

# Neutrinos and CPT violation

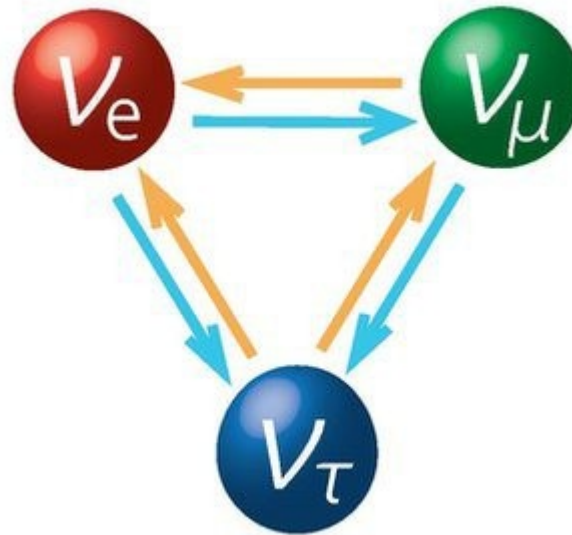
**Christoph Andreas Ternes**  
INFN, Sezione di Torino

IFIRSE, ICISE, Webinar April 15<sup>th</sup> 2022



Istituto Nazionale di Fisica Nucleare  
SEZIONE DI TORINO

# Current status of neutrino oscillations



# Three-neutrino oscillations

Neutrino mixing matrix

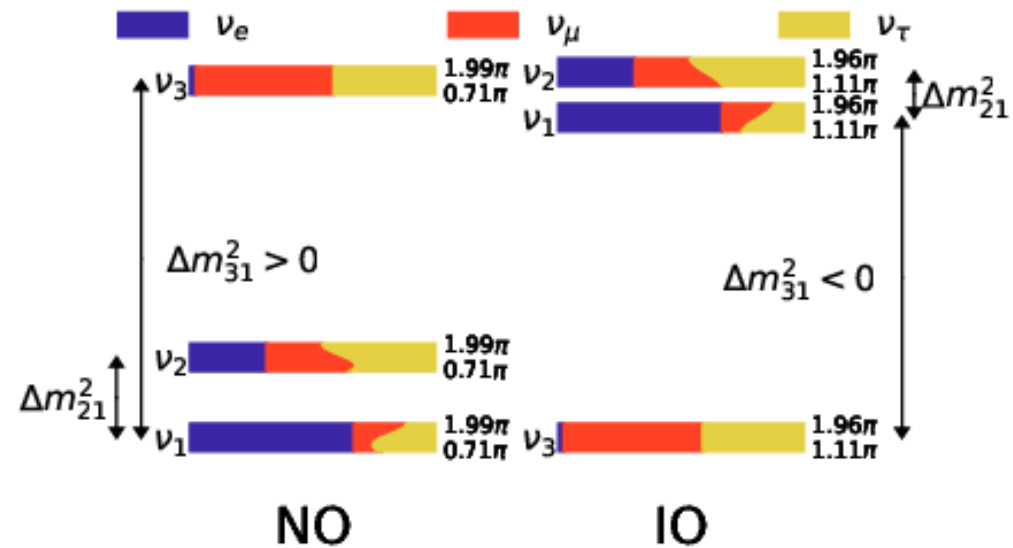
$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Three mixing angles  $\theta_{12}, \theta_{13}, \theta_{23}$

1 Dirac + 2 Majorana CP-phases

Three masses  $m_1, m_2, m_3$  for which two orderings are possible

Oscillations are only sensitive to mass splittings



# Three-neutrino oscillations

A neutrino flavor state is a superposition of mass eigenstates

$$|\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle, \quad \text{with} \quad \langle\nu_\alpha|\nu_\beta\rangle = \delta_{\alpha\beta}, \quad \langle\nu_k|\nu_j\rangle = \delta_{kj}$$

The massive neutrino states are eigenstates of the Hamiltonian

$$\mathcal{H}|\nu_k\rangle = E_k|\nu_k\rangle, \quad E_k = \sqrt{\vec{p}^2 + m_k^2}$$

The Schrödinger equation is then solved

$$i\partial_t|\nu_k(t)\rangle = \mathcal{H}|\nu_k(t)\rangle \quad \Rightarrow \quad |\nu_k(t)\rangle = e^{-iE_k t}|\nu_k\rangle$$

# Three-neutrino oscillations

Therefore the flavor state evolves as

$$|\nu_\alpha(t)\rangle = \sum_k U_{\alpha k}^* |\nu_k(t)\rangle = \sum_k U_{\alpha k}^* e^{-iE_k t} |\nu_k\rangle$$

Re-substituting we obtain

$$|\nu_\alpha(t)\rangle = \sum_{k,\beta} U_{\alpha k}^* U_{\beta k} e^{-iE_k t} |\nu_\beta\rangle$$

The transition amplitude is then

$$A(\alpha \rightarrow \beta, t) = \langle \nu_\beta | \nu_\alpha(t) \rangle = \sum_k U_{\alpha k}^* U_{\beta k} e^{-iE_k t}$$

# Three-neutrino oscillations

The transition probability is then

$$P(\alpha \rightarrow \beta, t) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* e^{-i(E_k - E_j)t}$$

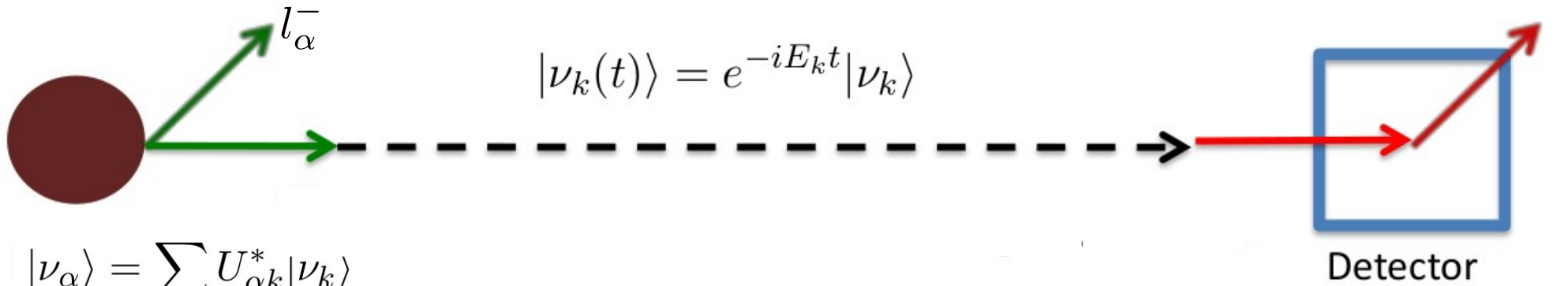
We use the approximations

$$E_k = E + \frac{m_k^2}{2E}, \quad t = L$$

The final result is

$$P(\alpha \rightarrow \beta; E, L) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* e^{i \frac{\Delta m_{kj}^2}{2E} L}$$

# Pictorial description



$$|\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle$$

$$A_{\nu_\alpha \rightarrow \nu_\beta}(t) \equiv \langle \nu_\beta | \nu_\alpha(t) \rangle = \sum_k U_{\alpha k}^* U_{\beta k} e^{-iE_k t}$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(t) = |A_{\nu_\alpha \rightarrow \nu_\beta}(t)|^2 = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* e^{-i(E_k - E_j)t}$$

# Three-neutrino oscillations

Neutrino oscillation probability in vacuum is given by

$$P_{\alpha\beta}(E, L) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* e^{i \frac{\Delta m_{kj}^2}{2E} L}$$

From the interplay of the mass splittings with energy and distance we see that different types of experiments are sensitive to different parameters

Parameter	Main contribution from	Other contributions from
$\Delta m_{21}^2$	KamLAND	SOL
$ \Delta m_{31}^2 $	LBL+ATM+REAC	-
$\theta_{12}$	SOL	KamLAND
$\theta_{23}$	LBL+ATM	-
$\theta_{13}$	REAC	(LBL+ATM) and (SOL+KamLAND)
$\delta$	LBL	ATM
MO	(LBL+REAC) and ATM	COSMO and $0\nu\beta\beta$



# Three-neutrino oscillations

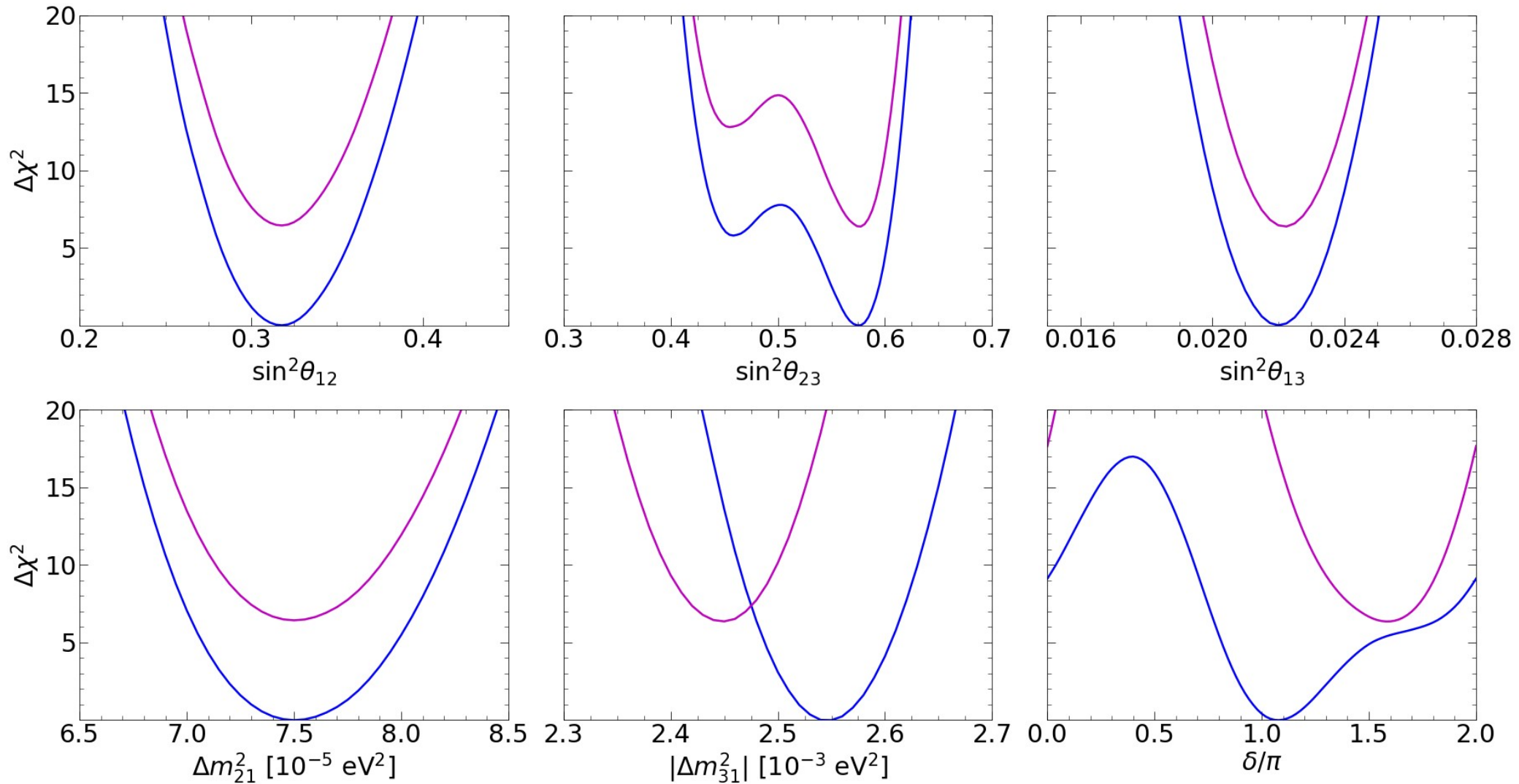
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Common sensitivities from different types of experiments

Combination of data sets can enhance sensitivities to oscillation parameters

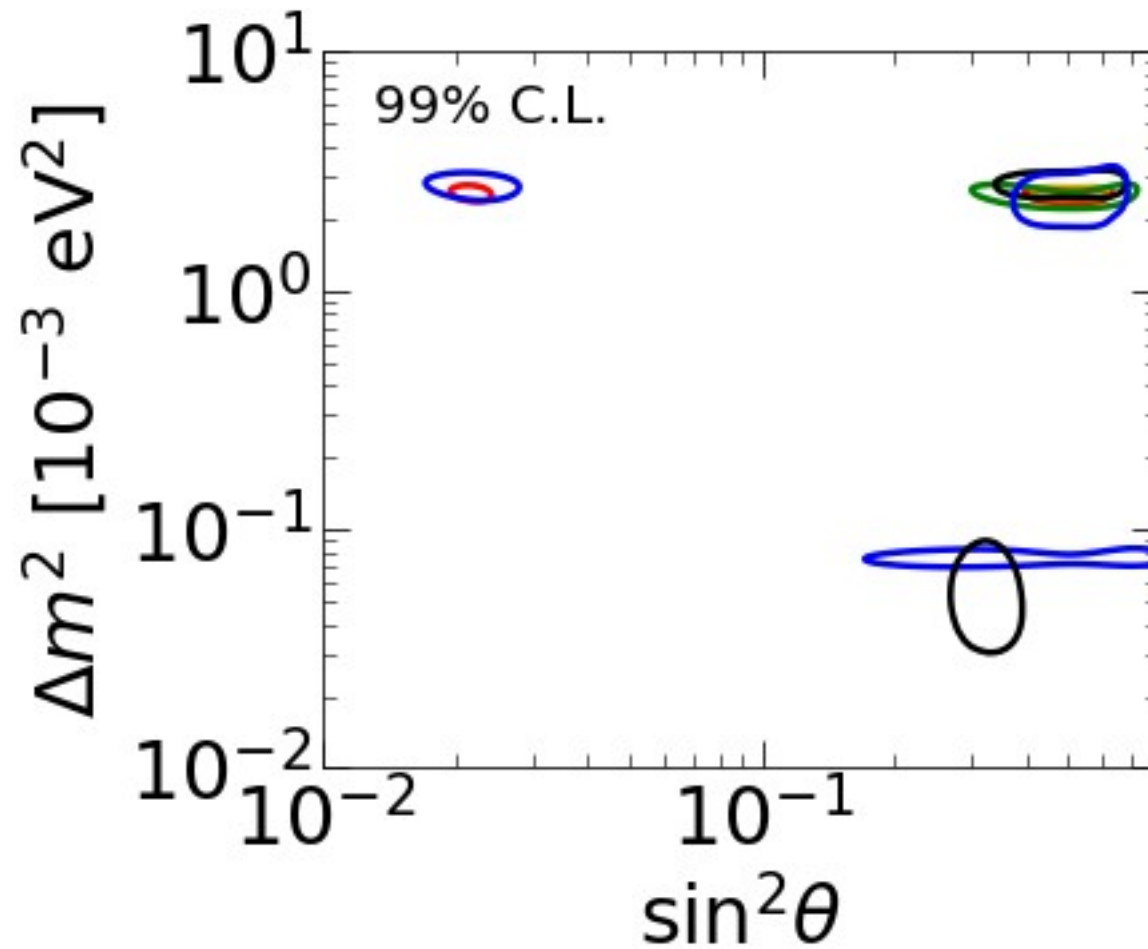
=> Perform a global fit to neutrino oscillation data!

