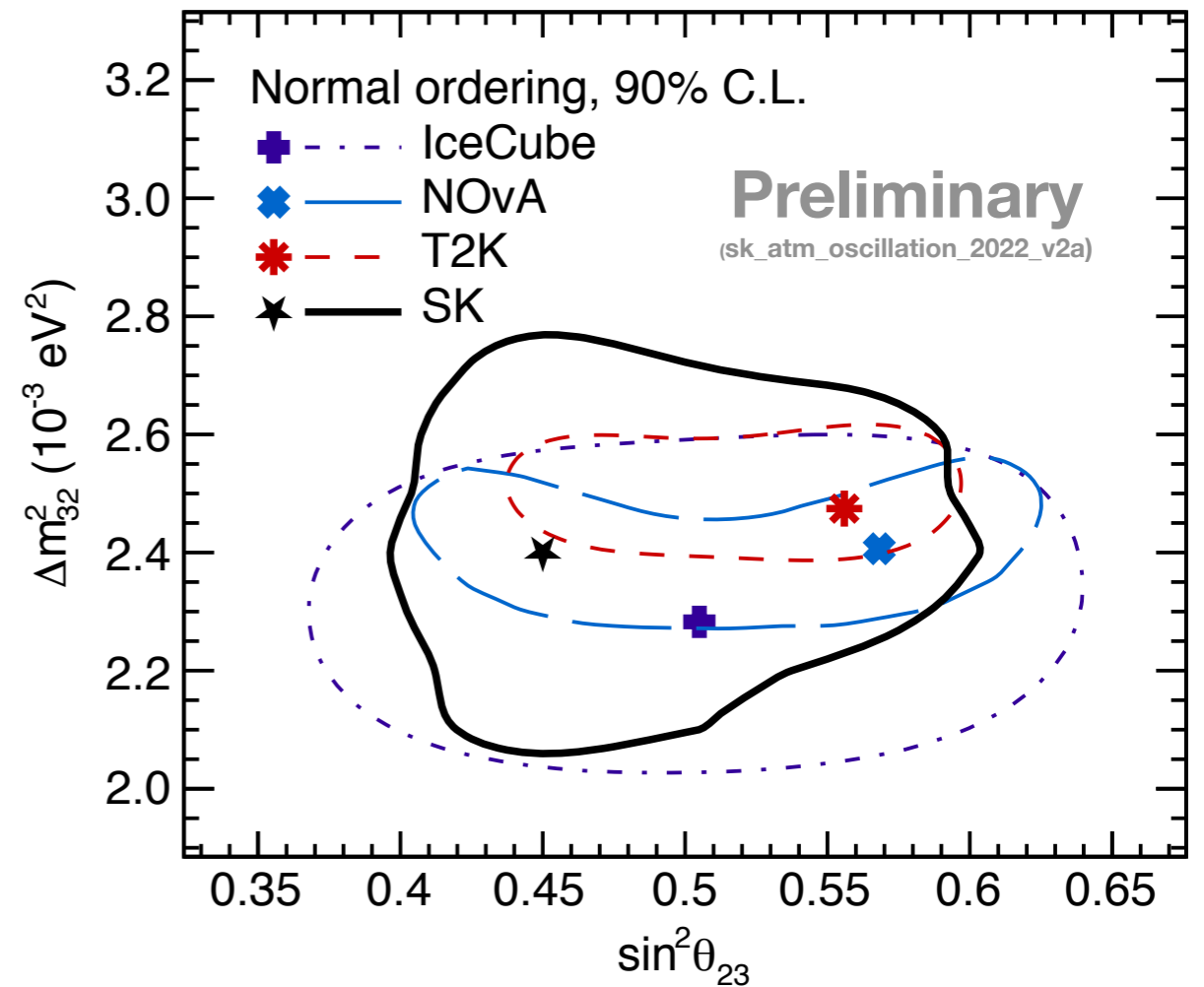
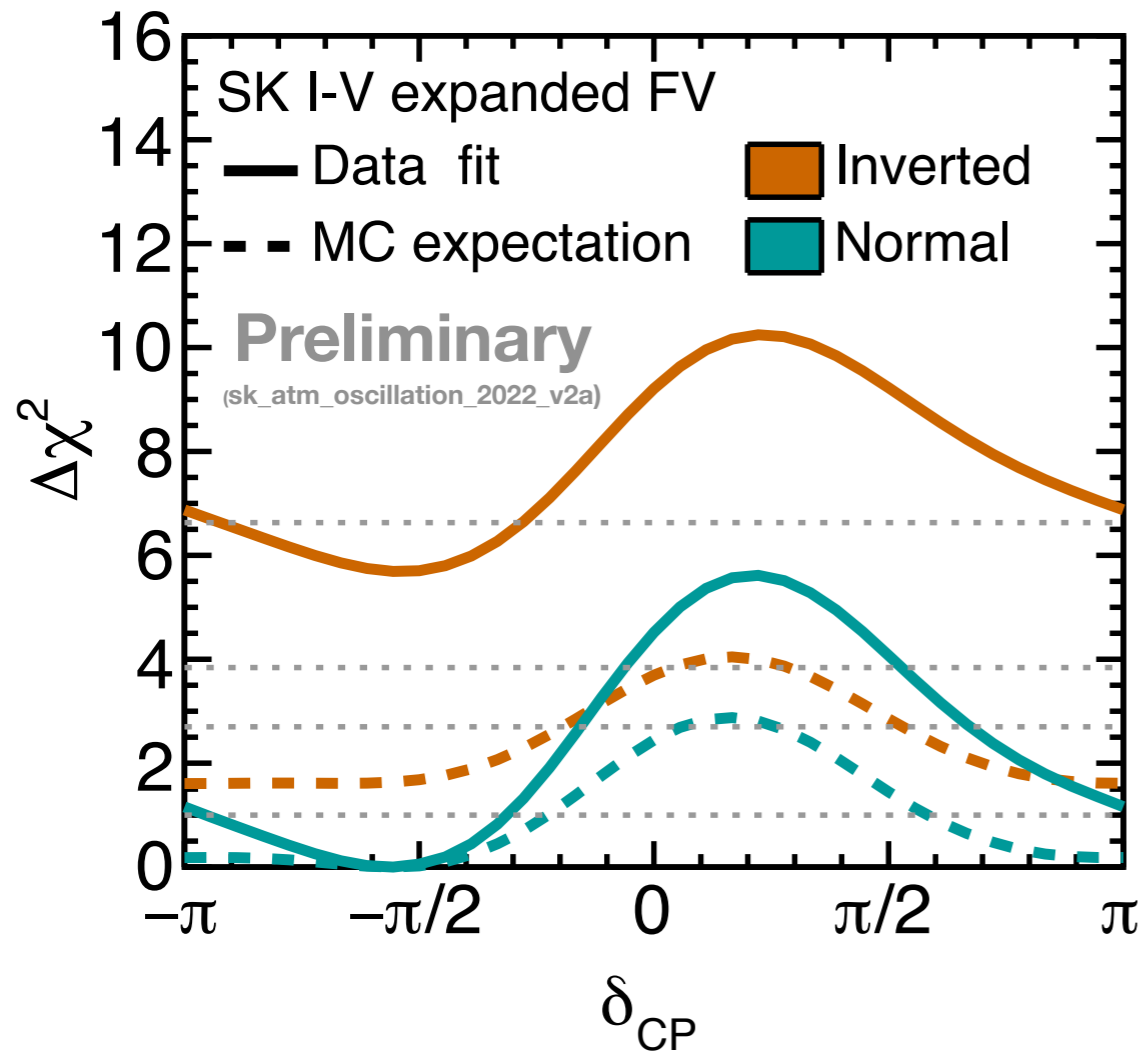
## Multi-ring sample with BDT



- Single-ring neutron tagging and multi-ring BDT event classification enhance the separation btw  $\nu$  and  $\bar{\nu}$  events

# SK atm-ν oscillation results

with  $\theta_{13}$  constraint from reactors  $\sin^2 \theta_{13} = 0.0220 \pm 0.0007$  [PTEP 2022, 083C01 (2022)]



## • Best-fit results

(for both normal and inverted MO)