# Three-neutrino oscillations

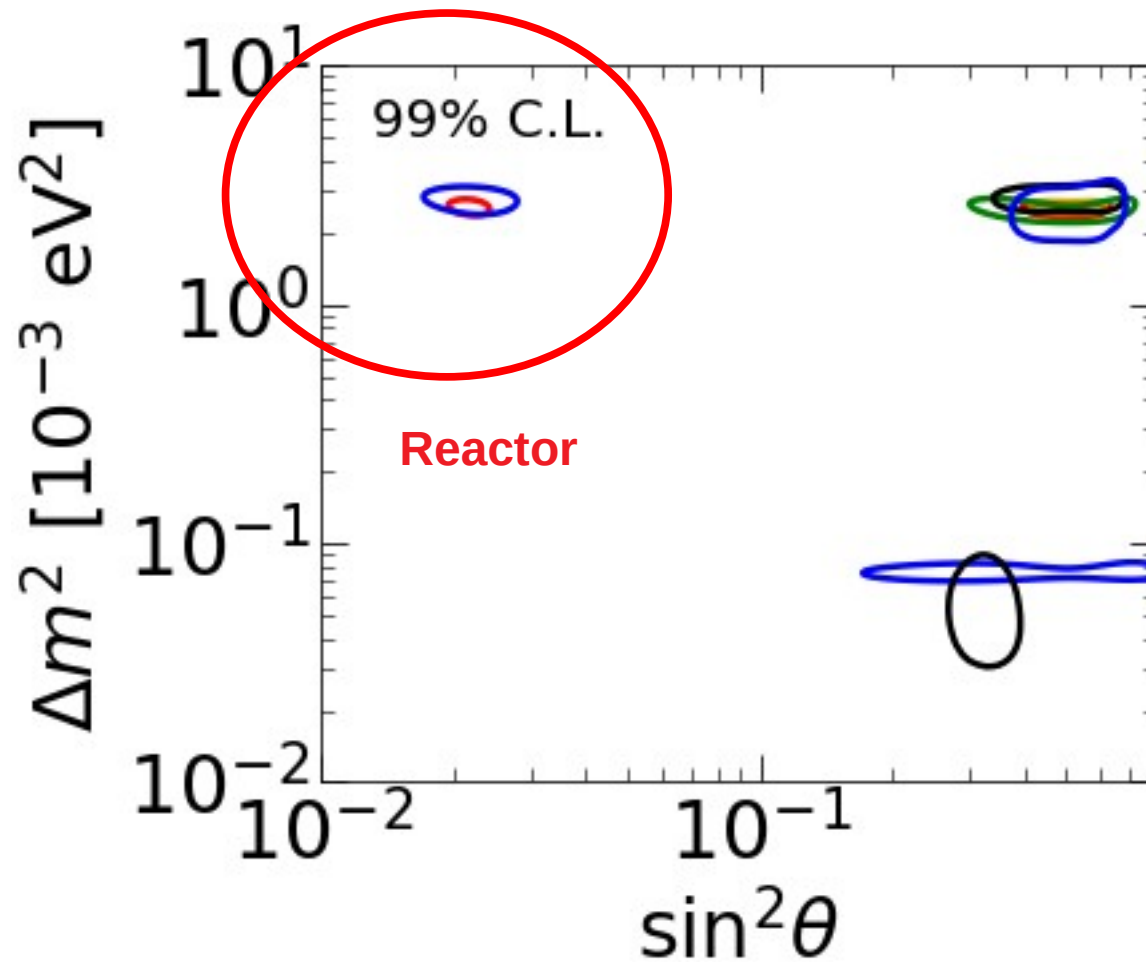


Valencia - Global Fit, 2006.11237, JHEP 2021

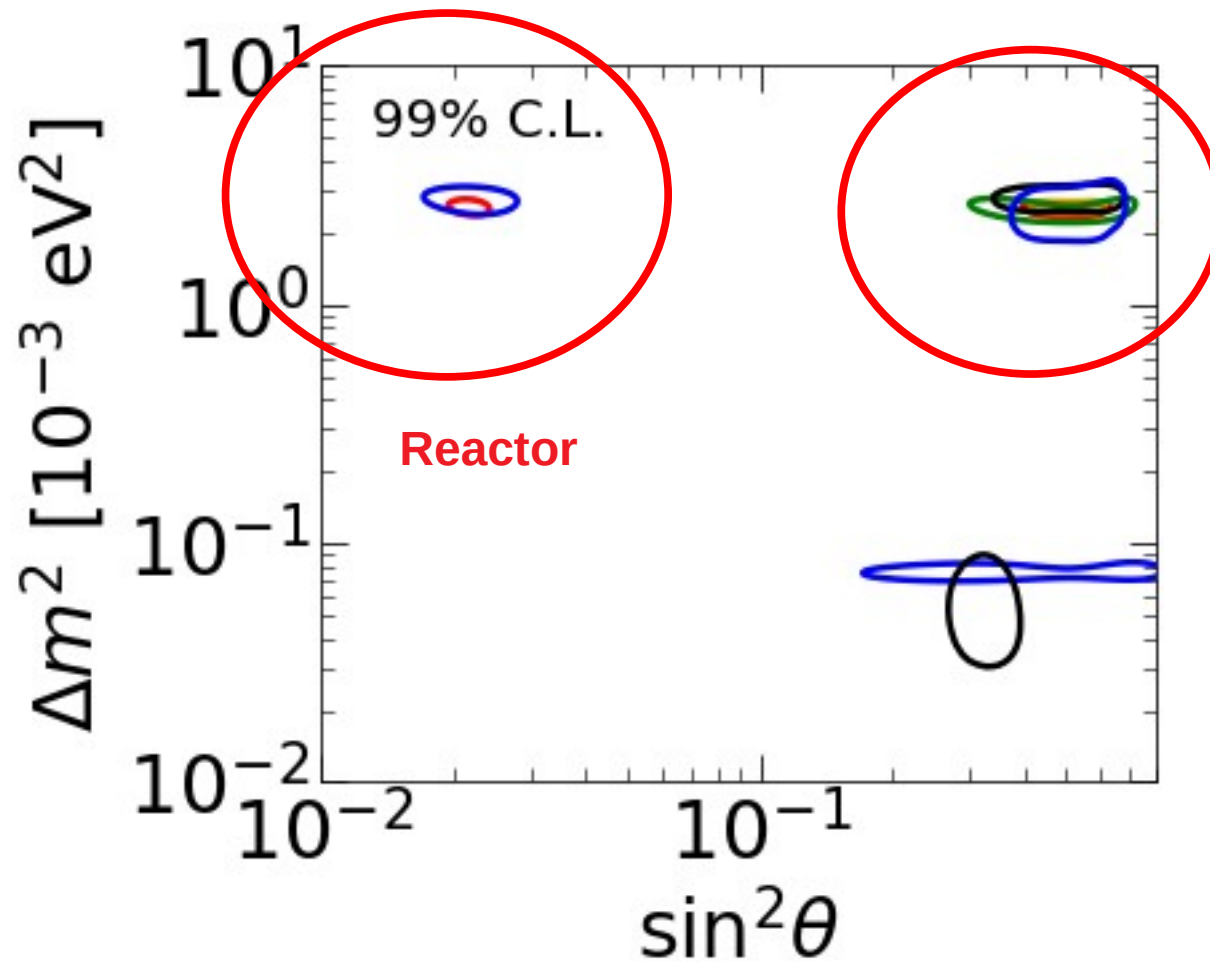
# Three-neutrino oscillations



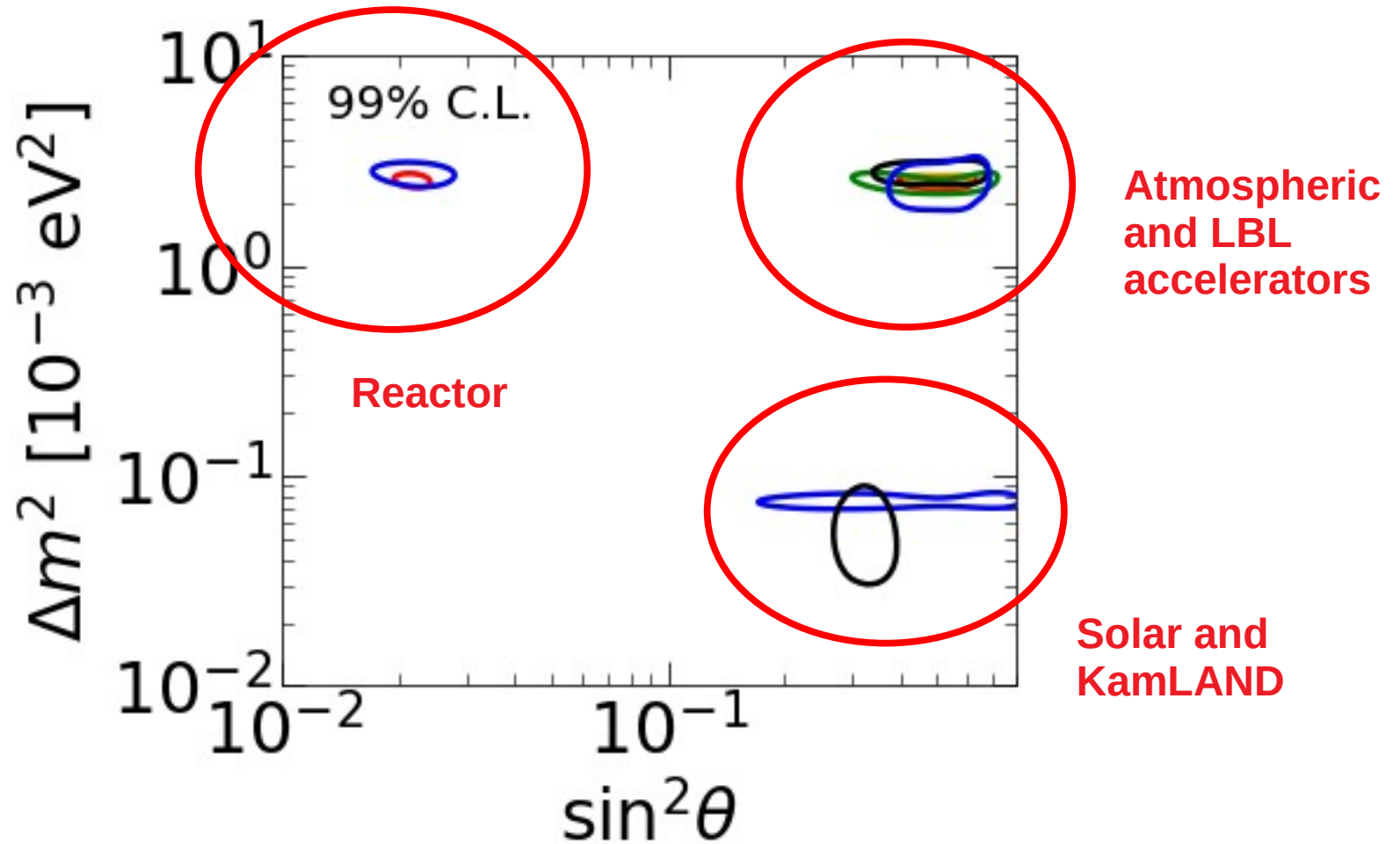
# Three-neutrino oscillations

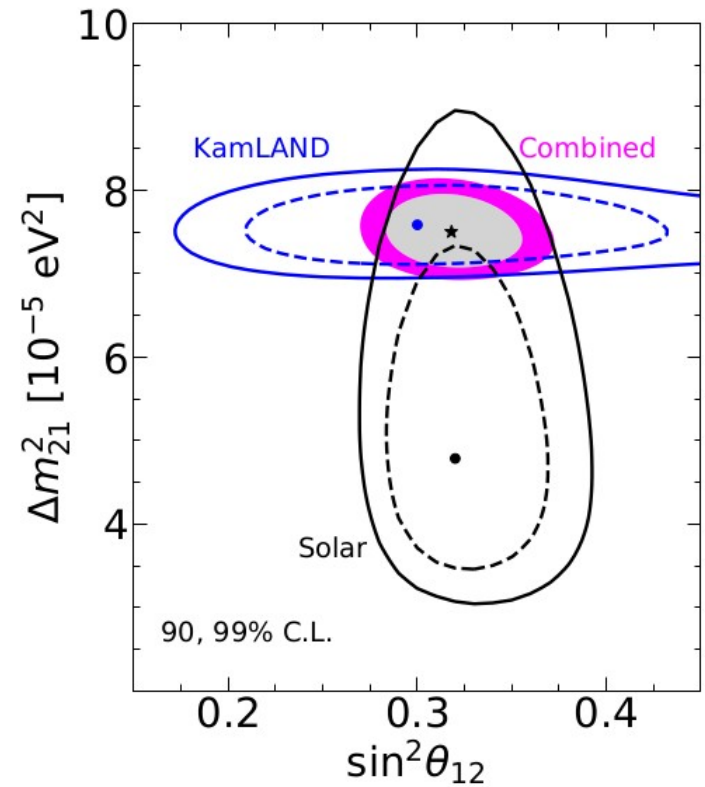
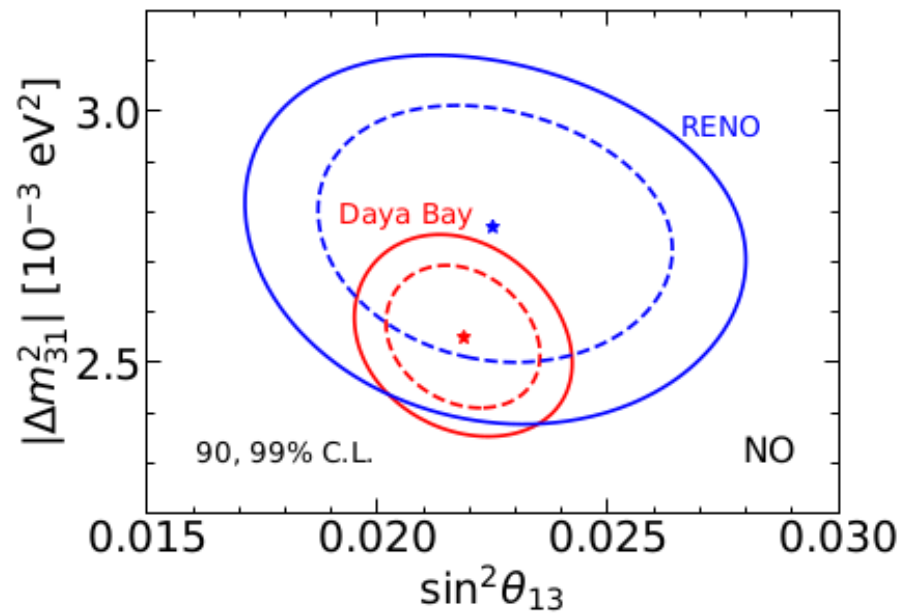
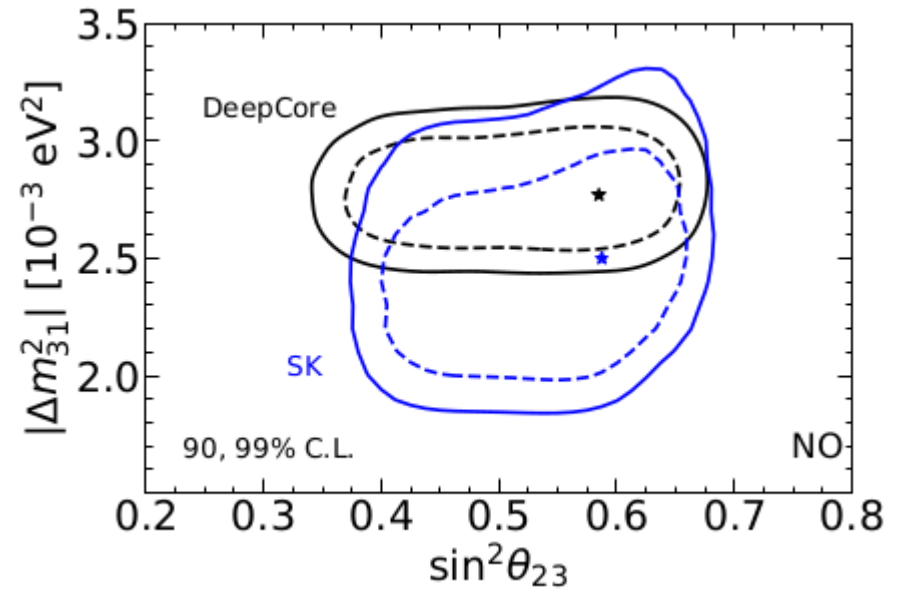
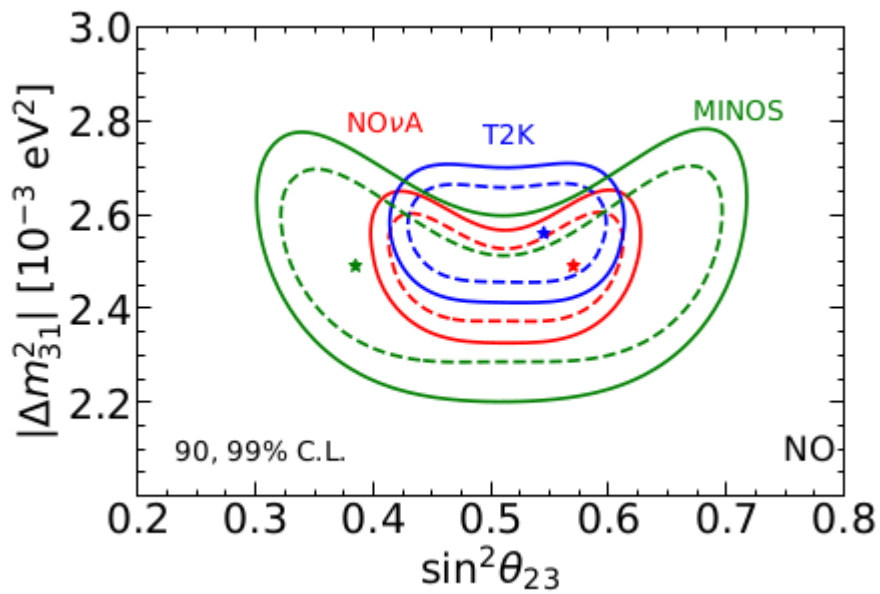


# Three-neutrino oscillations



# Three-neutrino oscillations

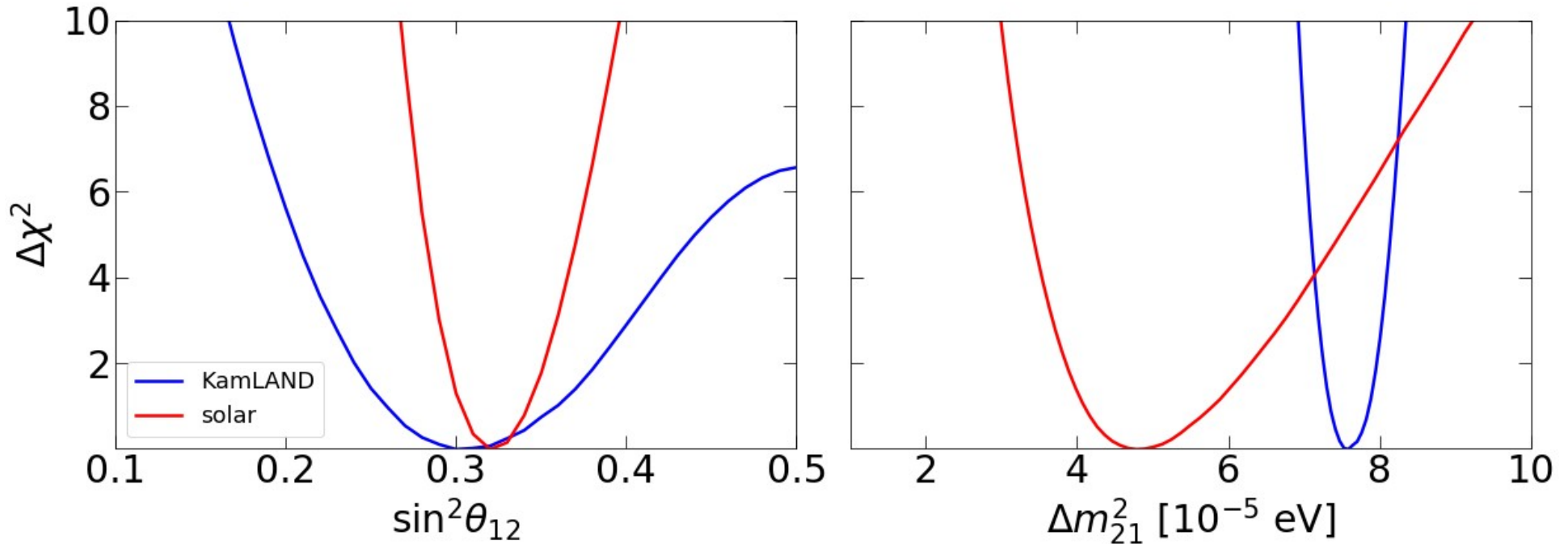




# Solar sector

$$P_{ee}^{\text{SOL}} = \frac{1}{2}c_{13}^2(c_{13}^m)^2(1 + c_{12}c_{12}^m) + s_{13}^2(s_{13}^m)^2$$

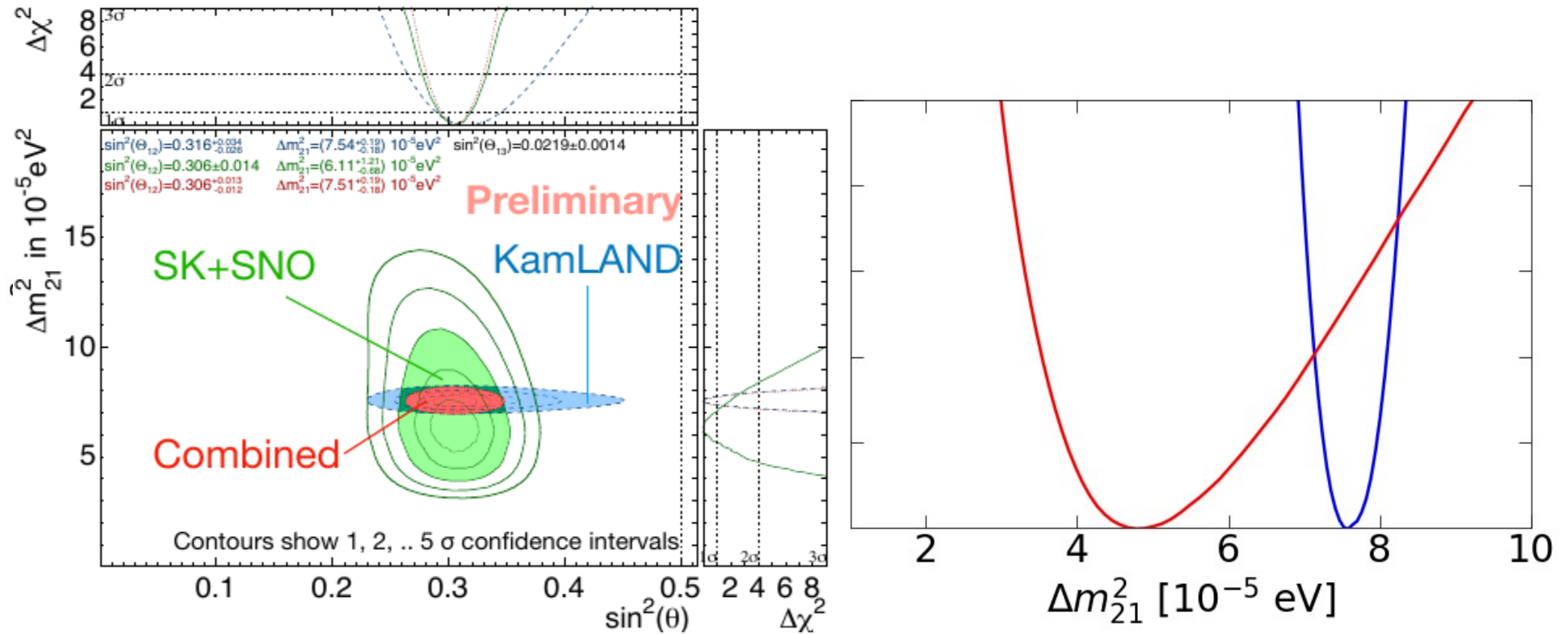
$$P_{ee}^{\text{KL}} = c_{13}^4 \left( 1 - \frac{1}{2} \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) \right) + s_{13}^4$$



Better determination of mass splitting / mixing angle at KamLAND / solar experiments

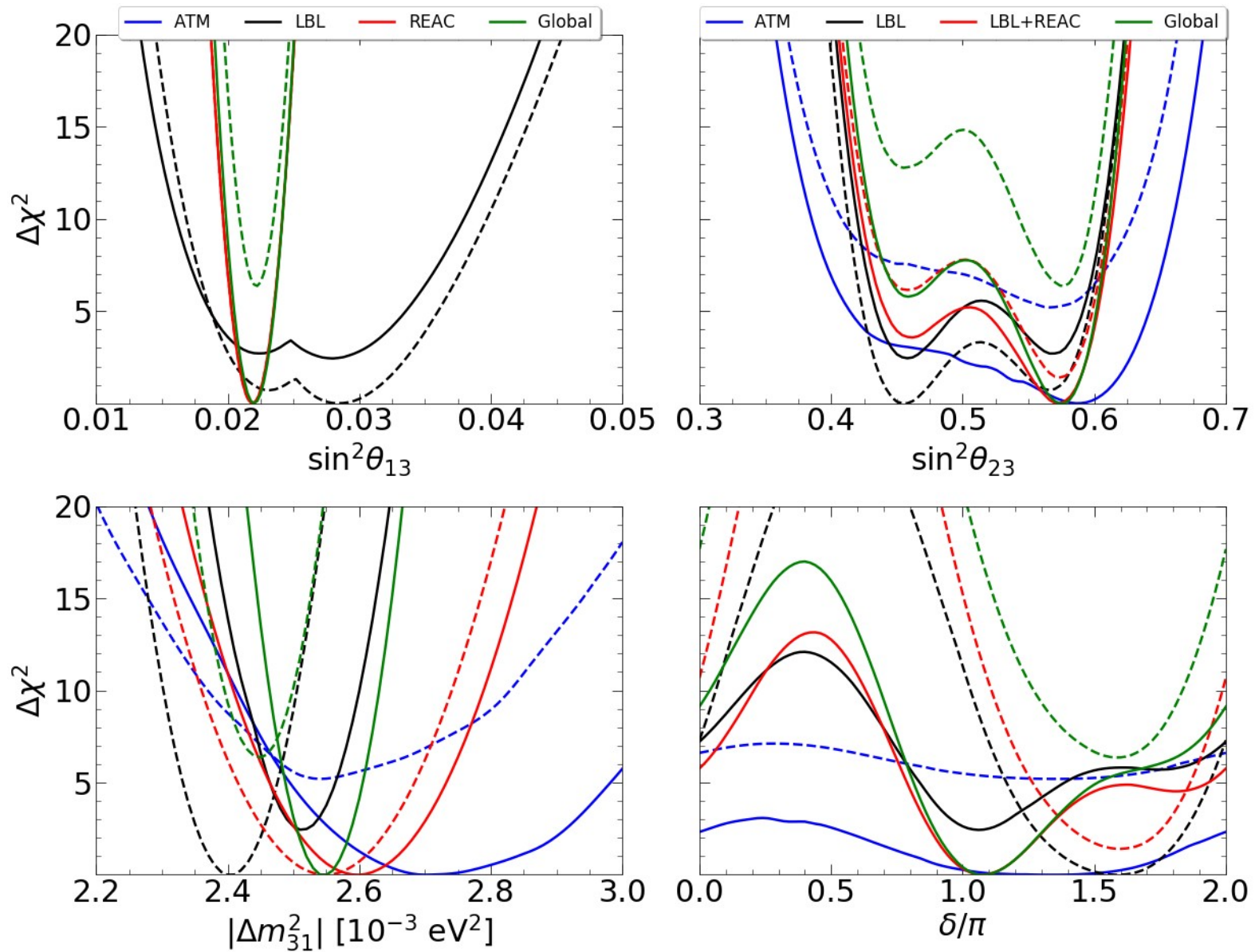


# Solar sector

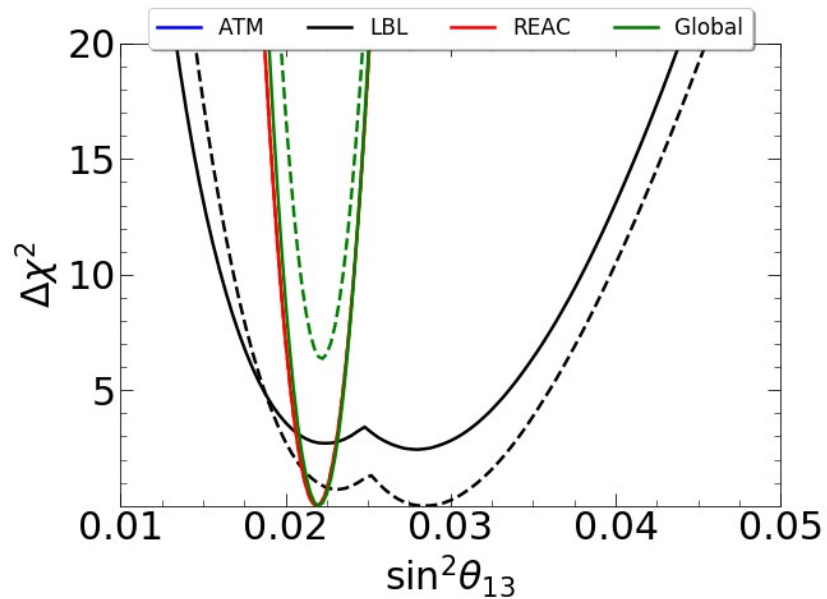


Discrepancy is reduced in new solar data from Super-K

# Remaining parameters



# Remaining parameters



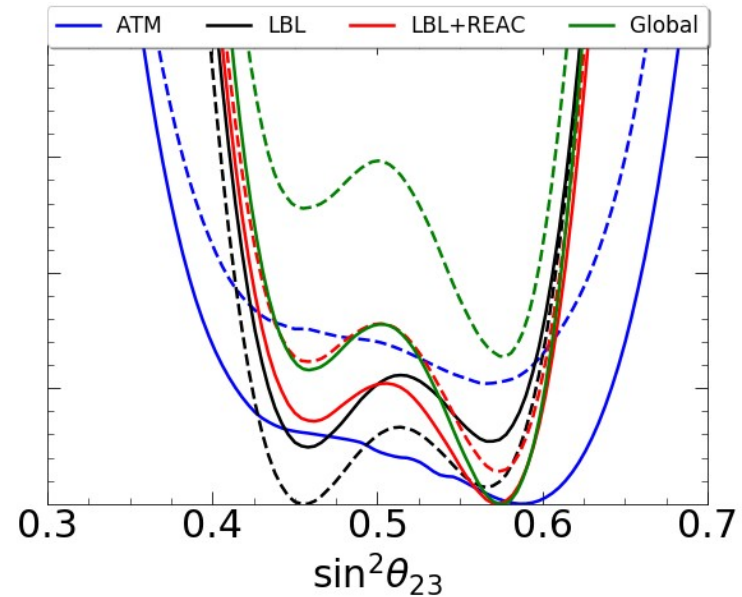
$$P_{ee}^{\text{REAC}} = 1 - \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{ee}^2 L}{4E} \right)$$