- $\delta_{CP} = -1.75$

- $\sin^2 \theta_{23} = 0.45$

- $\Delta m^2_{32} = 2.4 \times 10^{-3} \text{eV}^2$

**Preliminary**  
(sk\_atm\_oscillation\_2022\_v2a)

## • SK atm-ν data favors:

- Maximal mixing

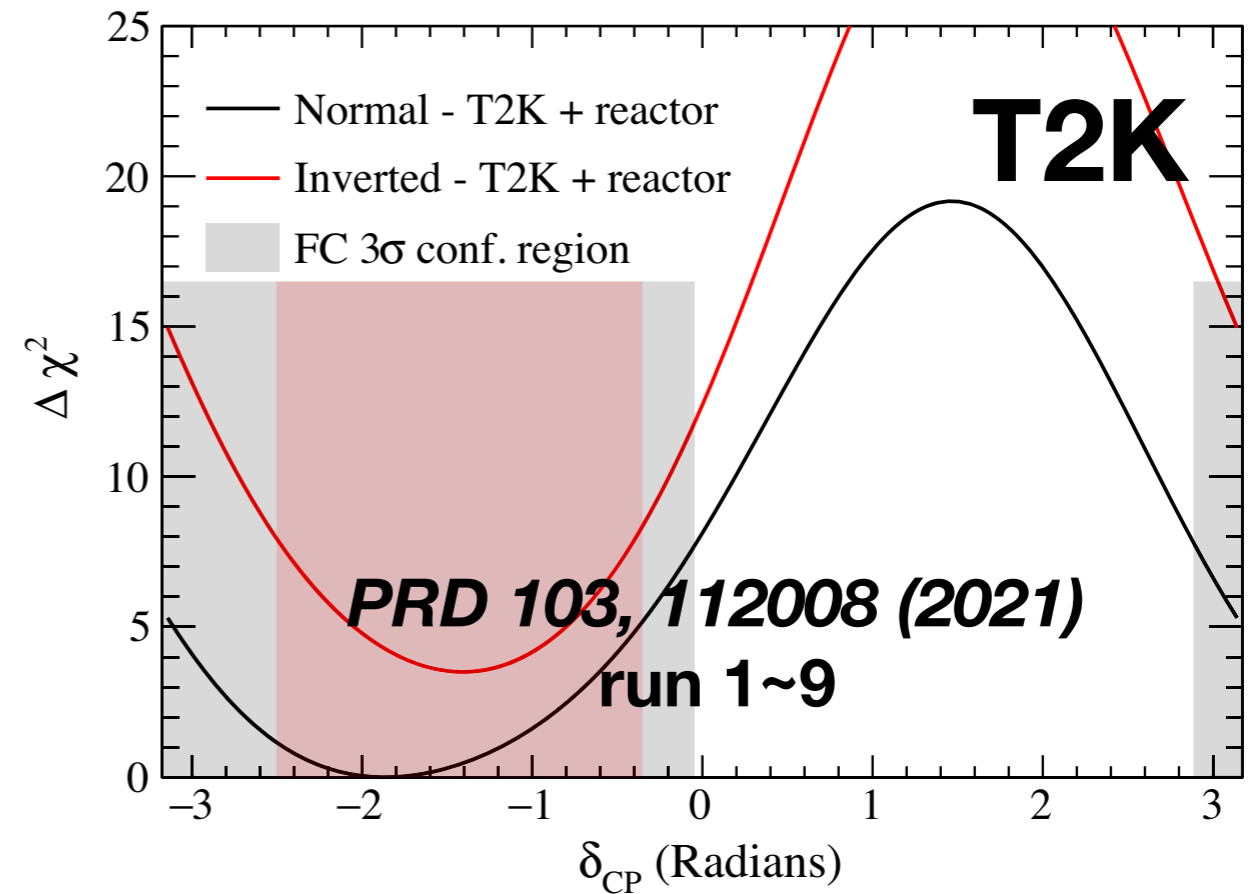
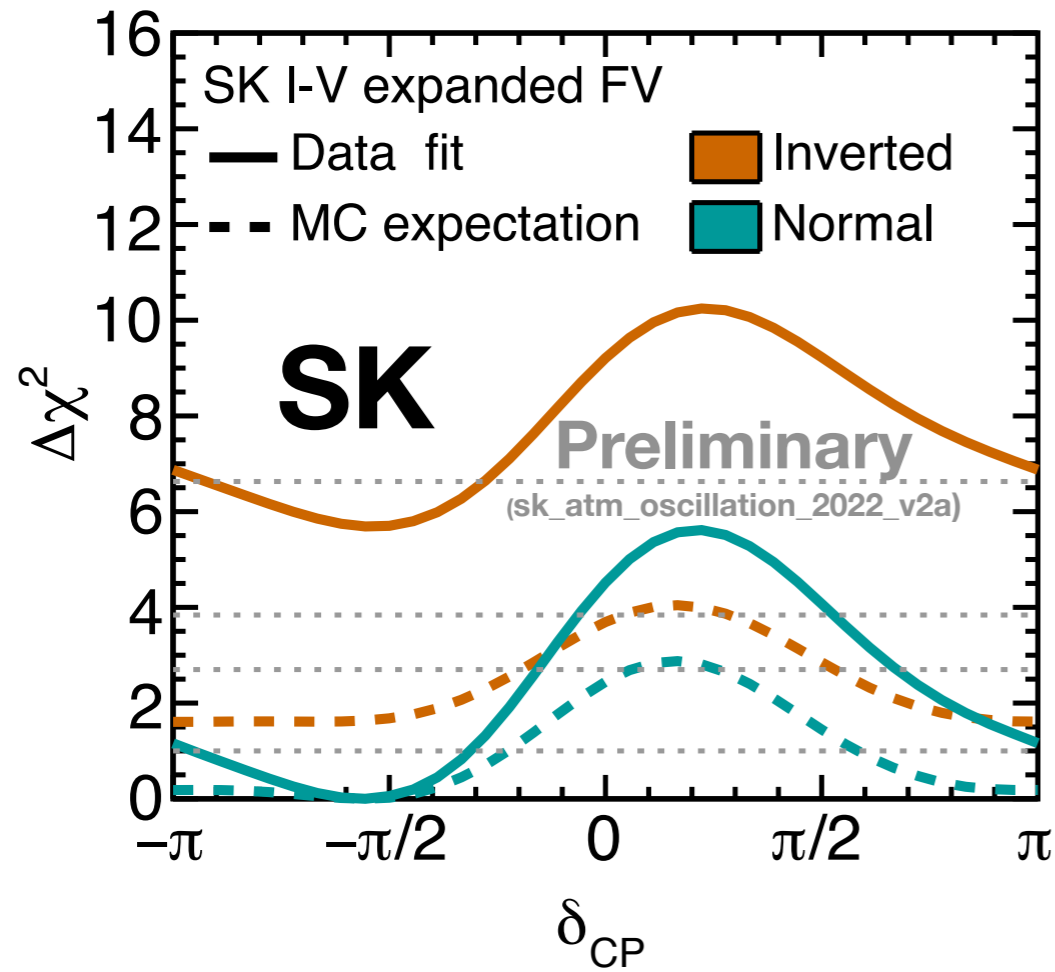
- $\delta_{CP} \sim -\pi/2$

- Normal mass ordering

- $\Delta\chi^2_{(IO-NO)} = 5.69$

**Preliminary**  
(sk\_atm\_oscillation\_2022\_v2a)

# Constraints from T2K



**SK sensitive to Mass Ordering, T2K sensitive to  $\delta_{CP}$**

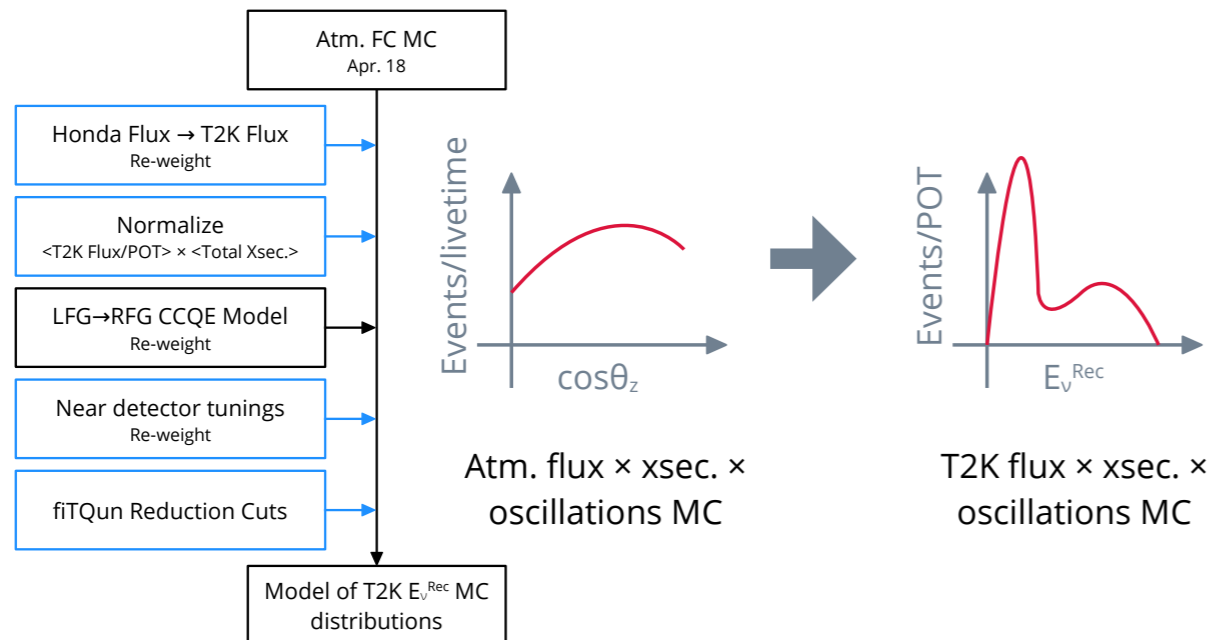
# Constraints from T2K

- SK and T2K are independent collaborations and there is no formal sharing of data, MC, analysis tools, etc, **(yet)**

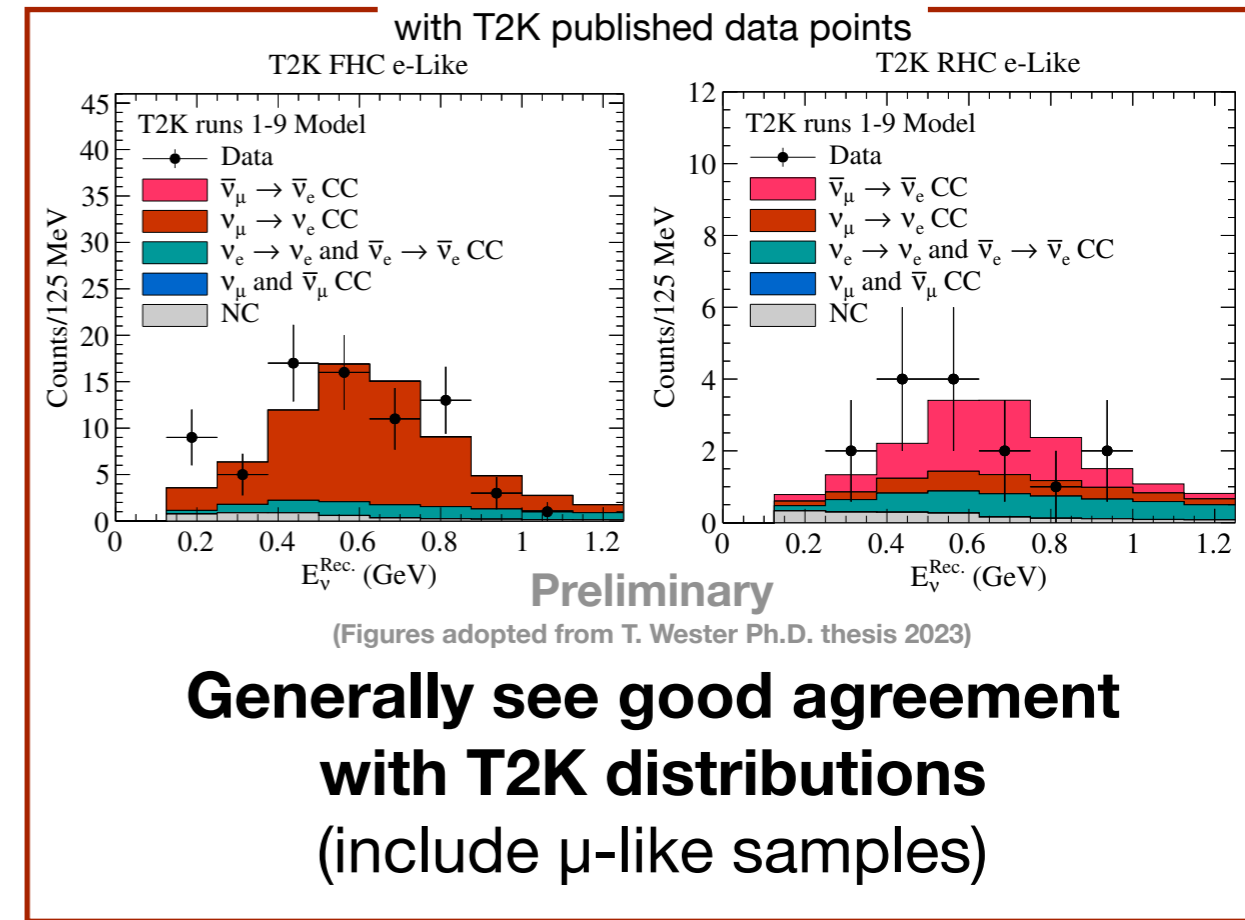
- **Constraints with “T2K model”**

- Re-weight SK atm- $\nu$  MC to reproduce a model for T2K MC following the T2K publications
  - Reweight SK MC to T2K flux
  - Construct cross section models (include model tunings by T2K Near Detector)
  - Apply T2K event selection criteria after all re-weighting

- Simultaneously fit SK data and T2K published data

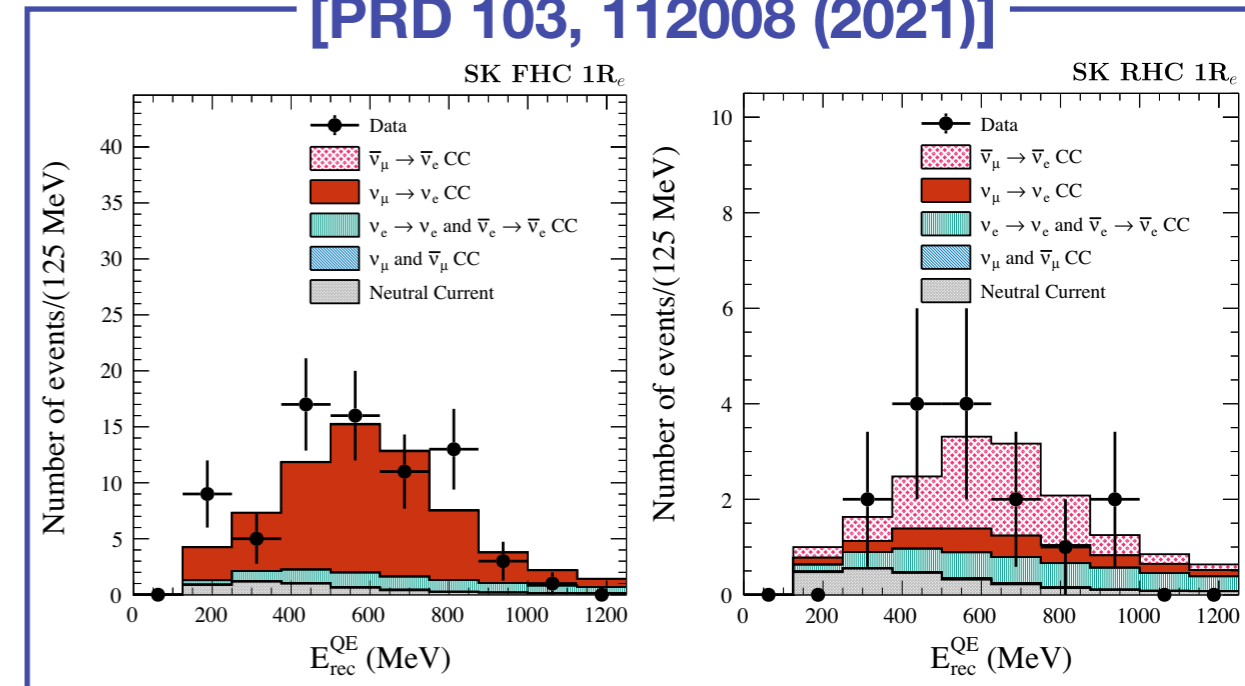


## ex. T2K model

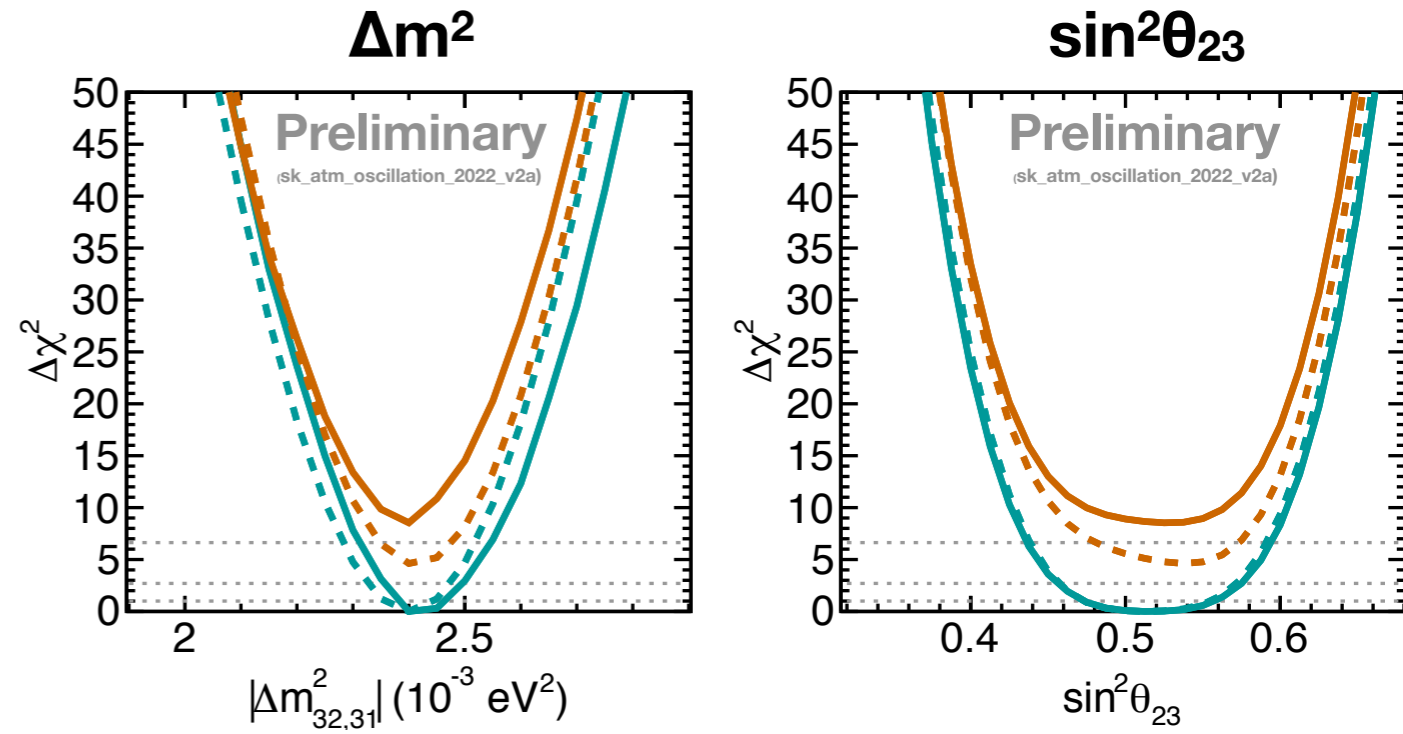
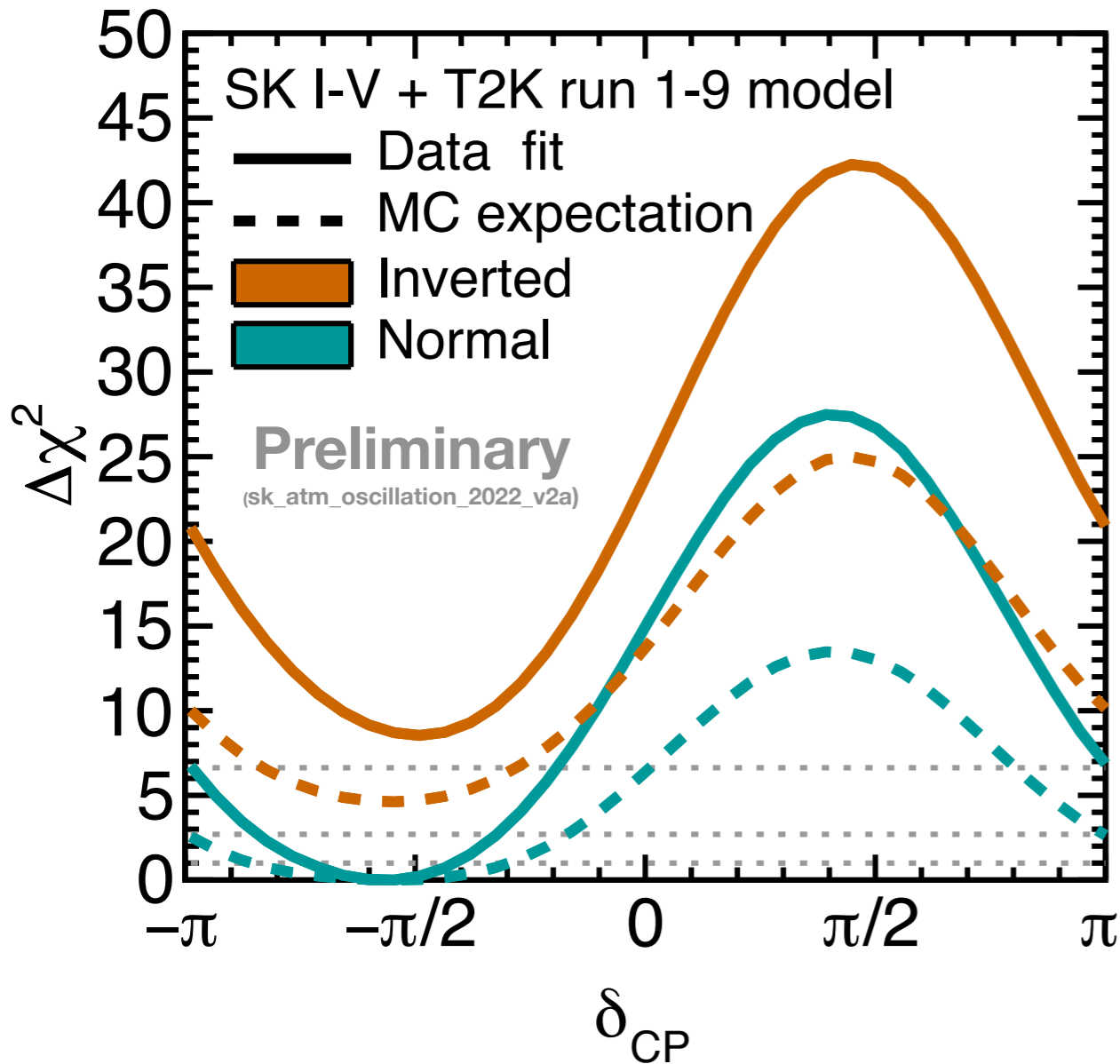


## T2K run1-9

[PRD 103, 112008 (2021)]



# Atm- $\nu$ oscillation analysis with T2K model



- **Best-fit results (for normal MO)**

- $\delta_{CP} = -1.75$
  - $\sin^2\theta_{23} = 0.51$
  - $\Delta m^2_{32} = 2.4 \times 10^{-3} \text{eV}^2$
- Preliminary**  
(sk\_atm\_oscillation\_2022\_v2a)