Nunokawa, Parke, Zukanovich Funchal, hep-ph/0503283 , PRD 2005

# Atmospheric octant

LBL data on their own  
do not distinguish  
octants

Adding ATM and REAC  
breaks degeneracies



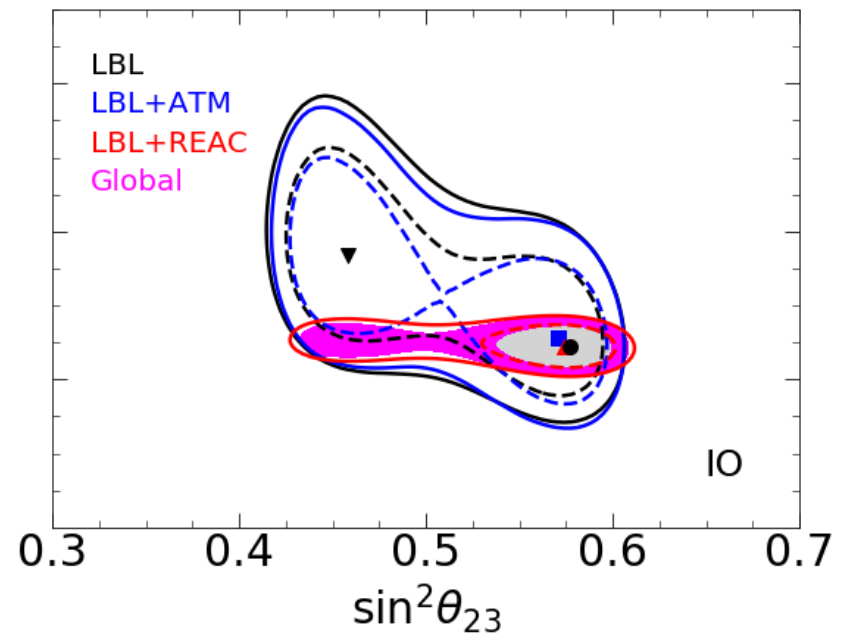
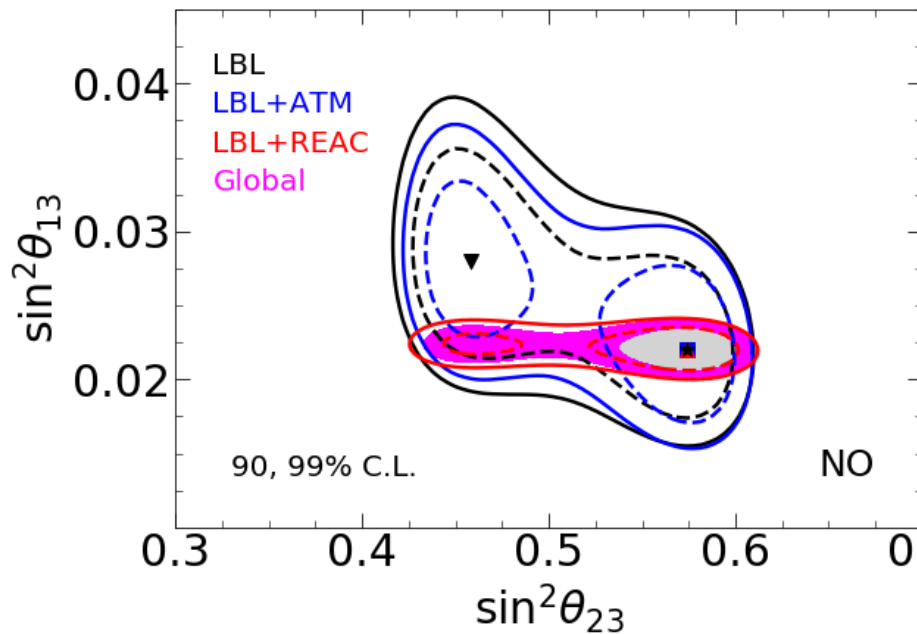
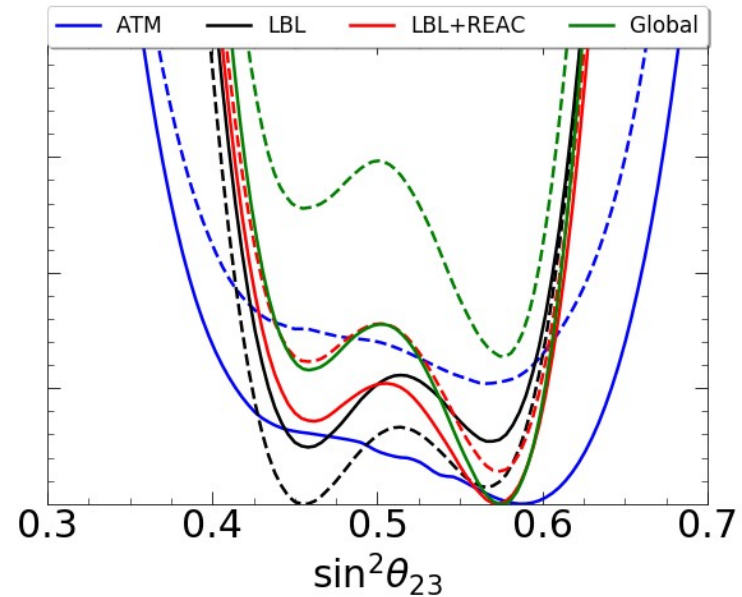
$$P_{\mu\mu}^{\text{LBL}} = 1 - \sin^2 2\theta_{\mu\mu} \sin^2 \left( \frac{\Delta m_{\mu\mu}^2 L}{4E} \right)$$

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) \simeq & \sin^2 \theta_{23} \sin^2 2\theta_{13} \frac{\sin^2(\Delta_{31} - aL)}{(\Delta_{31} - aL)^2} \Delta_{31}^2 \\
 & + \sin 2\theta_{23} \sin 2\theta_{13} \sin 2\theta_{12} \frac{\sin(\Delta_{31} - aL)}{(\Delta_{31} - aL)} \Delta_{31} \frac{\sin(aL)}{(aL)} \Delta_{21} \cos(\Delta_{31} + \delta_{\text{CP}}) \\
 & + \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(aL)}{(aL)^2} \Delta_{21}^2,
 \end{aligned}$$

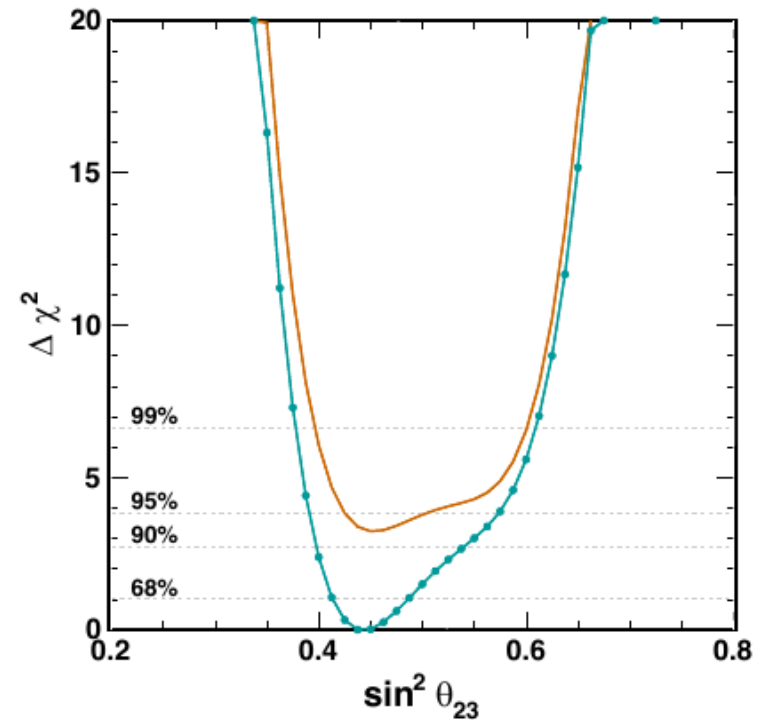
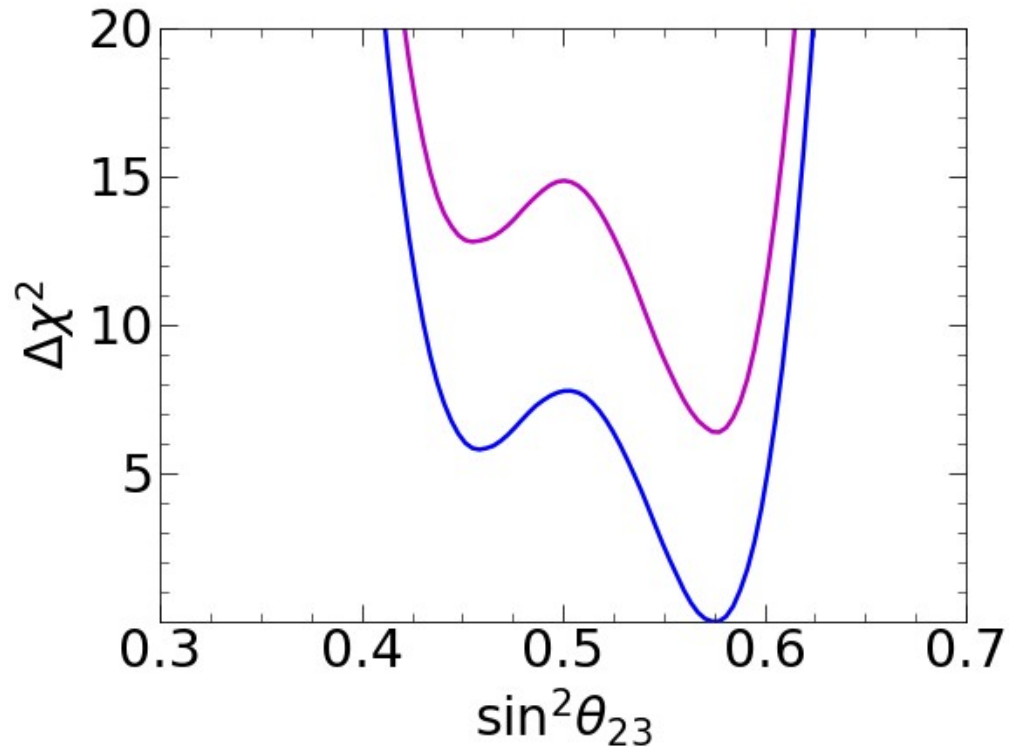
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# Atmospheric octant

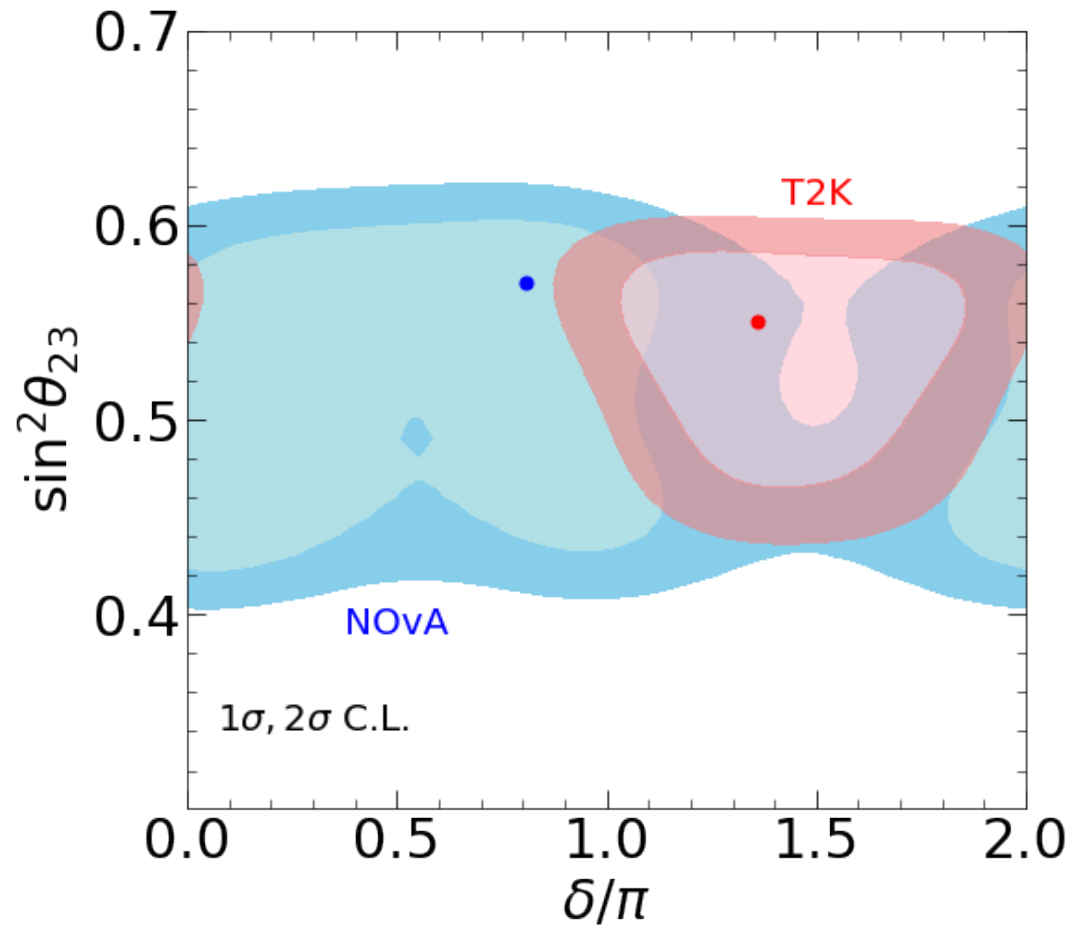


Current global fit prefers second octant

New data from Super-K prefer first octant

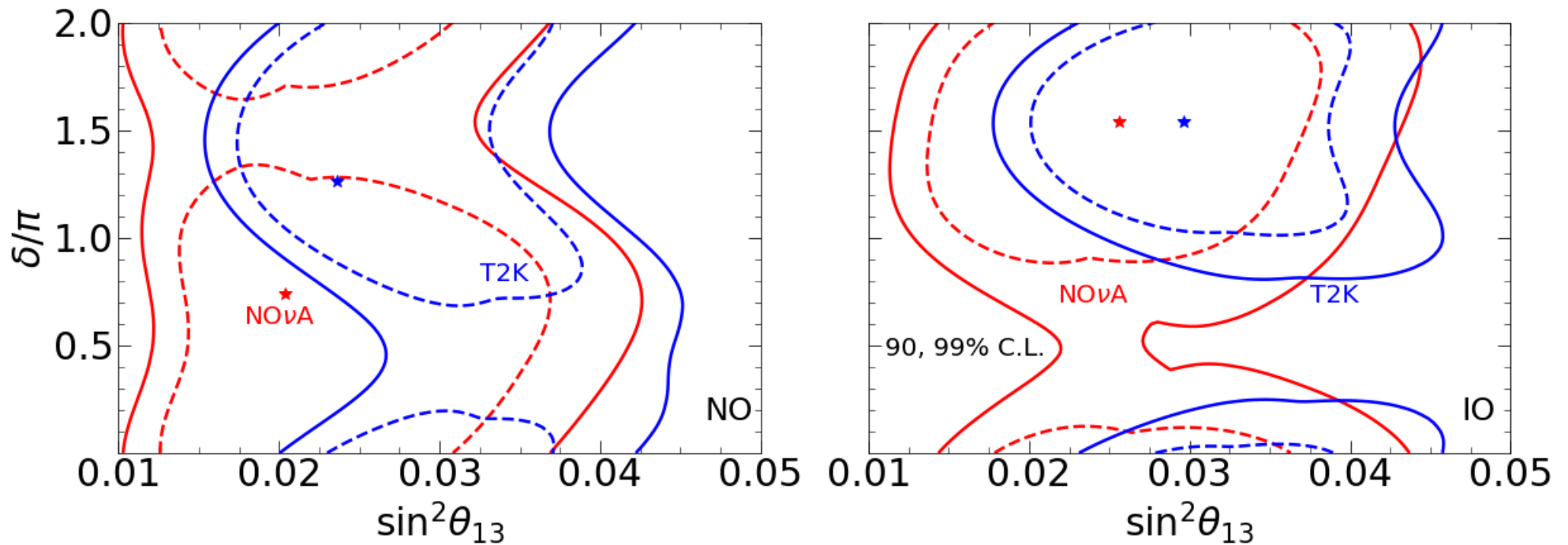
Valencia - Global Fit, 2006.11237, JHEP 2021