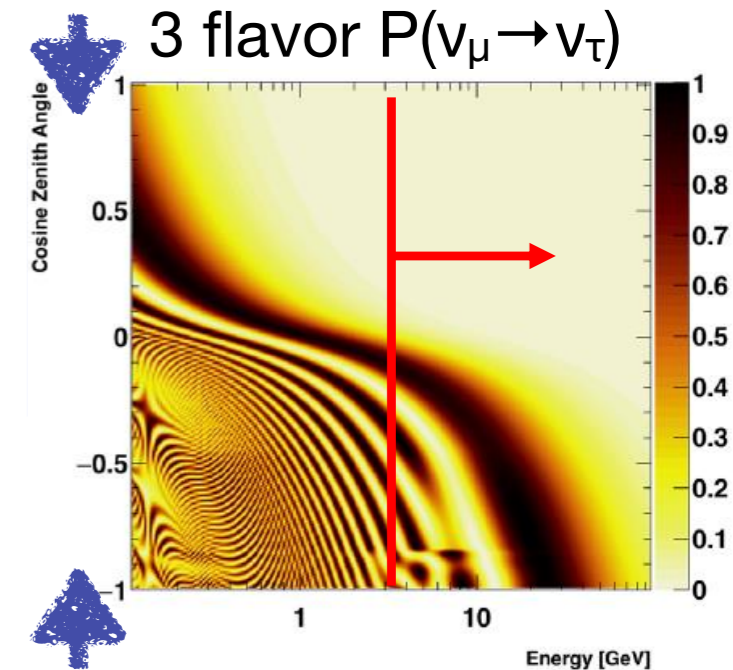
- **SK atm- $\nu$  + T2K model favors:**

- Maximal mixing
- $\delta_{CP} \sim -\pi/2$
- Normal mass ordering
  - $\Delta\chi^2_{(IO-NO)} = 8.54$
  - cf. SK alone  $\Delta\chi^2 = 5.69$

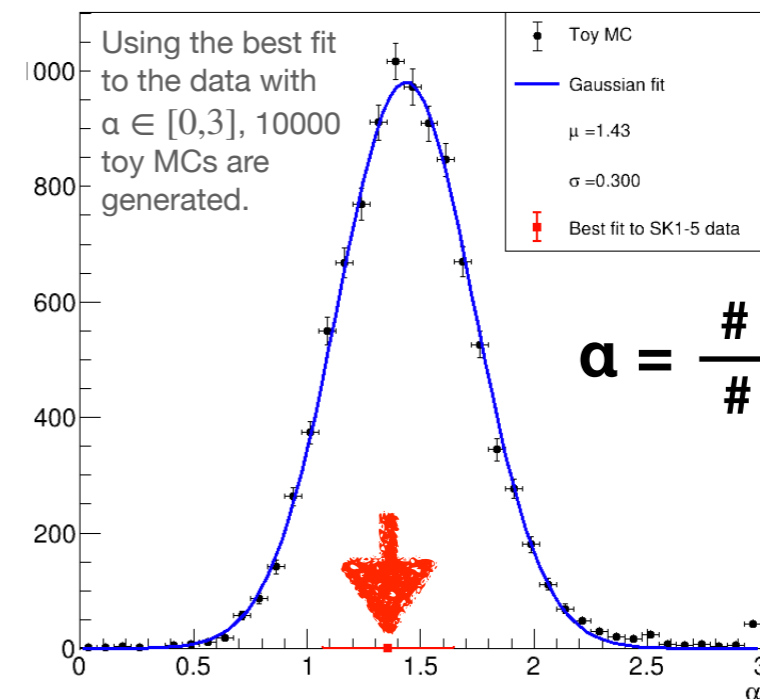
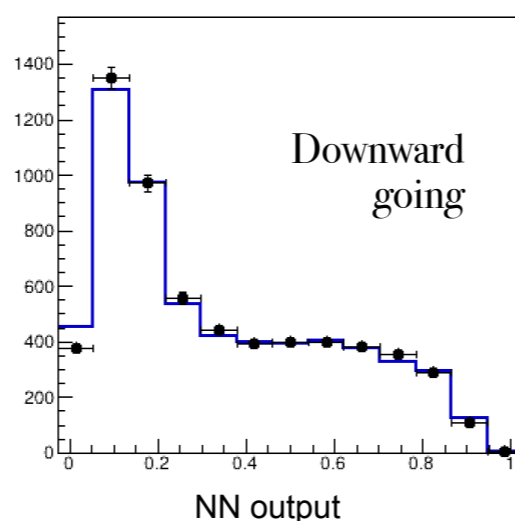
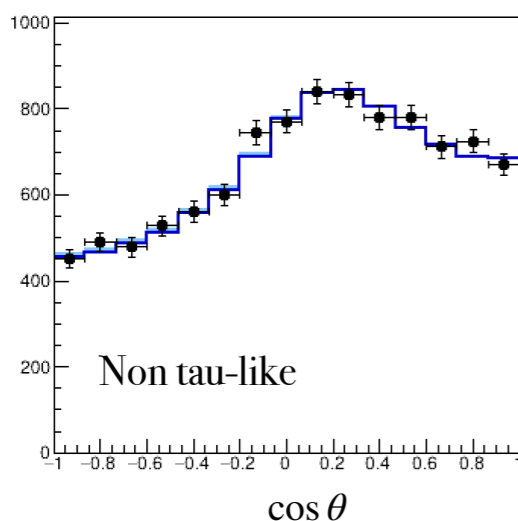
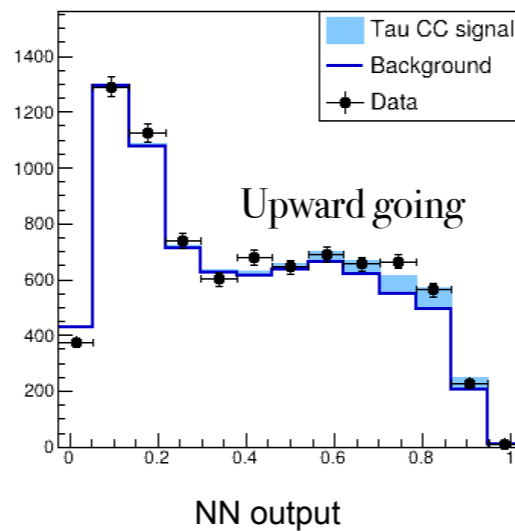
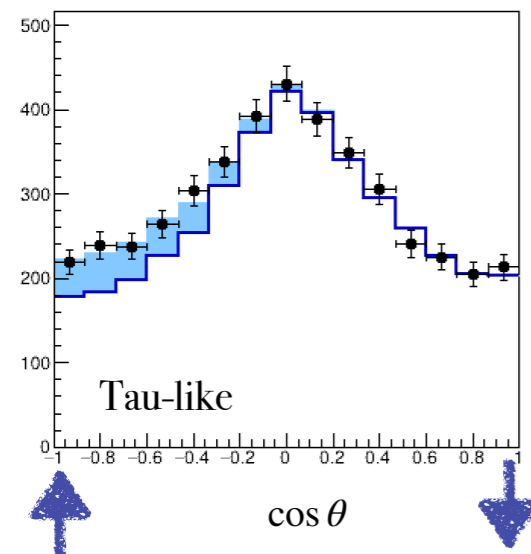
**Formal joint SK+T2K analysis on-going**

# $\nu_\tau$ appearance search

- Updates since the previous publication: Phys. Rev. D98, 052006 (2018)
  - **Full SK ‘pure water’ phase data (SK-I~V data)**
    - ~2 years of SK-IV and SK-V data added
  - **Expanded fiducial volume**
    - → ~50% more data added
- Best fit of  $\nu_\tau$  normalization parameter:  $\alpha = 1.359 \pm 0.289$ 
  - cf.  $\alpha=1$  means consistent with prediction
- **Exclude no  $\nu_\tau$  appearance ( $\alpha=0$ ) at  $4.8\sigma$  significance, p-value:  $7.5 \times 10^{-7}$**
- Observed # of  $\nu_\tau$  CC events:  $428 \pm 92$  events (normal MO)



$\nu_\tau$  appearance signal:  
Upward going,  $E_\nu \geq 3.5$  GeV



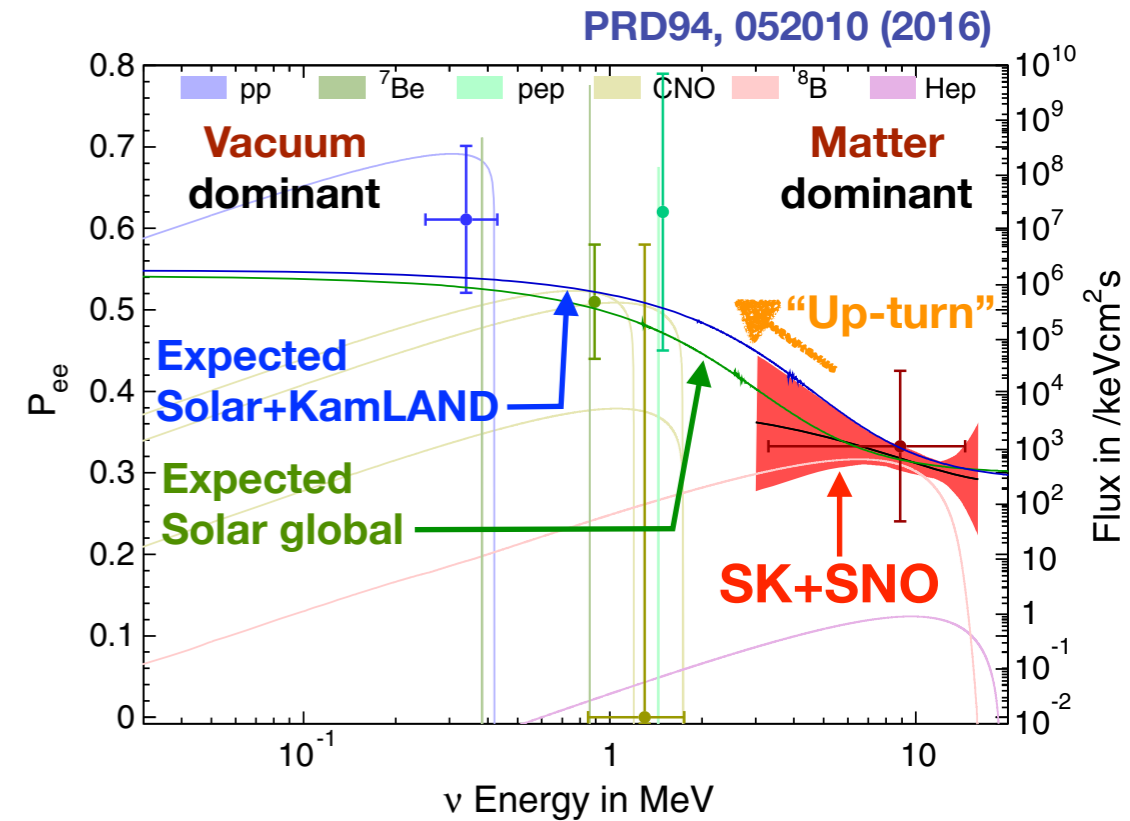
$$\alpha = \frac{\text{\# of observed } \nu_\tau}{\text{\# of expected } \nu_\tau}$$

**Best fit:  $\alpha = 1.359 \pm 0.289$**

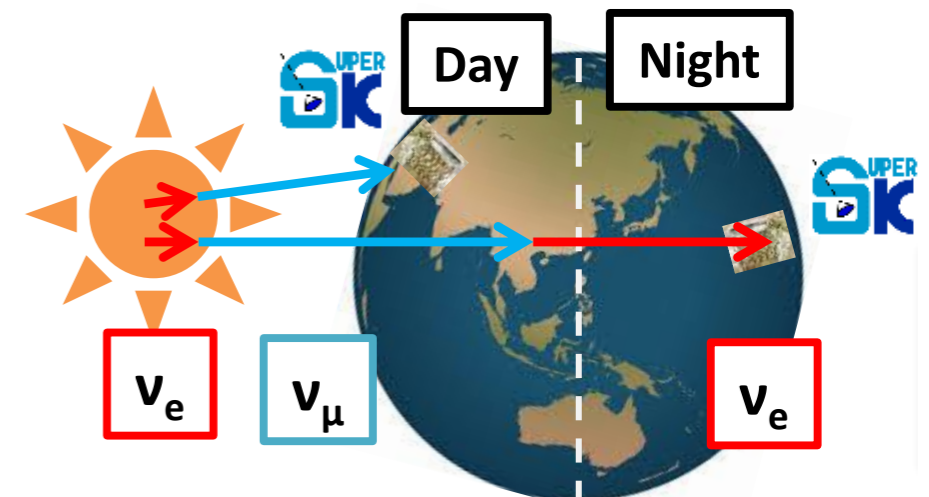
# Solar neutrinos

- Intense neutrinos from nuclear fusion in the Sun's core
  - High statistics measurements
- Sensitive to  $\theta_{12}$  and  $\Delta m^2_{21}$
- Precision test of the MSW oscillation model
  - Precise measurement of spectrum at the vacuum-to-matter transition "up-turn"
  - Matter effect in the sun
- Measurement of Day/Night flux asymmetry
  - Matter effect in the earth's core

## Solar $\nu_e$ survival probability



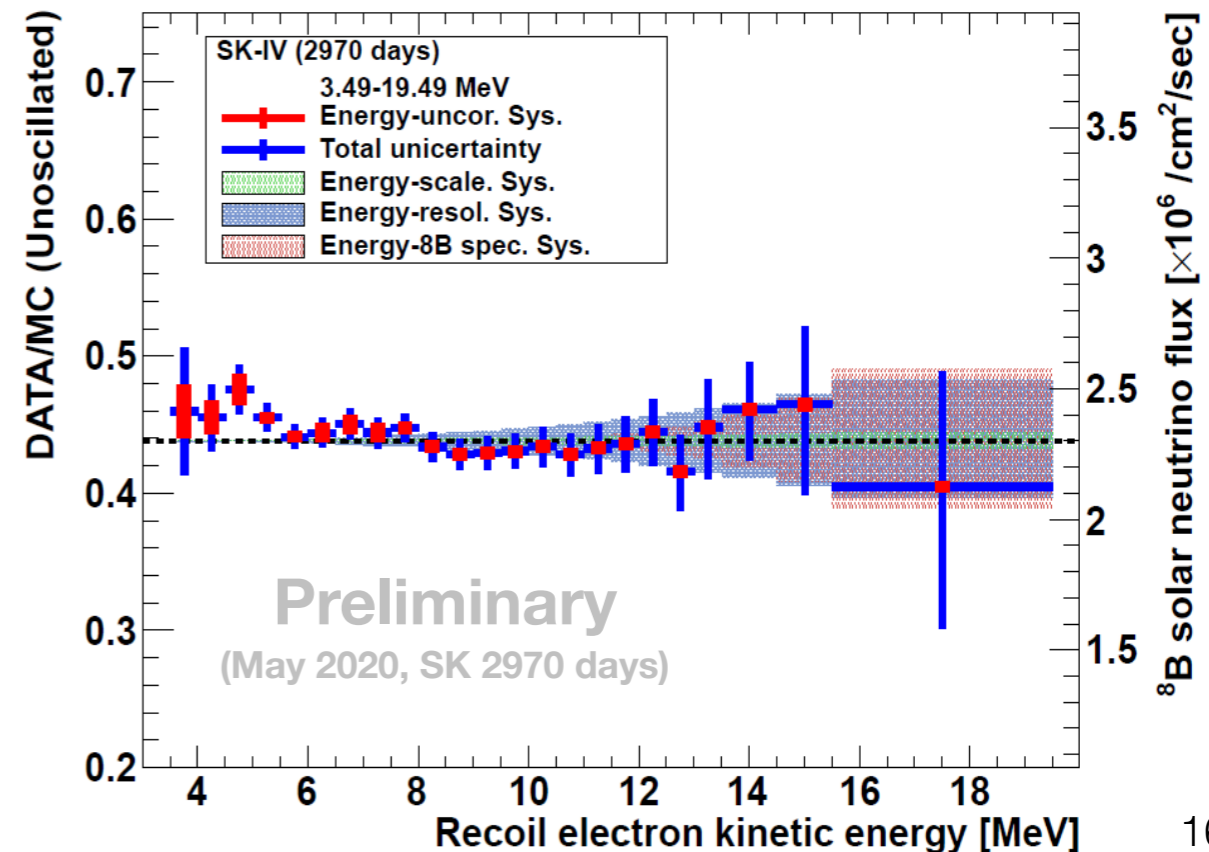
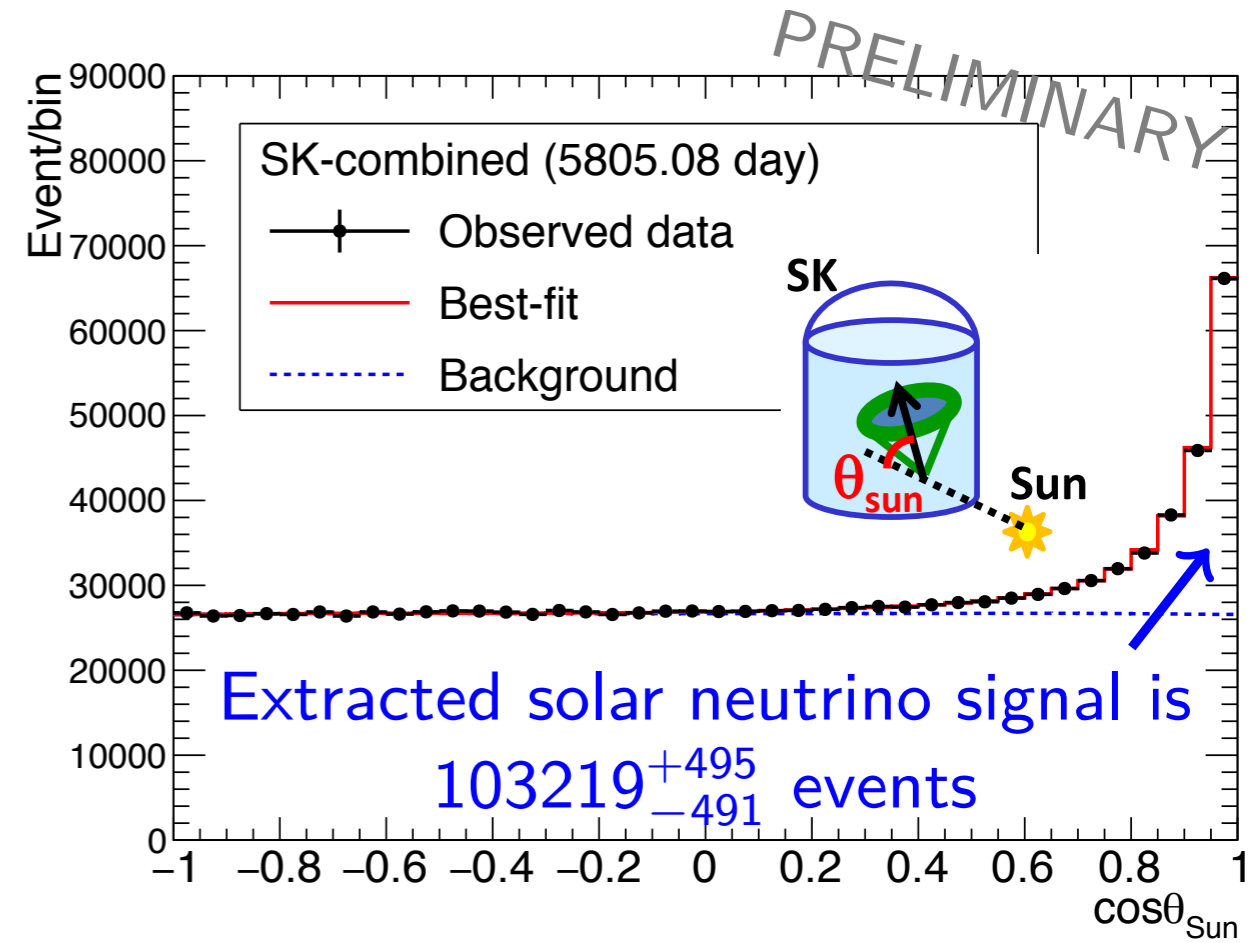
## Day-Night flux asymmetry