# CP violation



Tension in the measurement of the CP phase in current data

# CP violation

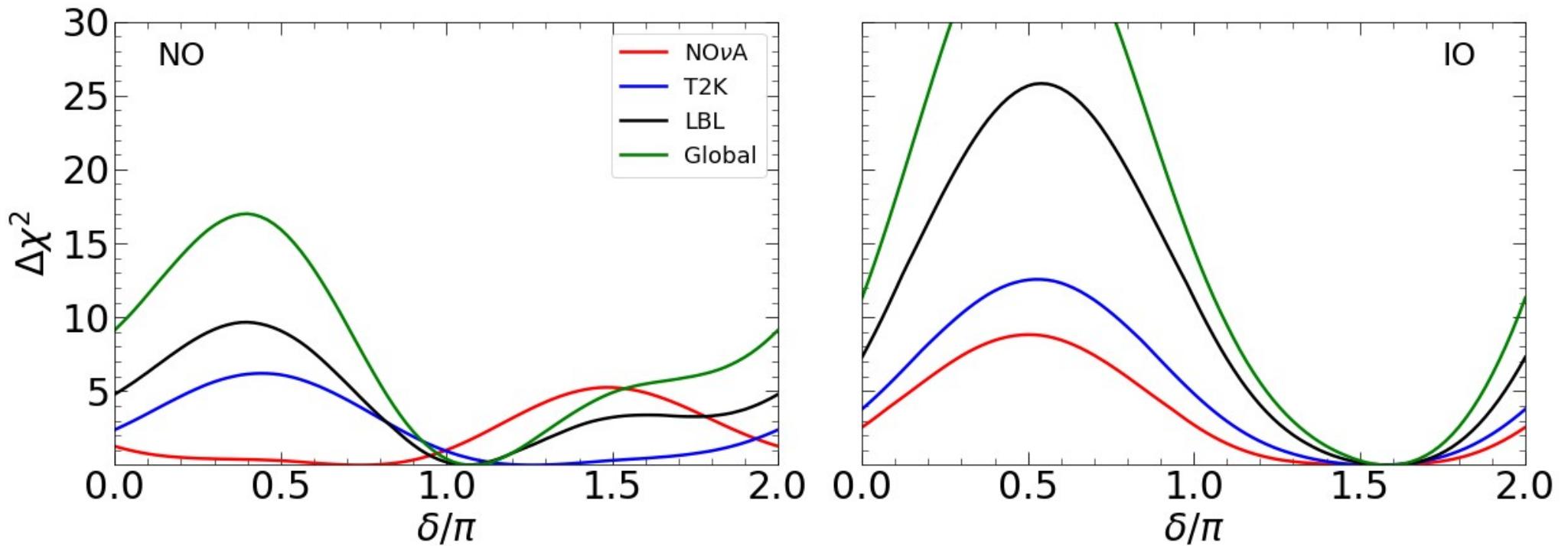


Tension remains when relaxing prior from reactor neutrinos

Valencia - Global Fit, 2006.11237, JHEP 2021



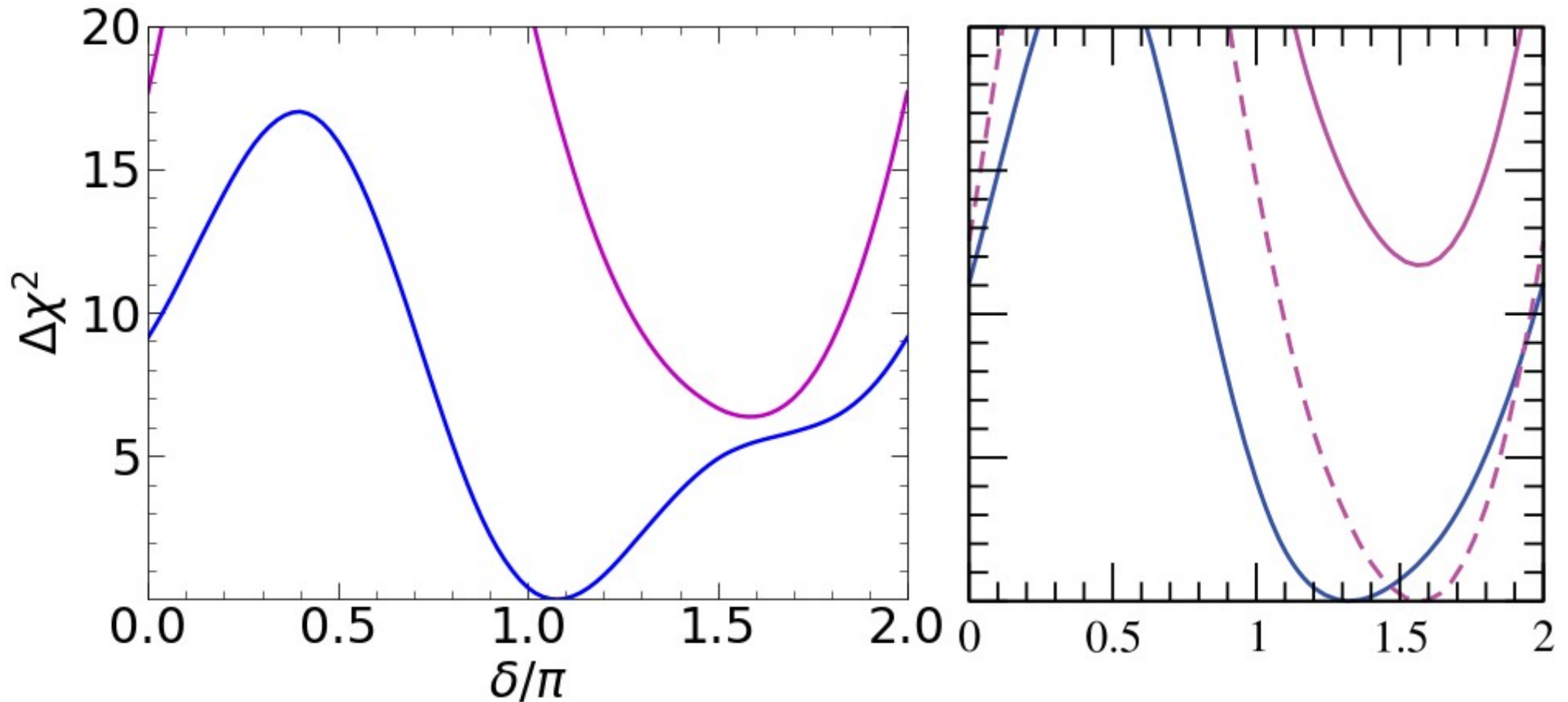
# CP violation



T2K and NOvA profiles disagree for NO

Valencia - Global Fit, 2006.11237, JHEP 2021

# CP violation



The measurement of delta is now worse than it was before

Valencia - Global Fit (current), 2006.11237, JHEP 2021  
Valencia - Global Fit 2018, 1708.01186, PLB 2018

# Neutrino mass ordering

Global fit has  $2.5\sigma$  preference for normal neutrino mass ordering

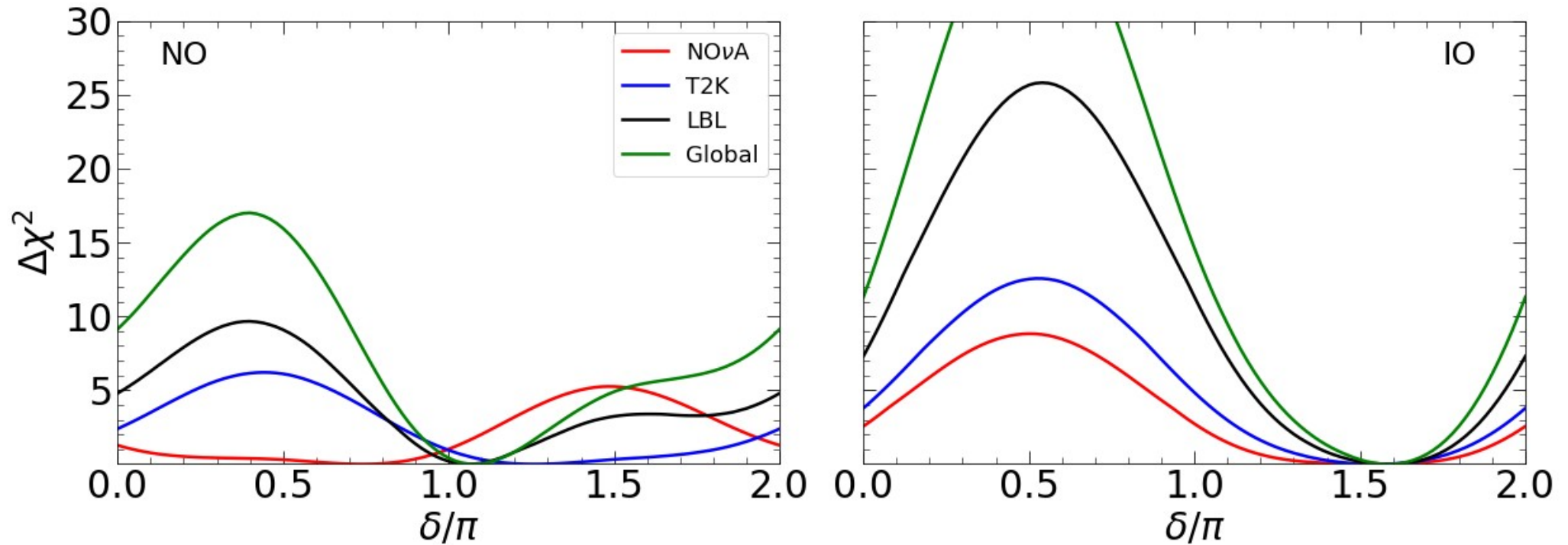
None of the experiments has a good sensitivity on its own

The  $2.5\sigma$  are due to a series of small or large tensions among different data sets

The neutrino mass ordering is a sensible issue

# Neutrino mass ordering

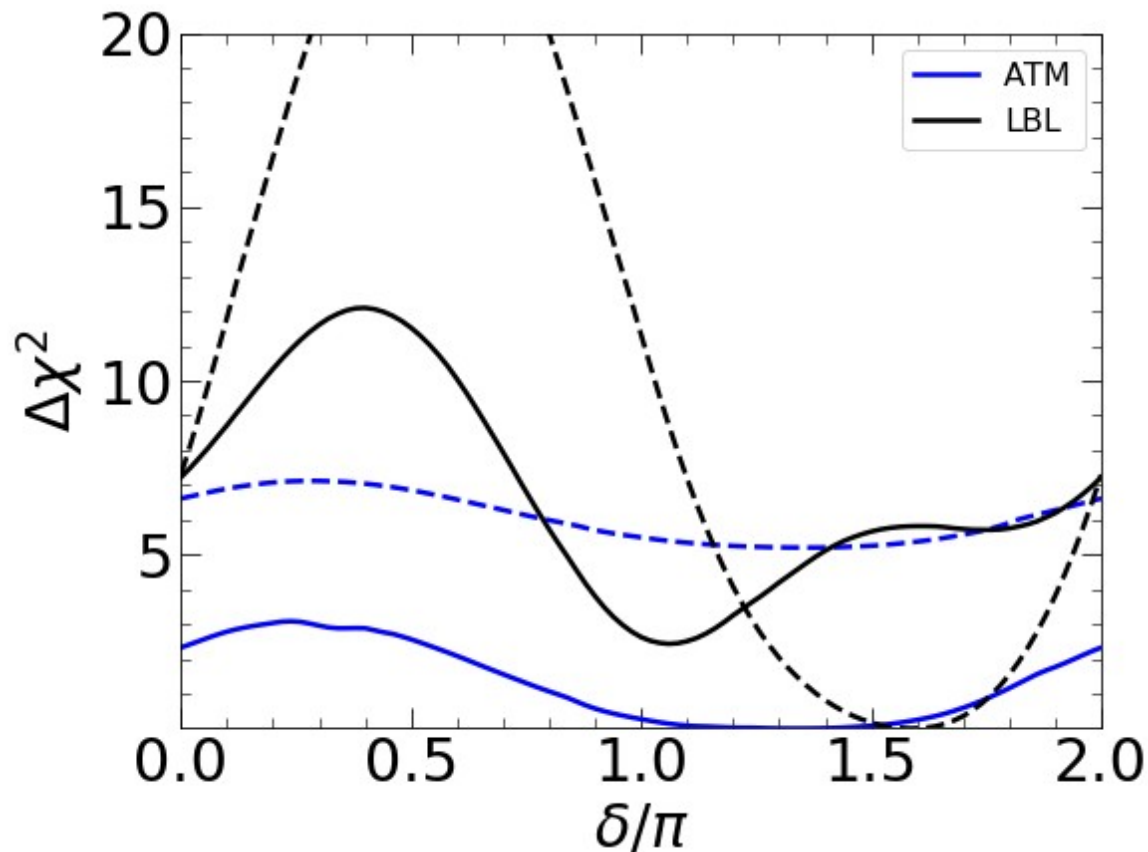
The tension between T2K and NOvA in the measurement of the CP phase appears only for normal ordering



# Neutrino mass ordering

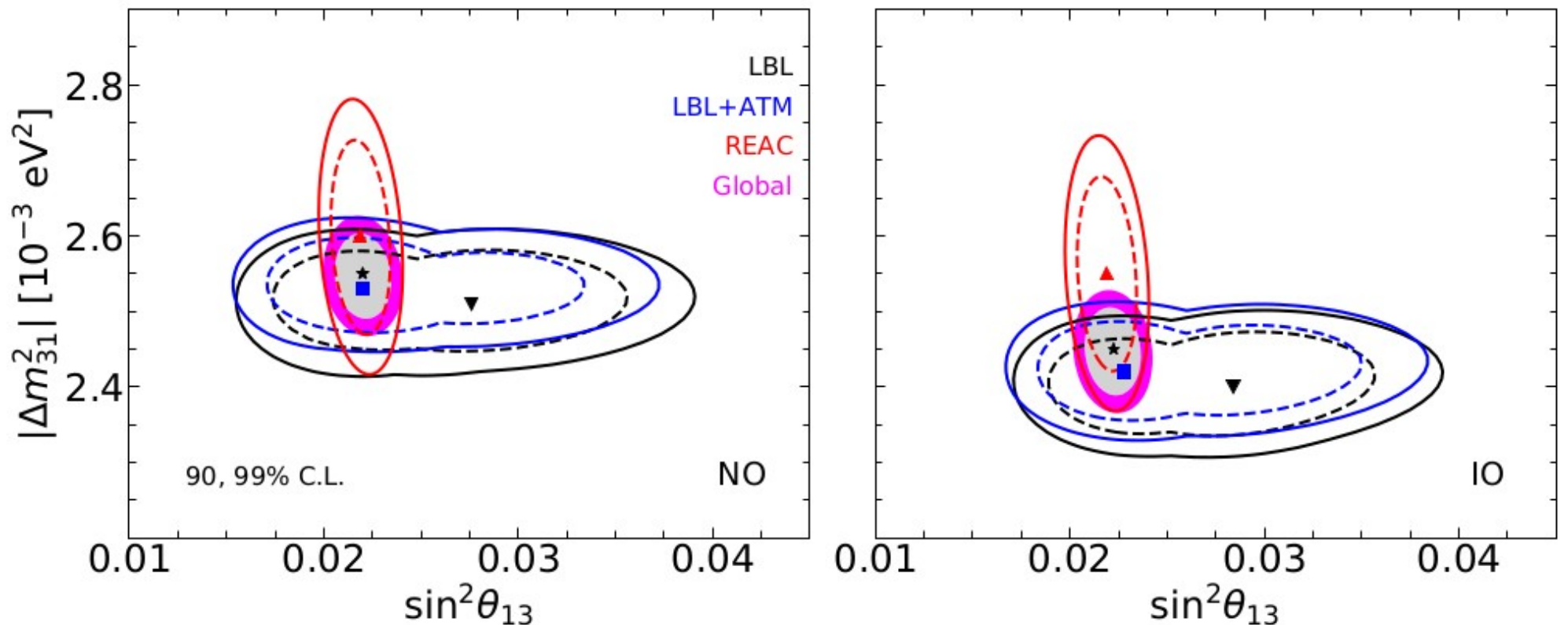
Although none of the experiments has a preference on its own, the combined analysis of all LBL data prefers IO!