Regenerate  $\nu_e$  with Earth's matter effect

# Solar $\nu$ observation in SK

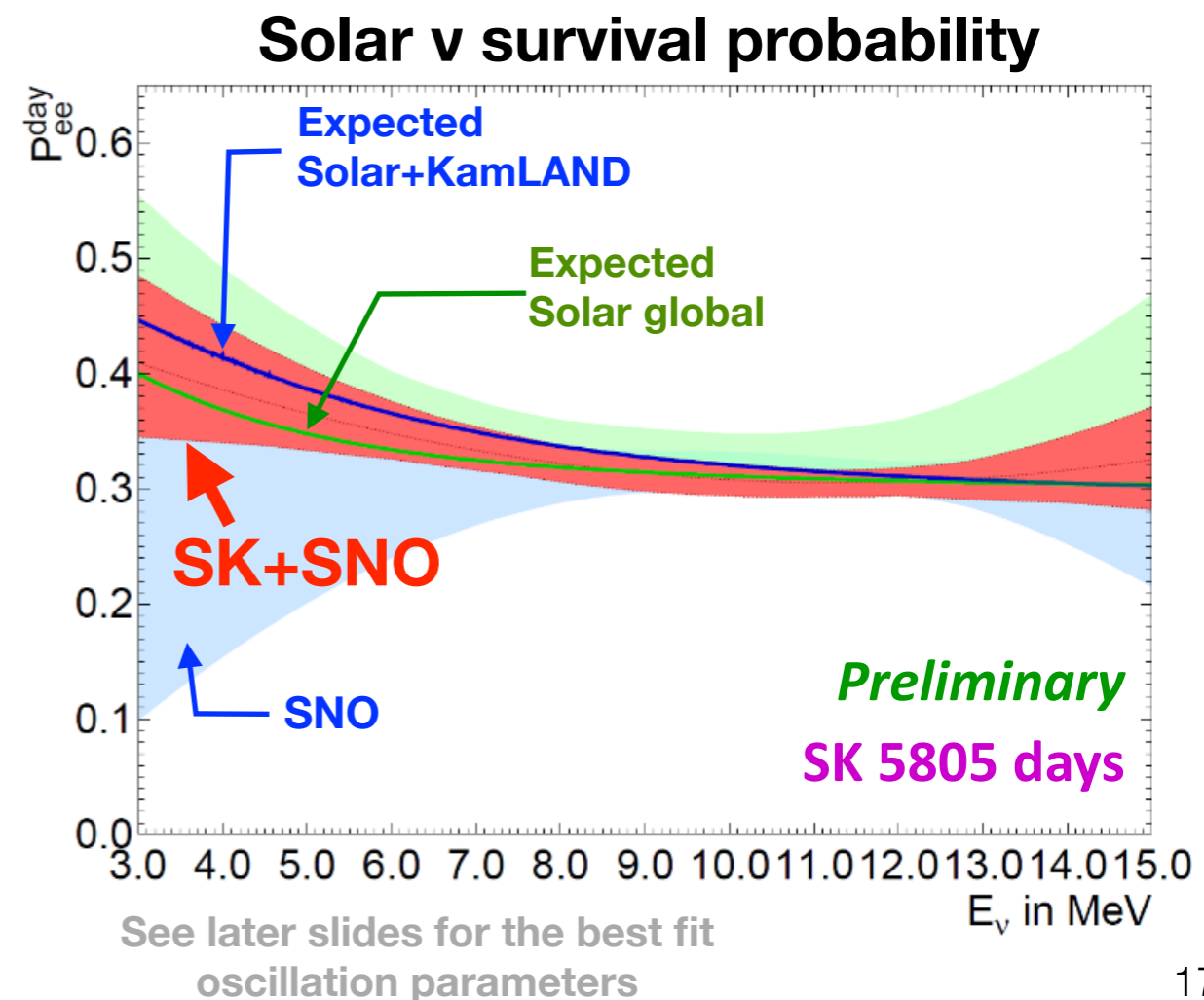
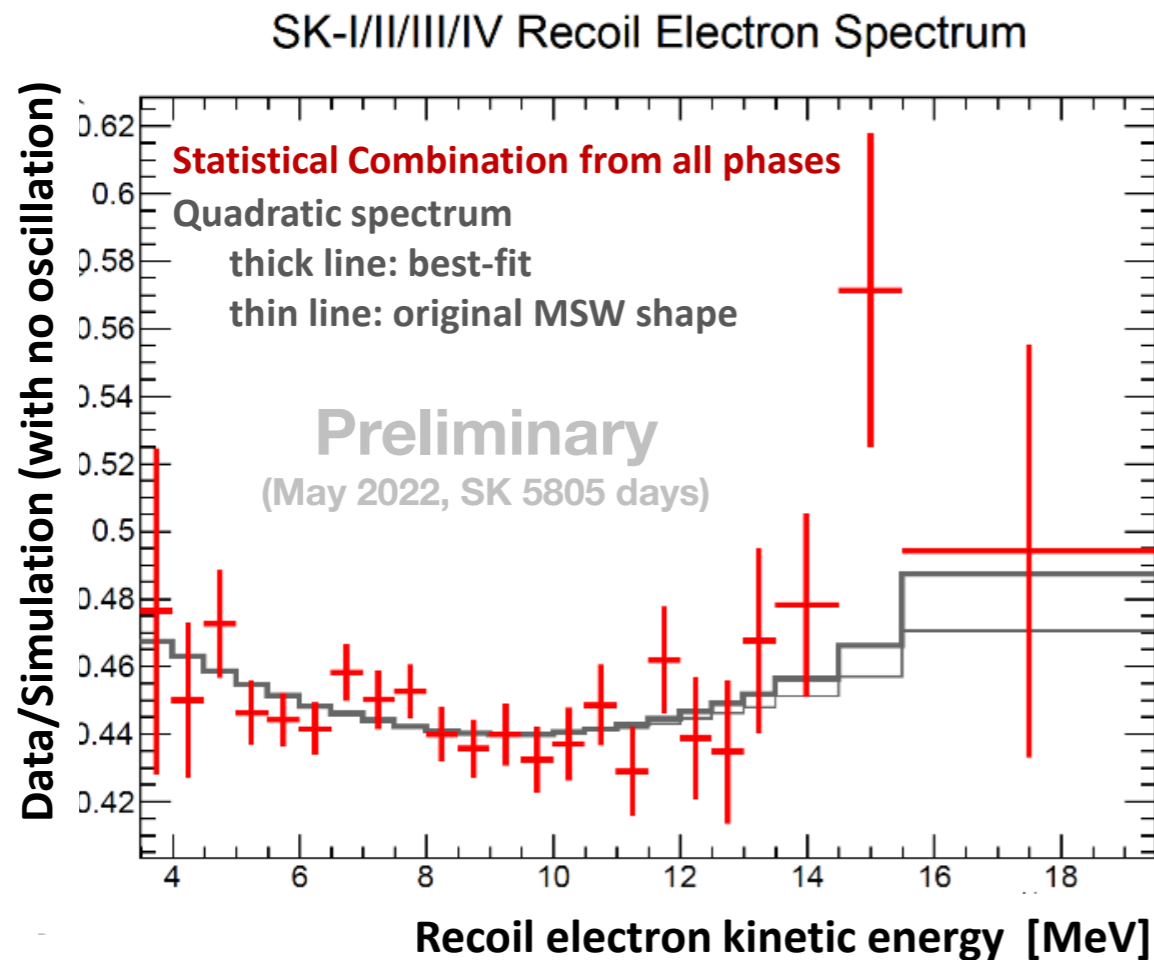
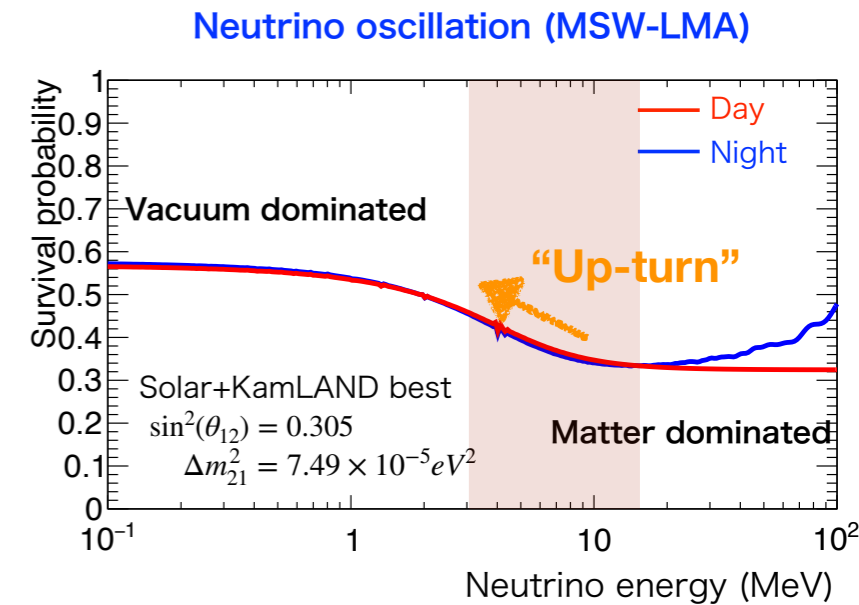
- Super-K's measurement of solar neutrinos
  - Detecting recoil electron from elastic scattering
  - Robust signal extraction using angular correlation with the Sun
- Total # of observed solar  $\nu$  events:  $>10^5$  in SK-I~IV (5805 days)





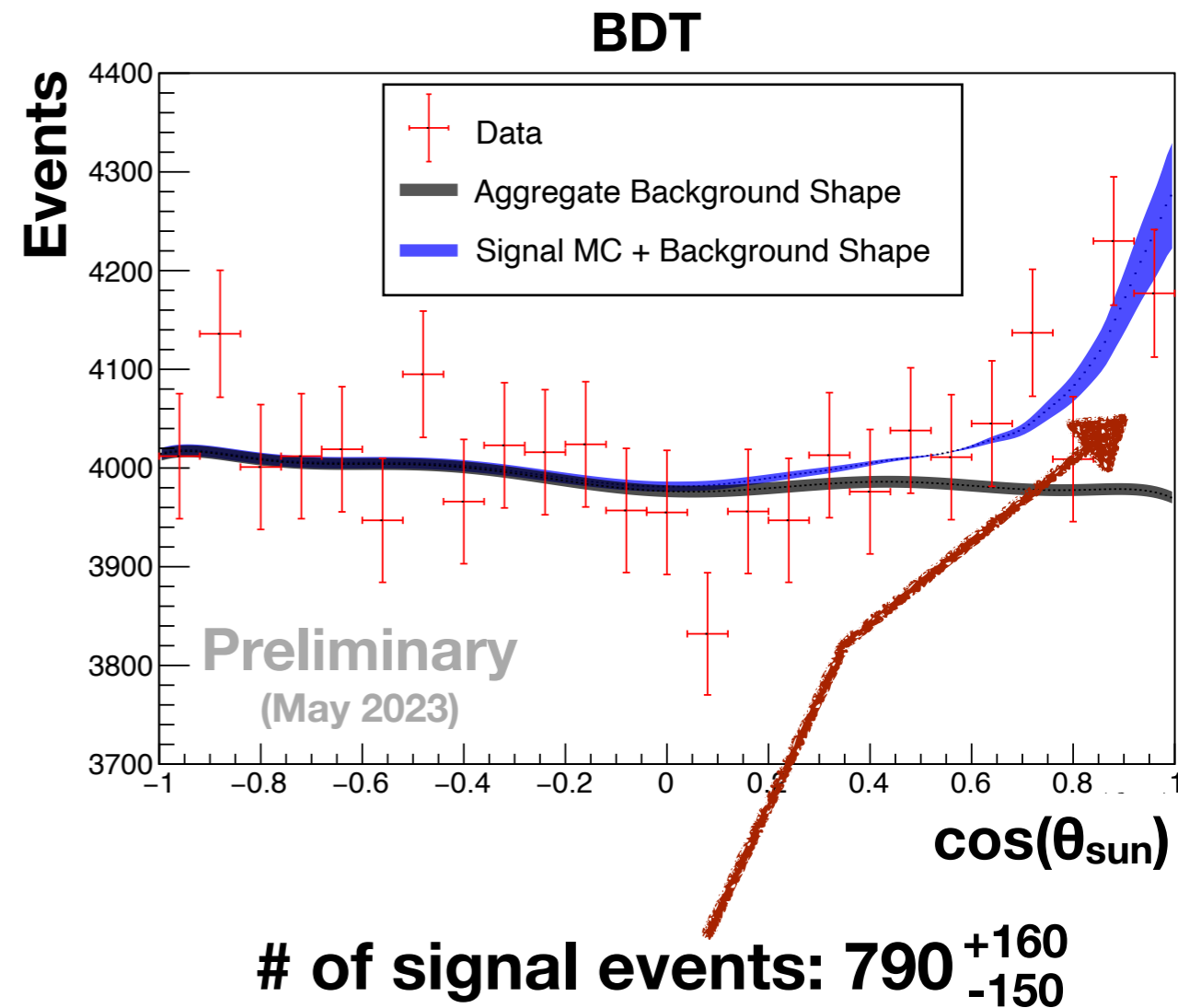
# Solar $\nu$ spectral fitting

- SK-I~IV 5,805 live days data
  - Include several analysis improvements, e.g. improved systematics, etc.
- Energy spectrum slightly favors “up-turn”
  - though need more data
- Trying to lower the energy threshold (see next slide)



# Solar $\nu$ signal with lowest energy in SK

- An attempt to further lower the energy threshold
- Use Wideband Intelligent Trigger (WIT) data in SK-IV
  - 622 live days data
  - Recoil electron kinetic energy 2.49 MeV - 3.49 MeV
- Applied a boosted decision tree (BDT) event selection
  - Fed standard reconstruction variables used in the traditional solar analysis
  - ~6 times better background rejection comparing to the traditional solar analysis
- A clear peak pointing to the Sun

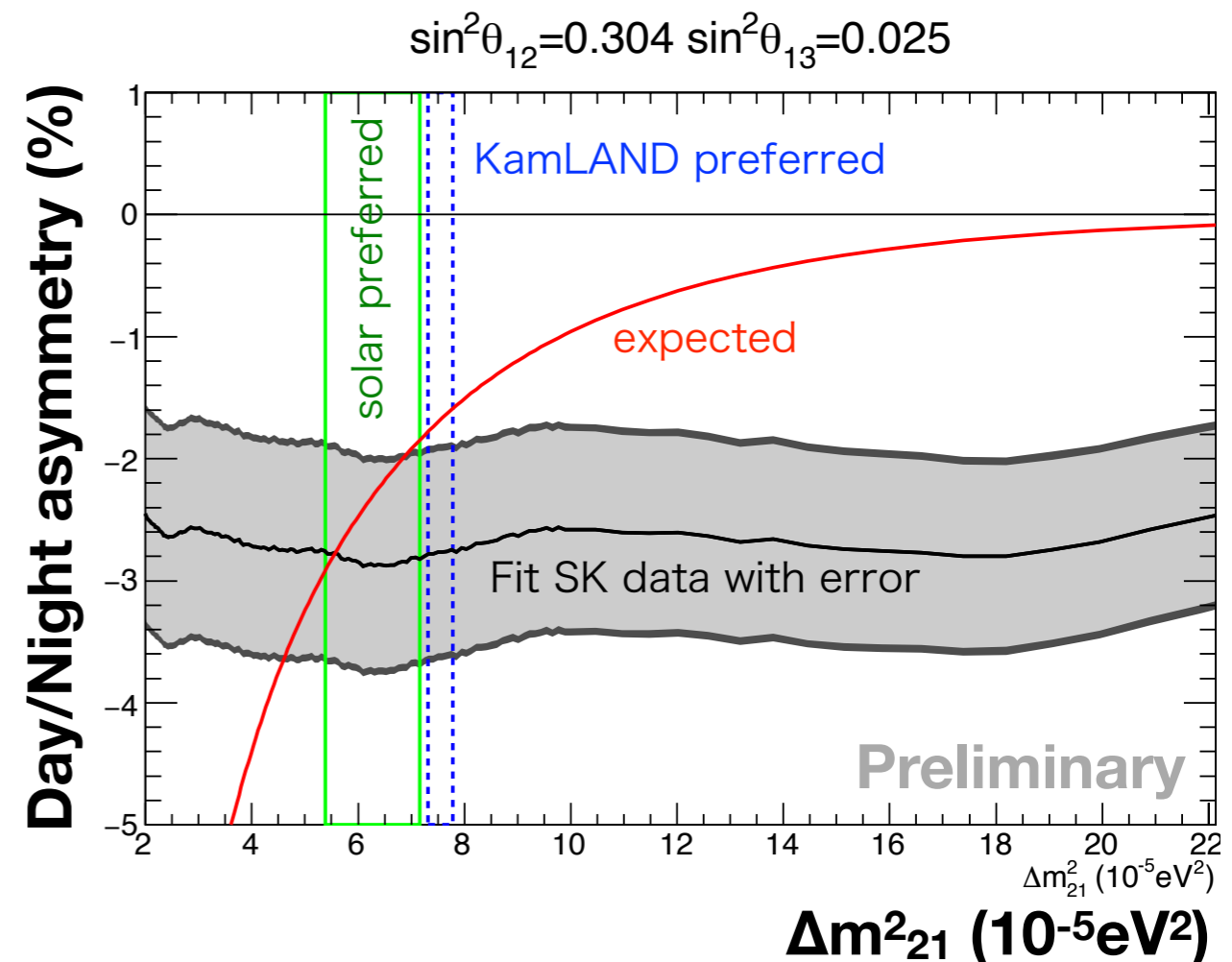
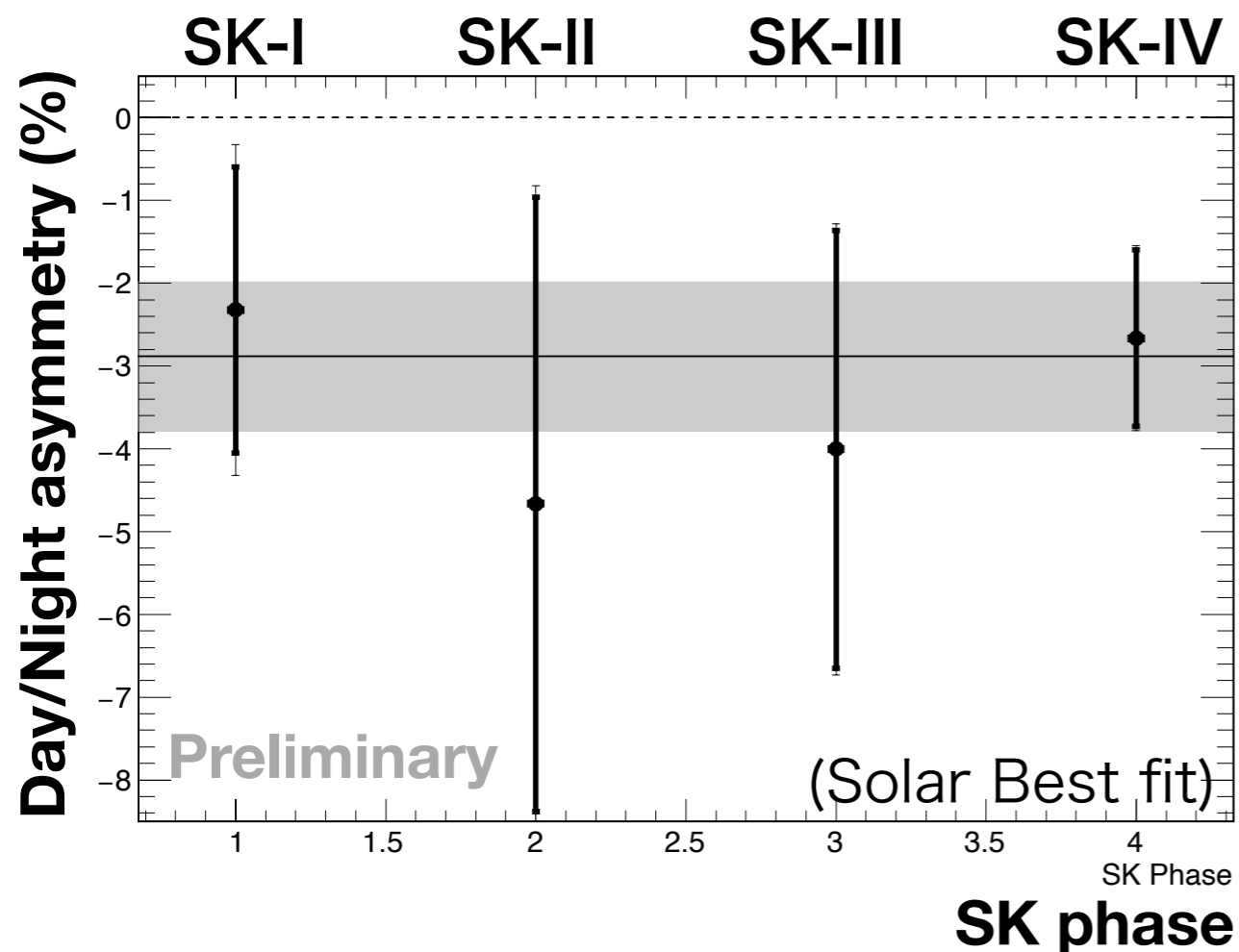


## ■ References:

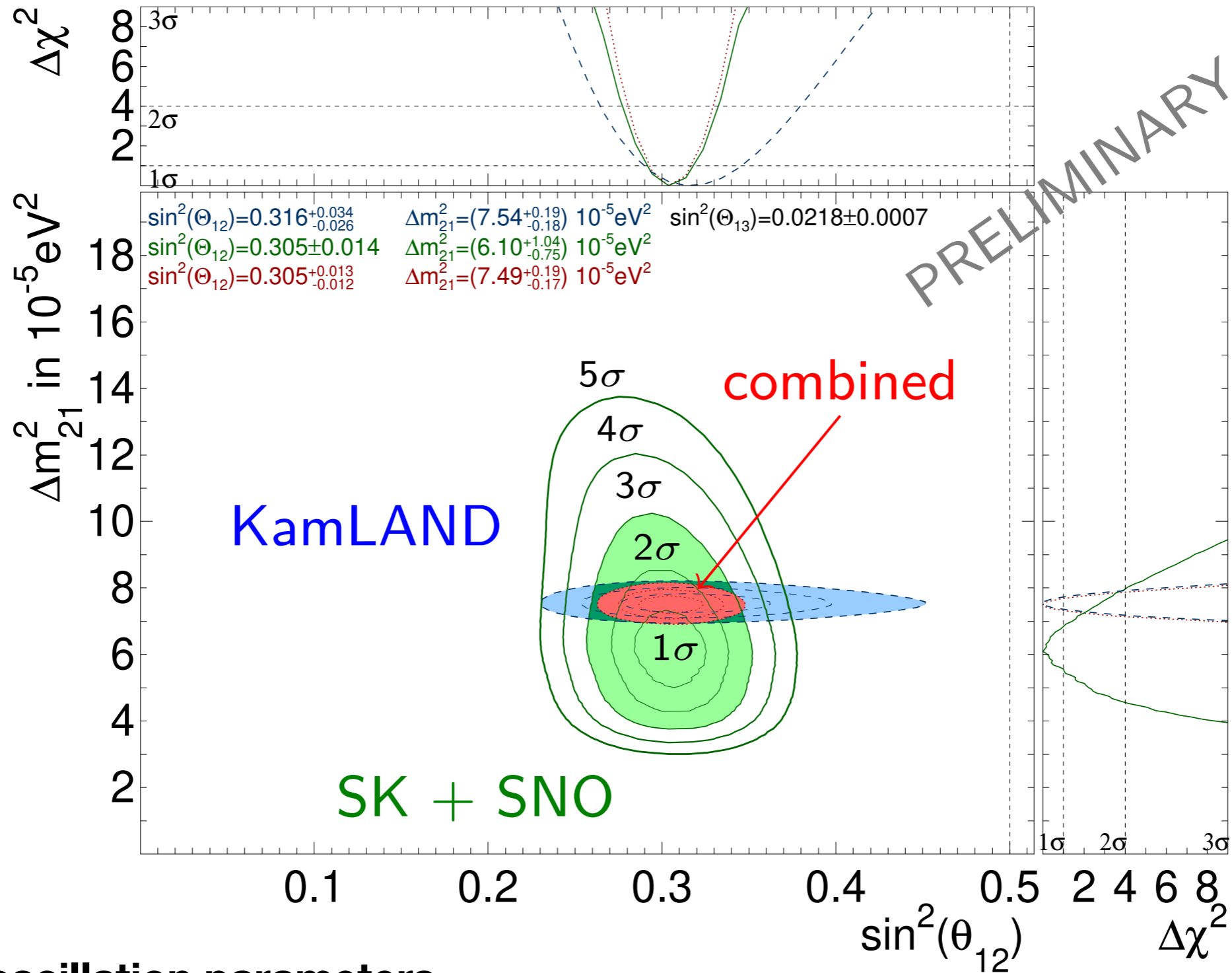
- [10.5281/zenodo.6759244](https://zenodo.org/record/6759244)
- [J. Phys. Conf. Ser. 888, 012189 \(2017\)](#)