At the same time there is slight preference for NO from atmospheric experiments



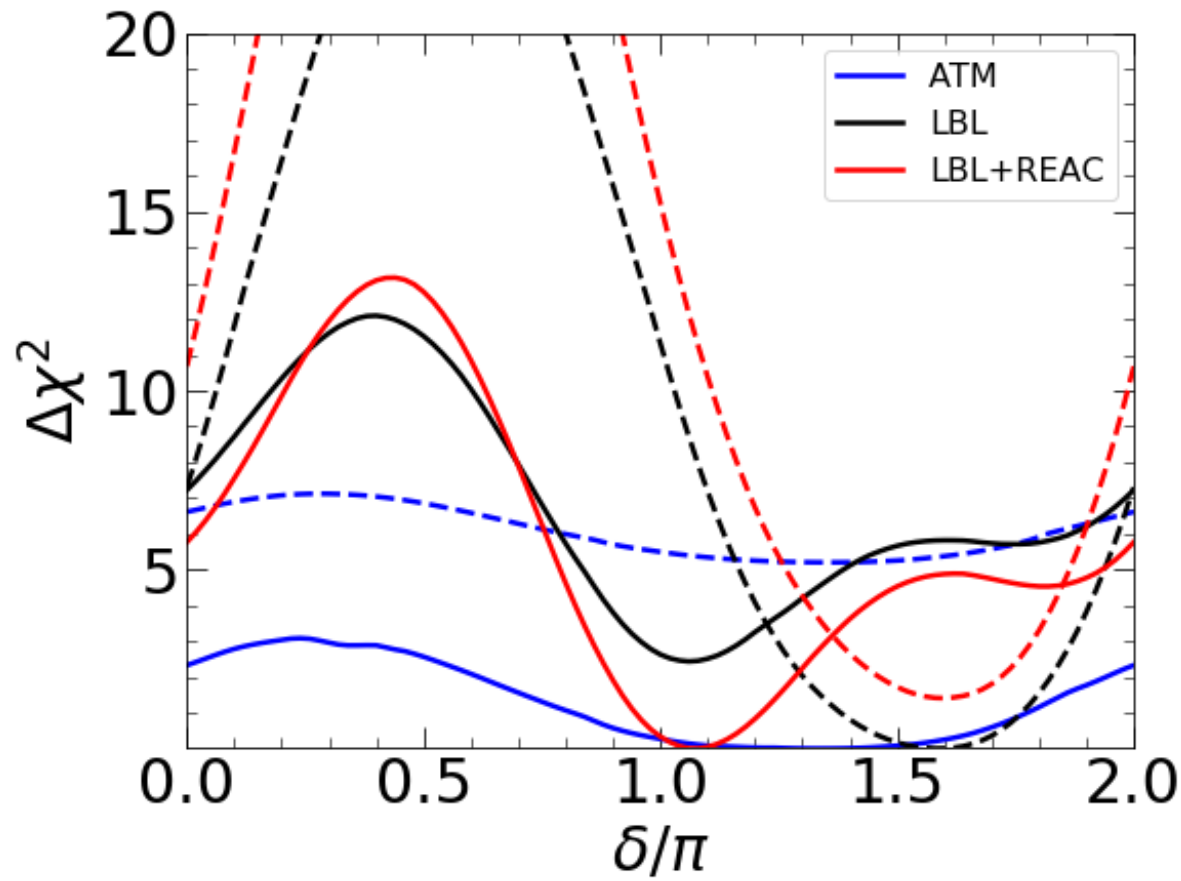
# Neutrino mass ordering

When combining LBL with REAC, NO is again preferred at  $1\sigma$  level, due to a better agreement in the measurement of the mass splitting among accelerators and reactor for normal ordering



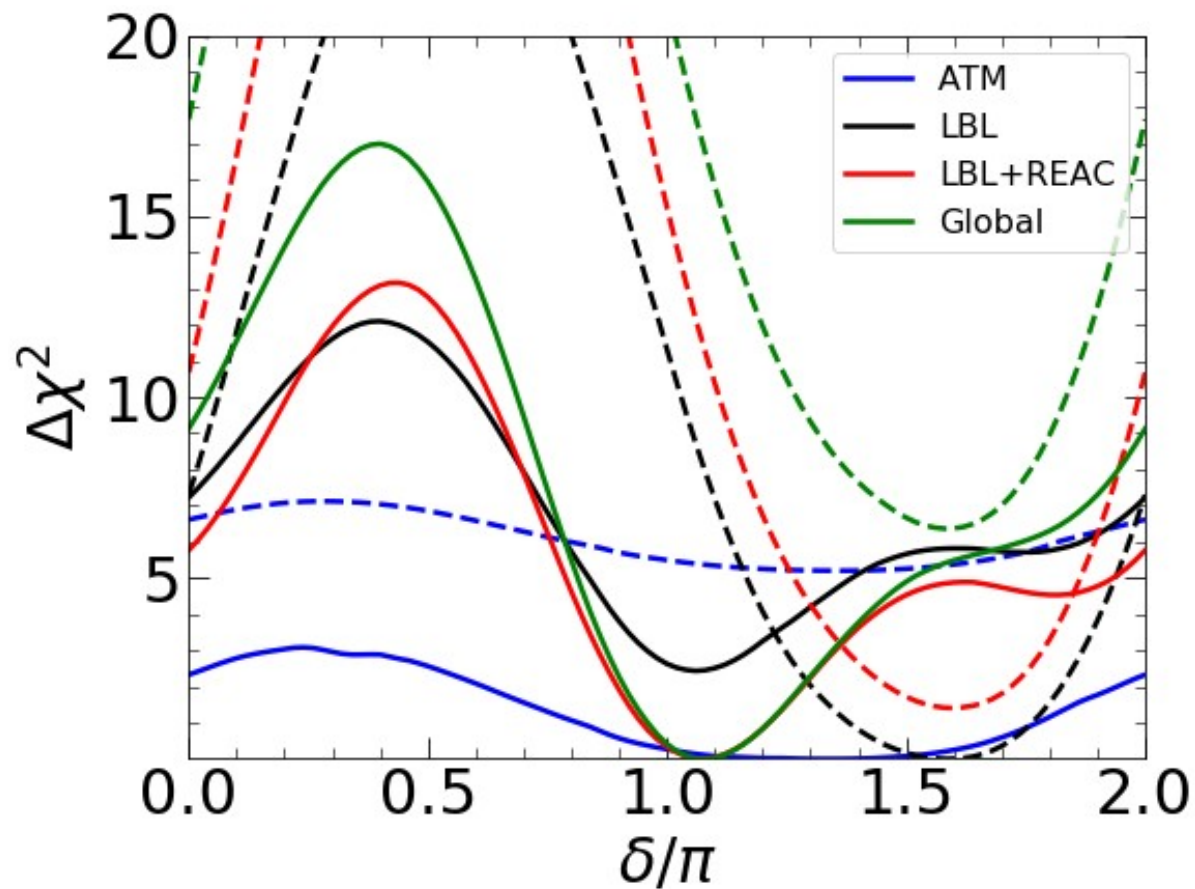
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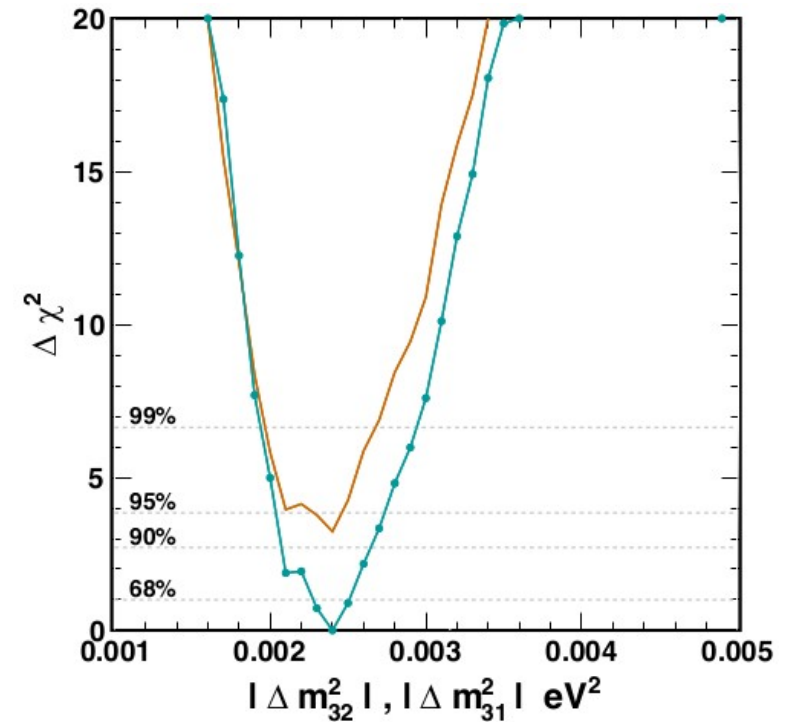
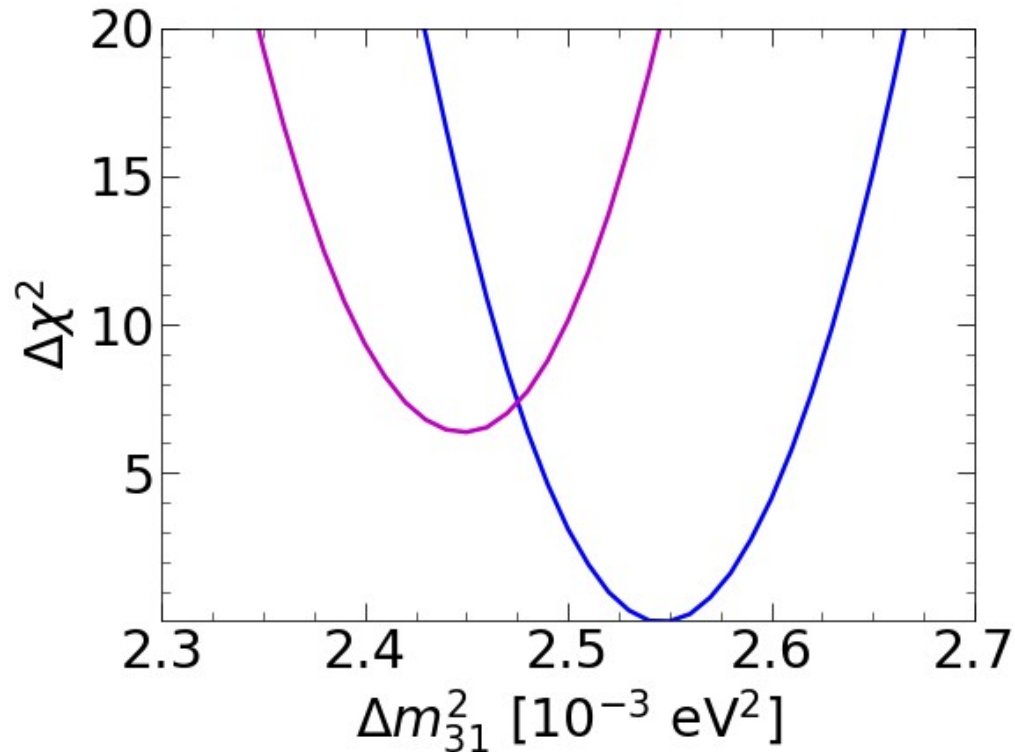
# Neutrino mass ordering

After combing everything we get  $2.5\sigma$





# Neutrino mass ordering

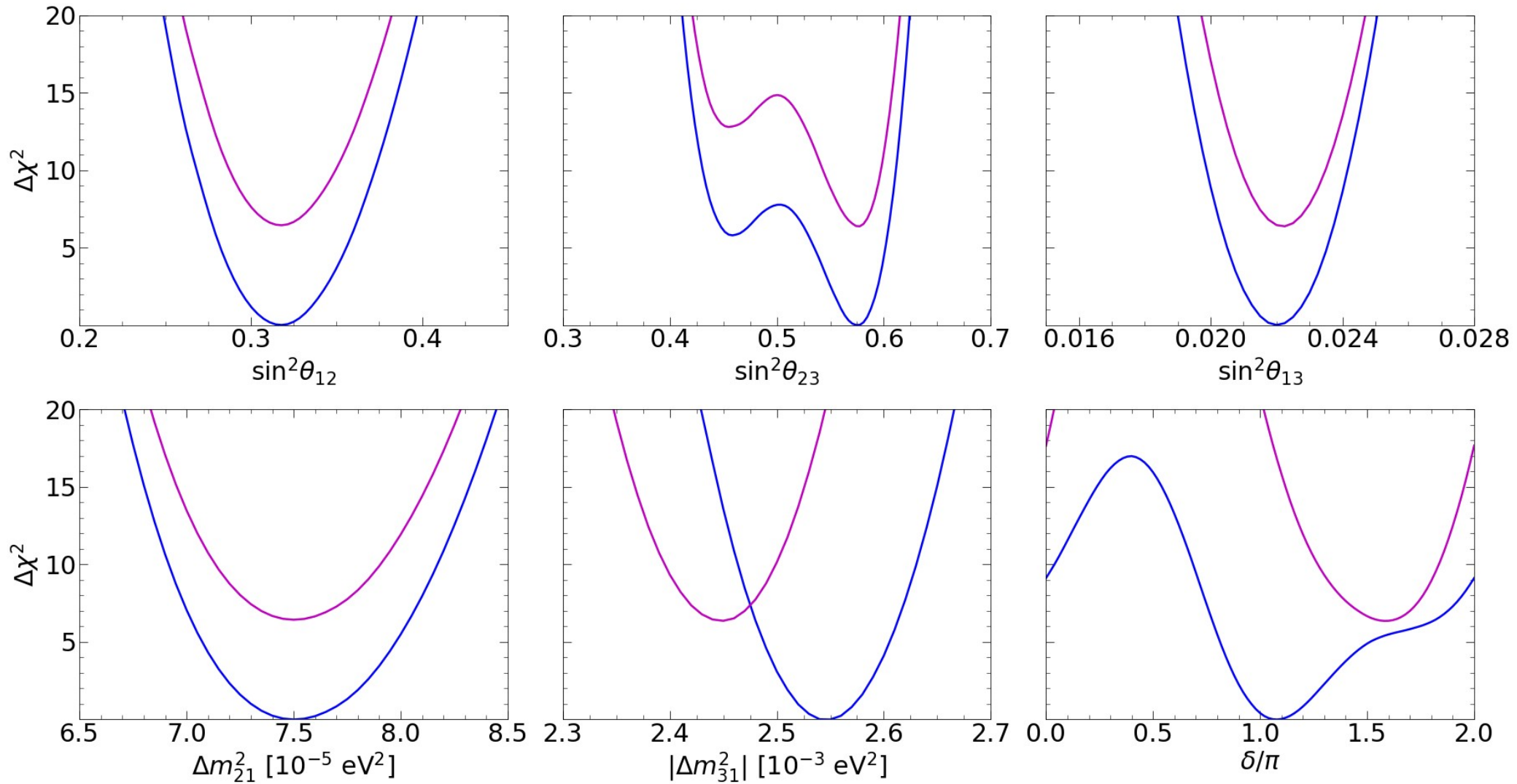


2.5 $\sigma$  preference, coming from different tensions

New data from Super-K has weaker preference

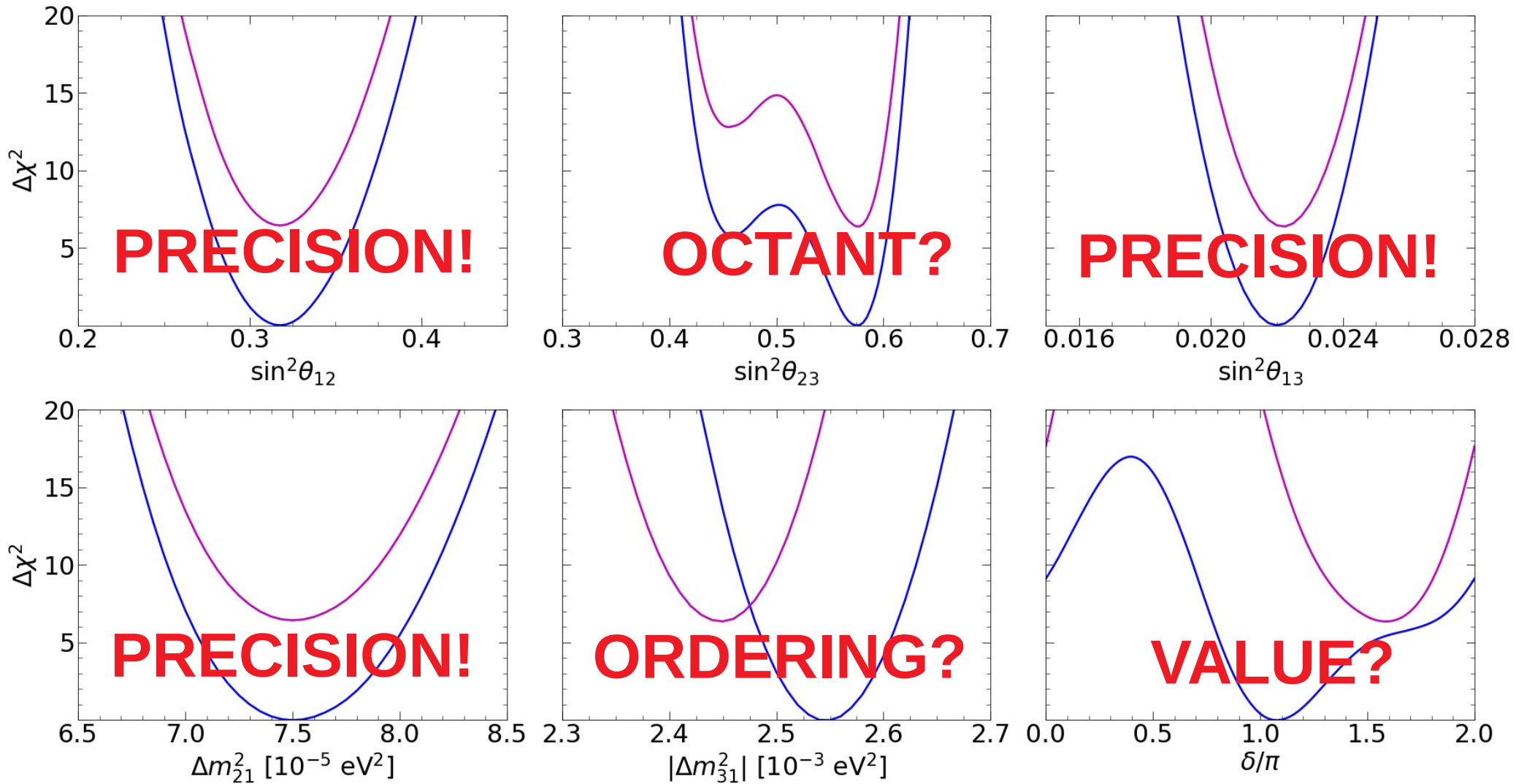
Valencia - Global Fit, 2006.11237, JHEP 2021

# Global fit



Valencia - Global Fit, 2006.11237, JHEP 2021

# Global fit



Valencia - Global Fit, 2006.11237, JHEP 2021

# Global fit

parameter	best fit $\pm 1\sigma$	$2\sigma$ range	$3\sigma$ range
$\Delta m_{21}^2 [10^{-5} \text{eV}^2]$	$7.50_{-0.20}^{+0.22}$ <b>2.7%</b>	7.12–7.93	6.94–8.14
$ \Delta m_{31}^2  [10^{-3} \text{eV}^2]$ (NO)	$2.55_{-0.03}^{+0.02}$ <b>1.2%</b>	2.49–2.60	2.47–2.63
$ \Delta m_{31}^2  [10^{-3} \text{eV}^2]$ (IO)	$2.45_{-0.03}^{+0.02}$	2.39–2.50	2.37–2.53
$\sin^2 \theta_{12} / 10^{-1}$	$3.18 \pm 0.16$ <b>5.0%</b>	2.86–3.52	2.71–3.69
$\sin^2 \theta_{23} / 10^{-1}$ (NO)	$5.74 \pm 0.14$	5.41–5.99	4.34–6.10
$\sin^2 \theta_{23} / 10^{-1}$ (IO)	$5.78_{-0.17}^{+0.10}$ <b>2.5%</b>	5.41–5.98	4.33–6.08
$\sin^2 \theta_{13} / 10^{-2}$ (NO)	$2.200_{-0.062}^{+0.069}$ <b>3.1%</b>	2.069–2.337	2.000–2.405
$\sin^2 \theta_{13} / 10^{-2}$ (IO)	$2.225_{-0.070}^{+0.064}$	2.086–2.356	2.018–2.424
$\delta / \pi$ (NO)	$1.08_{-0.12}^{+0.13}$ <b>12%</b>	0.84–1.42	0.71–1.99
$\delta / \pi$ (IO)	$1.58_{-0.16}^{+0.15}$	1.26–1.85	1.11–1.96

Valencia - Global Fit, 2006.11237, JHEP 2021

# Conclusions, part 1

Some of the neutrino oscillation parameters are well measured

Open issues are CP violation, atmospheric octant and neutrino mass ordering

Due to the updated data there is an overall lower sensitivity to the mass ordering, further worsened by the T2K/NOvA tension

The same tension worsens the determination of the CP phase with respect to 2018

However, these parameters will be measured very well at future facilities (the only problematic one might be the octant)

## BUT....

All results so far have been derived under the assumption of standard neutrino oscillations

If new physics is present in the neutrino sector, the oscillation picture might be altered

New degeneracies might spoil several of the measurements

- More than three neutrinos: light or heavy steriles, large extra dimensions

- New interactions: new interactions in production/detection, new matter effects (NSI)

- Decoherence effects due to different things

- etc, etc, etc

# CPT violation

## Experimental Test of Parity Conservation in Beta Decay\*

C. S. WU, *Columbia University, New York, New York*

AND

E. AMBLER, R. W. HAYWARD, D. D. HOPPES, AND R. P. HUDSON,  
*National Bureau of Standards, Washington, D. C.*

(Received January 15, 1957)

Discovery of P violation  
in weak interactions

## EVIDENCE FOR THE $2\pi$ DECAY OF THE $K_2^0$ MESON\*†

J. H. Christenson, J. W. Cronin,† V. L. Fitch,† and R. Turlay§

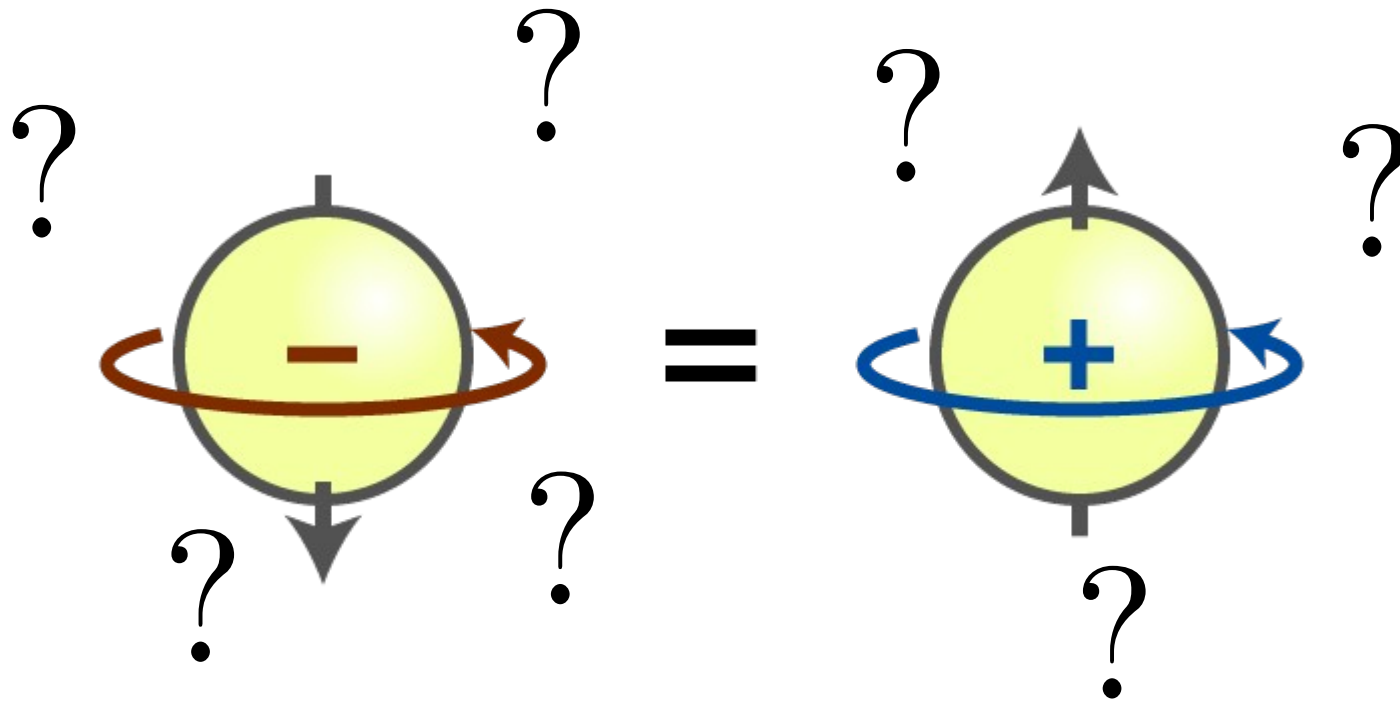
*Princeton University, Princeton, New Jersey*

(Received 10 July 1964)

Discovery for CP  
violation in kaon decays

Is CPT violated as well?

# CPT violation





# CPT violation

## CPT from EFT:

Kostelecky and collaborators, many papers, in particular:  
Kostelecky, Mewes, PRD 2004, hep-ph/0309025

## CPT from non-locality:

Barenboim, Lykken, PLB 2002, hep-ph/0201080

## CPT from decoherence

Papers by Mavromatos et al, Gago et al, Capolupo et al

## CPT violation

If CPT is not conserved:  $P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha)$

We reanalyze the data from the global fit without the assumption of CPT conservation

neutrinos oscillate with  $\Delta m_{21}^2, \Delta m_{31}^2, \theta_{12}, \theta_{13}, \theta_{23}, \delta$

and antineutrinos with  $\Delta \bar{m}_{21}^2, \Delta \bar{m}_{31}^2, \bar{\theta}_{12}, \bar{\theta}_{13}, \bar{\theta}_{23}, \bar{\delta}$

Most important data sets are T2K, NOvA, reactors and solar experiments

To prove the CPT-theorem of QFT one needs:

Hermiticity of the Hamiltonian

Locality

Lorentz invariance

If CPT is violated, one of the three ingredients above must be violated, resulting in a gigantic impact on fundamental physics

# CPT violation

Assume that neutrinos oscillate with parameters

$$\Delta m_{21}^2, \Delta m_{31}^2, \theta_{12}, \theta_{13}, \theta_{23}, \delta$$

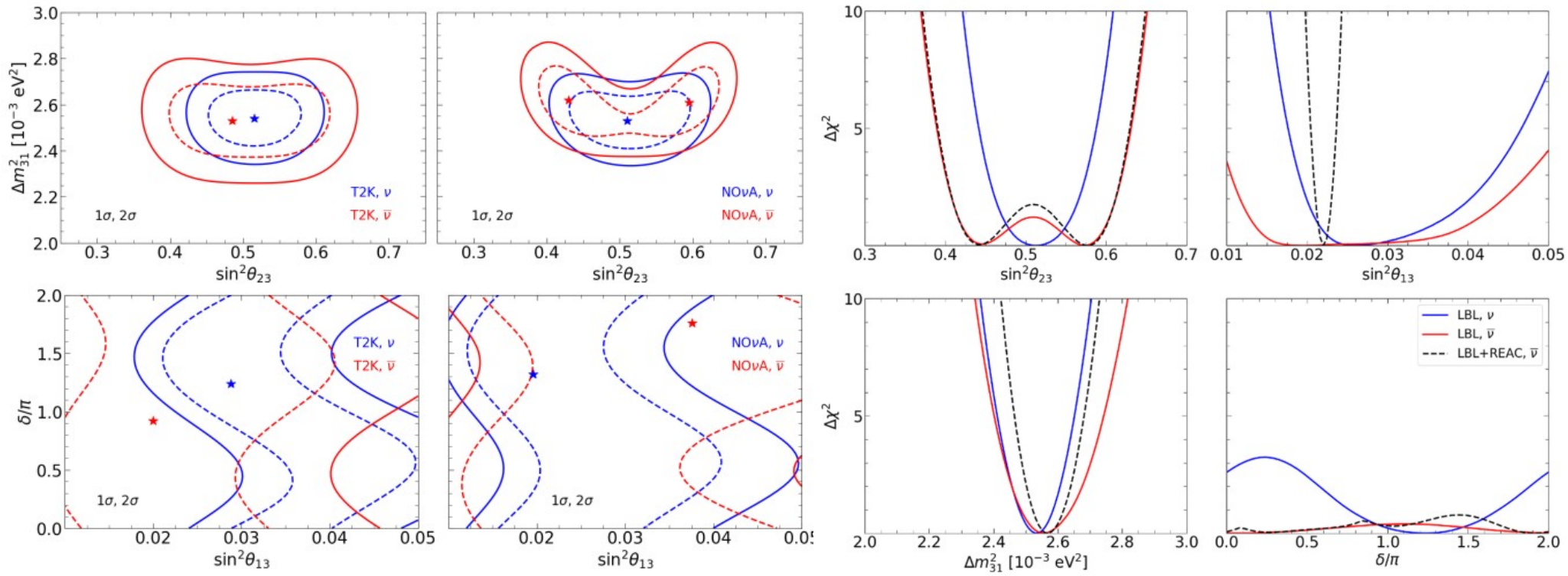
while the antineutrinos oscillate with a new set of parameters

$$\Delta \bar{m}_{21}^2, \Delta \bar{m}_{31}^2, \bar{\theta}_{12}, \bar{\theta}_{13}, \bar{\theta}_{23}, \bar{\delta}$$

Different parameters for neutrinos and antineutrino would indicate a violation of CPT symmetry

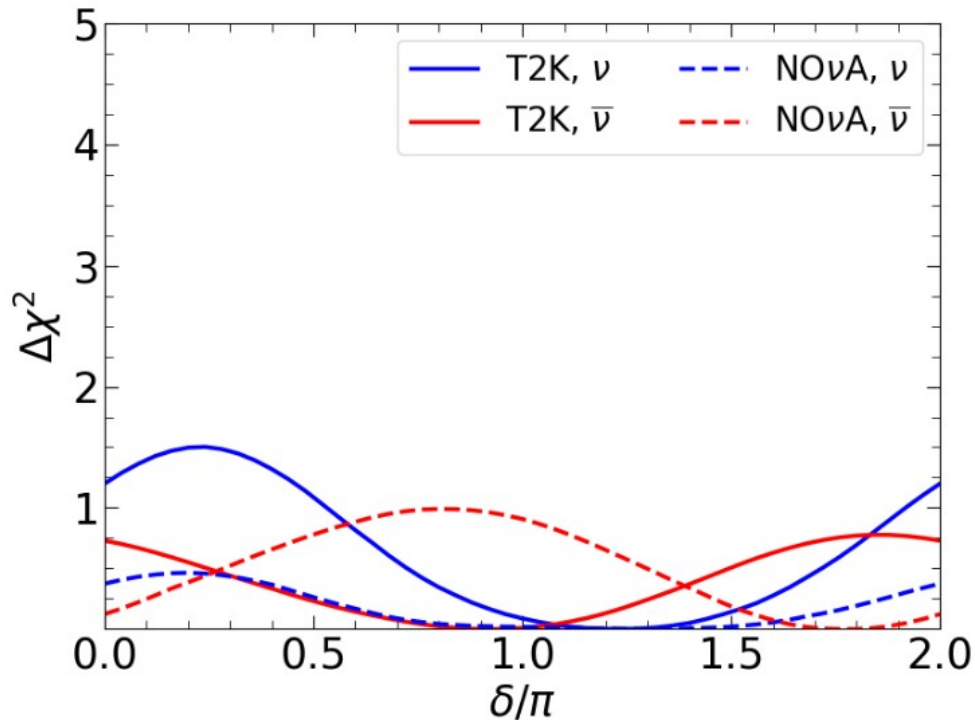
# Current experiments

# Current experiments



Barenboim, Ternes, Tórtola, 2005.05975, JHEP 2020

# Current experiments

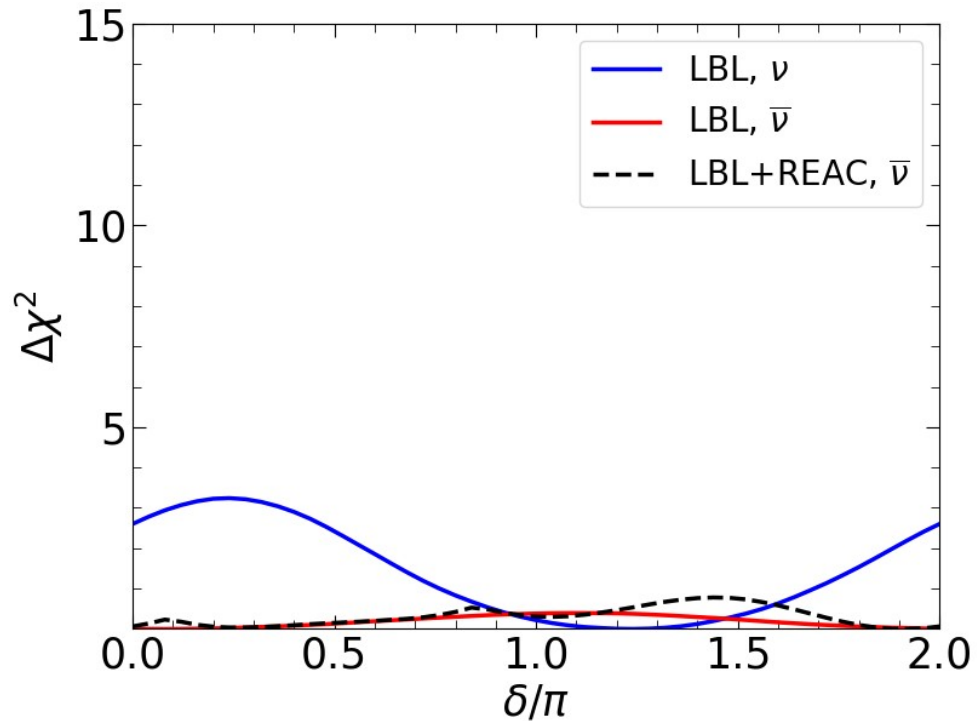


If CPT is not conserved a measurement of CP violation is currently not possible

The different event spectra could be explained with different reactor mixing angles