# Day/Night flux asymmetry

- Day/Night flux asymmetry:  $A_{D/N} = \frac{\Phi_{day} - \Phi_{night}}{0.5(\Phi_{day} + \Phi_{night})}$ 
  - Expected D/N asymmetry  $\sim 3\%$  in the SK energy region
- SK confirmed a higher solar  $\nu$  flux at night than that at daytime with **significance of  $3.2\sigma$  for the Solar best fit,  $3.1\sigma$  for the Global best fit**



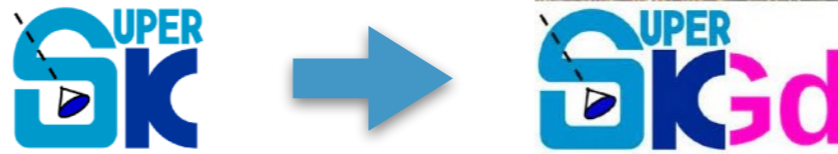
# Solar $\nu$ oscillation parameter extraction



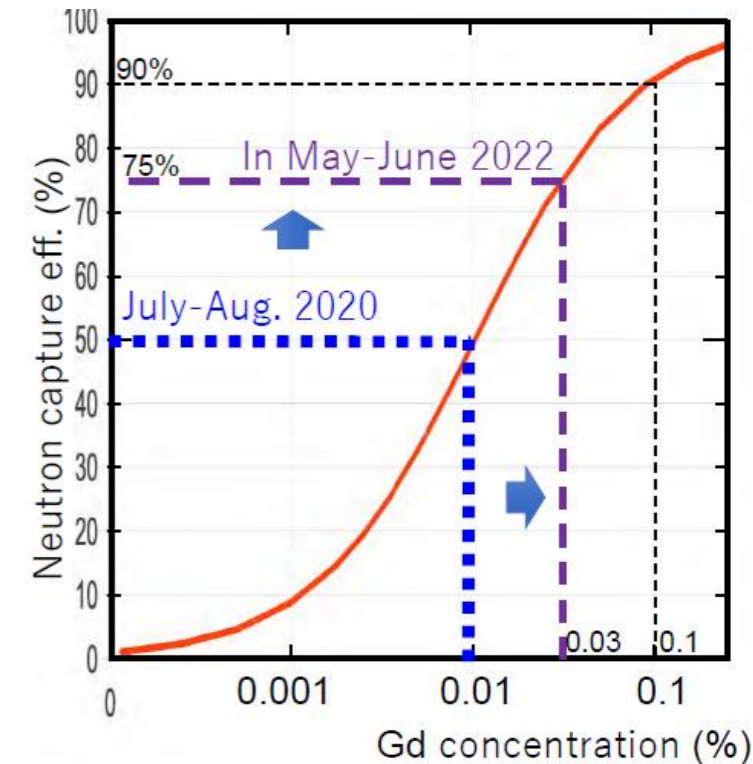
Best fit oscillation parameters

Experiment	$\sin^2 \theta_{12}$	$\Delta m_{21}^2$
KamLAND	$0.316^{+0.034}_{-0.026}$	$7.54^{+0.19}_{-0.18} \times 10^{-5} \text{ eV}^2$
SK + SNO	$0.305 \pm 0.014$	$6.10^{+1.04}_{-0.75} \times 10^{-5} \text{ eV}^2$
Combined	$0.305^{+0.013}_{-0.012}$	$7.49^{+0.19}_{-0.17} \times 10^{-5} \text{ eV}^2$

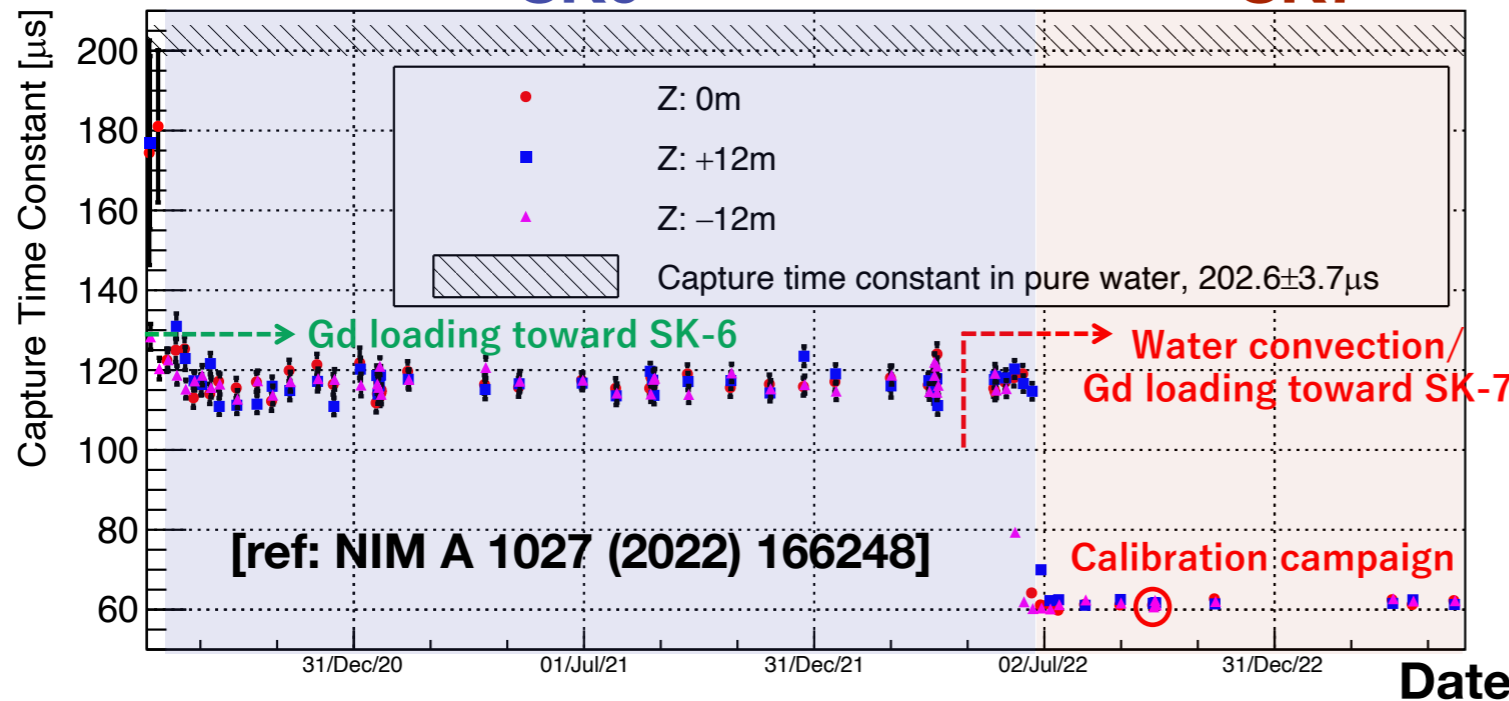
- There is  $\sim 1.5\sigma$  tension between SK+SNO and KamLAND in  $\Delta m_{21}^2$



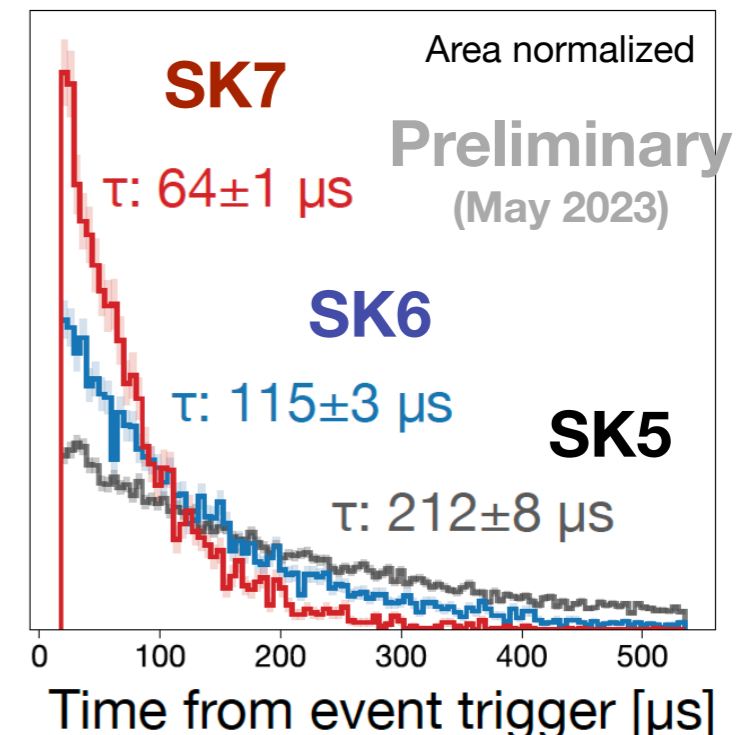
- SK-Gd: add Gd to ultrapure water to enhance neutron tagging efficiency
- Physics targets:
  - Detect the world's first Supernova Relic Neutrino (SRN)
  - Enhance  $\nu$  and  $\bar{\nu}$  identification in atmospheric  $\nu$  and T2K analyses
  - Reduce background in nucleon decay search
- Gd concentration in each SK phase
  - **SK-6** (Aug. 18, 2020~): 0.011%  $\rightarrow$   **$\sim 50\%$  n-Gd capture eff.**
  - **SK-7** (July 5, 2022~): 0.03%  $\rightarrow$   **$\sim 75\%$  n-Gd capture eff.**
- Observed clear increase of neutron candidates and shorter capture time
- Confirmed uniformity of Gd concentration over SK detector and its stability over time



### n-capture time with AmBe calibration source



### n-capture time in atm- $\nu$ events



# Summary

- SK atmospheric and solar neutrino oscillation analyses keep improving
  - **Atmospheric neutrino:** new results with full SK pure water phase data (SK-I~V) and with expanded fiducial volume — new paper in preparation
  - **Solar neutrino:** lowering the energy threshold to 2.49MeV (kinetic energy) aiming to see the “up-turn”
- SK-Gd phase began in 2020, and SK continues the stable operation
  - Expect several improvements in oscillation analyses and nucleon decay search
  - Observed clear signal of neutron capture on Gd in SK-VI and SK-VII
  - Many analysis developments on-going