Barenboim, Ternes, Tórtola, 2005.05975, JHEP 2020

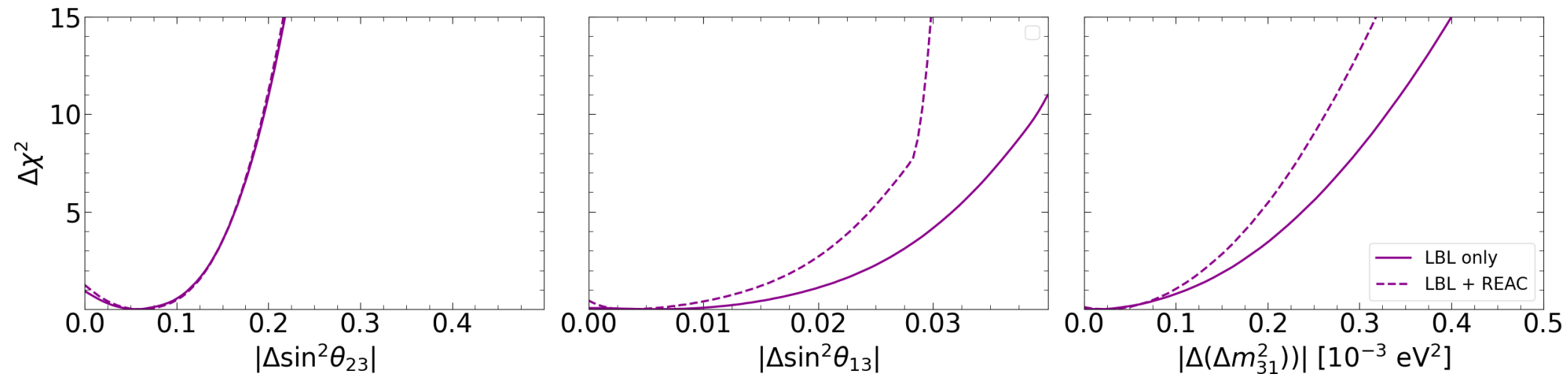
# Current experiments



Combining  
accelerator and  
reactor data does not  
improve the situation

Barenboim, Ternes, Tórtola, 2005.05975, JHEP 2020

# Current experiments



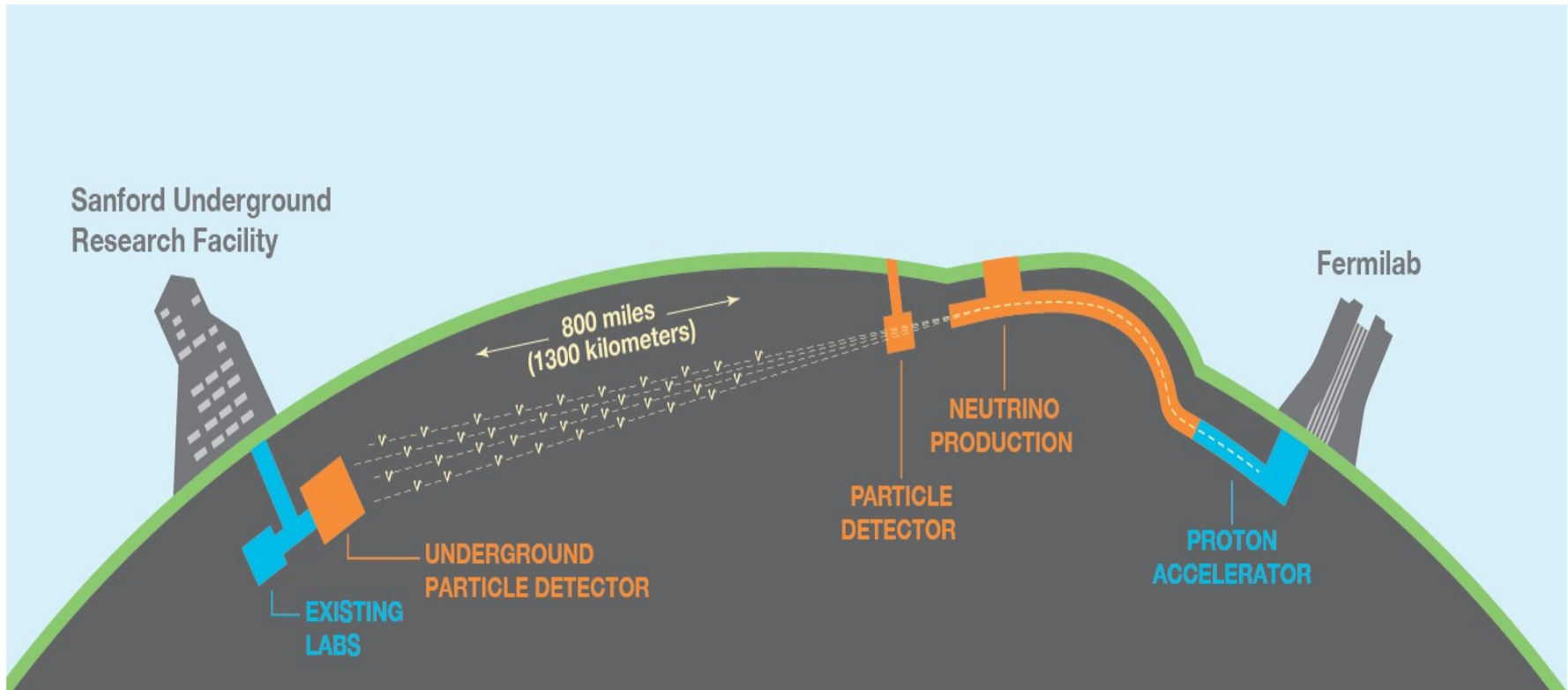
The same data allows us to bound CPT-violating neutrino oscillation parameters

$$\begin{aligned} |\Delta m_{21}^2 - \Delta \bar{m}_{21}^2| &< 4.7 \times 10^{-5} \text{ eV}^2, \\ |\Delta m_{31}^2 - \Delta \bar{m}_{31}^2| &< 2.5 \times 10^{-4} \text{ eV}^2, \\ |\sin^2 \theta_{12} - \sin^2 \bar{\theta}_{12}| &< 0.14, \\ |\sin^2 \theta_{13} - \sin^2 \bar{\theta}_{13}| &< 0.029, \\ |\sin^2 \theta_{23} - \sin^2 \bar{\theta}_{23}| &< 0.19. \end{aligned}$$

Barenboim, Ternes, Tórtola, 2005.05975, JHEP 2020



# Future perspectives at DUNE



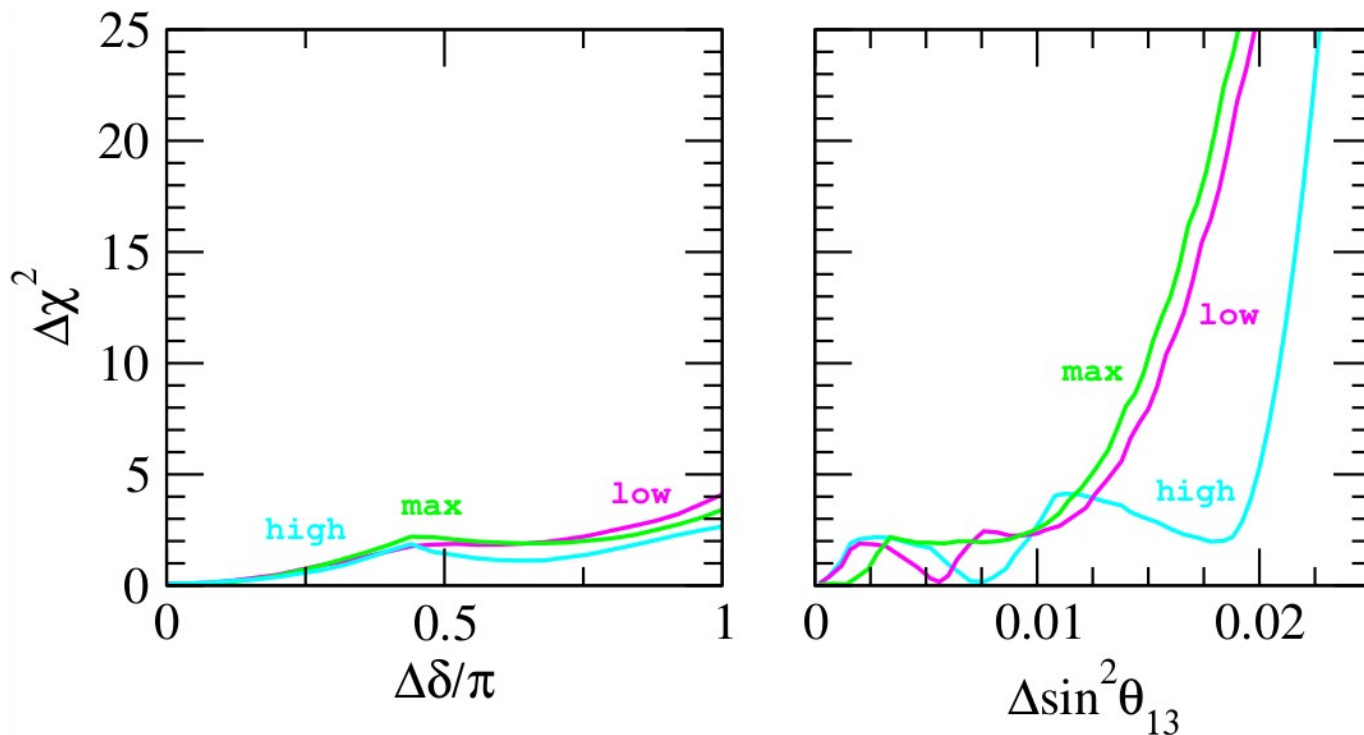
# Future perspectives at DUNE

How will this improve with DUNE?

Poor sensitivity to CP phases and reactor angles

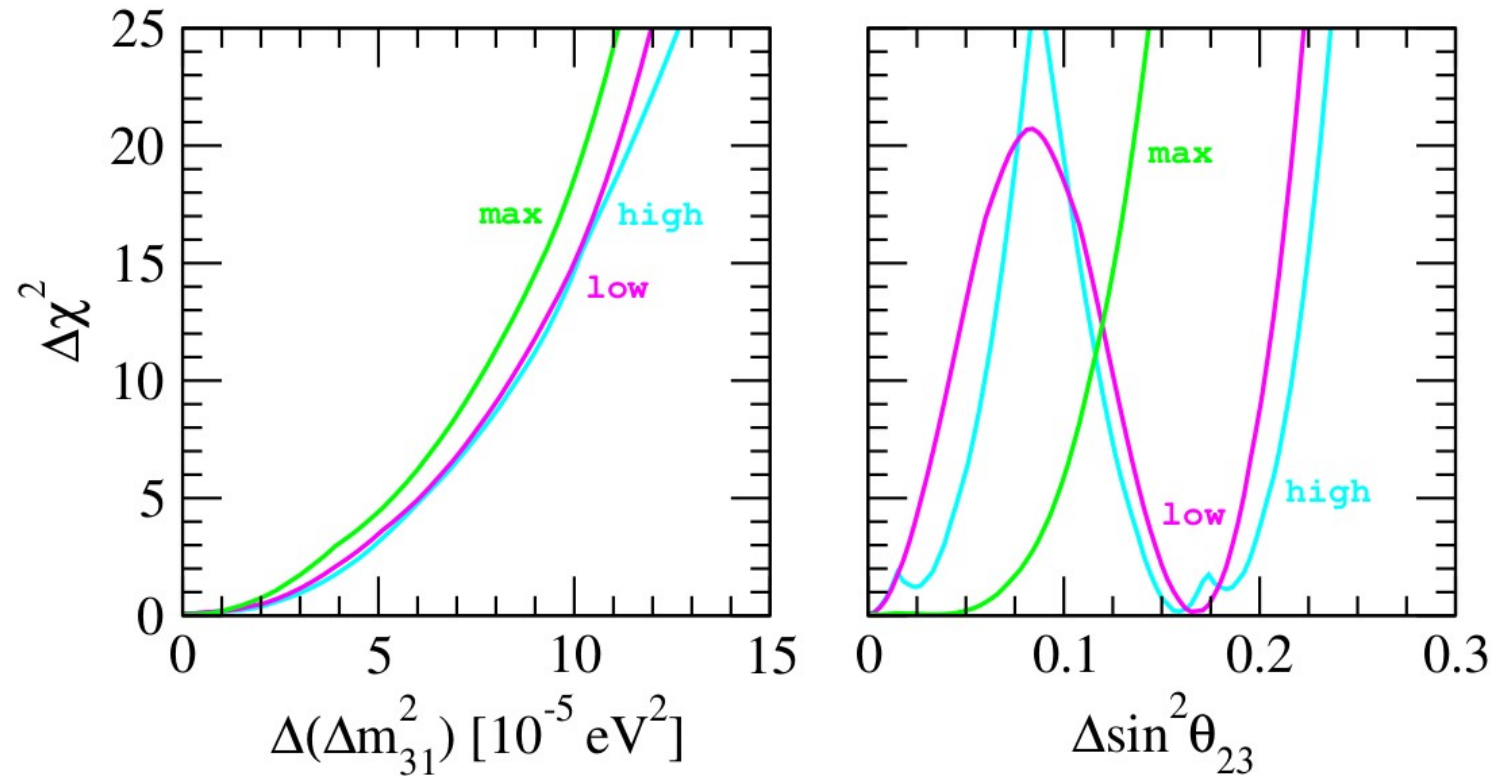
Barenboim, Ternes, Tórtola, 1712.01714, PLB 2018

Valencia - 2017 Global Fit,  
1708.01186, PLB 2018



parameter	value
$\Delta m_{21}^2$	$7.56 \times 10^{-5} \text{eV}^2$
$\Delta m_{31}^2$	$2.55 \times 10^{-3} \text{eV}^2$
$\sin^2 \theta_{12}$	0.321
$\sin^2 \theta_{23}$	0.43, 0.50, 0.60
$\sin^2 \theta_{13}$	0.02155
$\delta$	$1.50\pi$

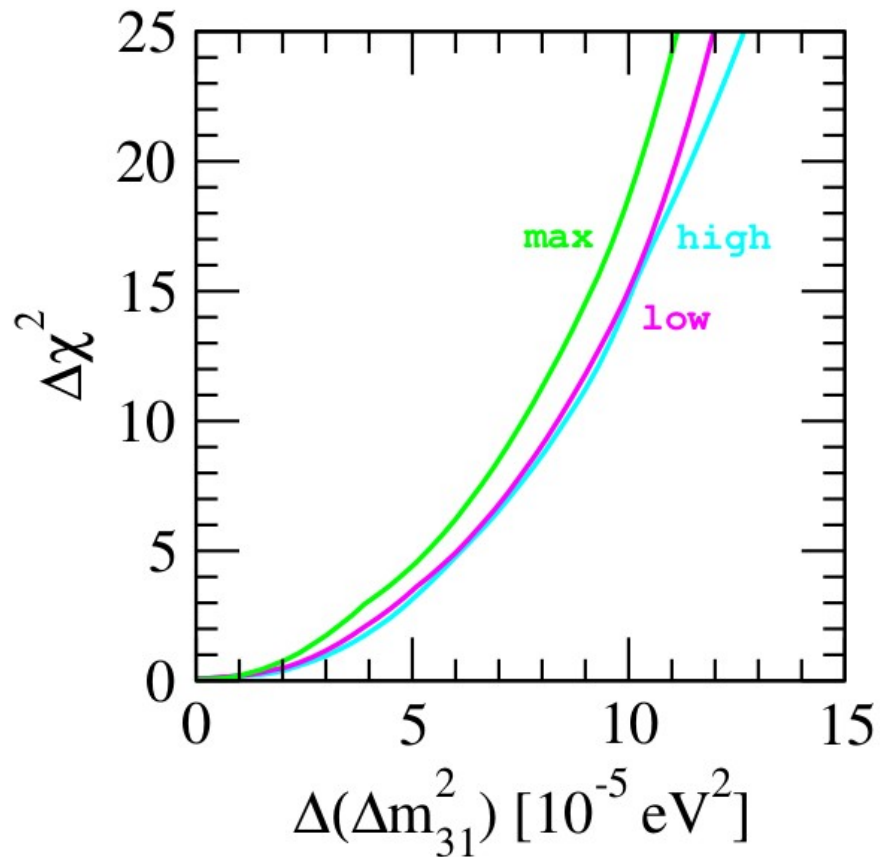
# Future perspectives at DUNE



Good improvement for the atmospheric mass splitting and mixing angle

Barenboim, Ternes, Tórtola, 1712.01714, PLB 2018

# Future perspectives at DUNE

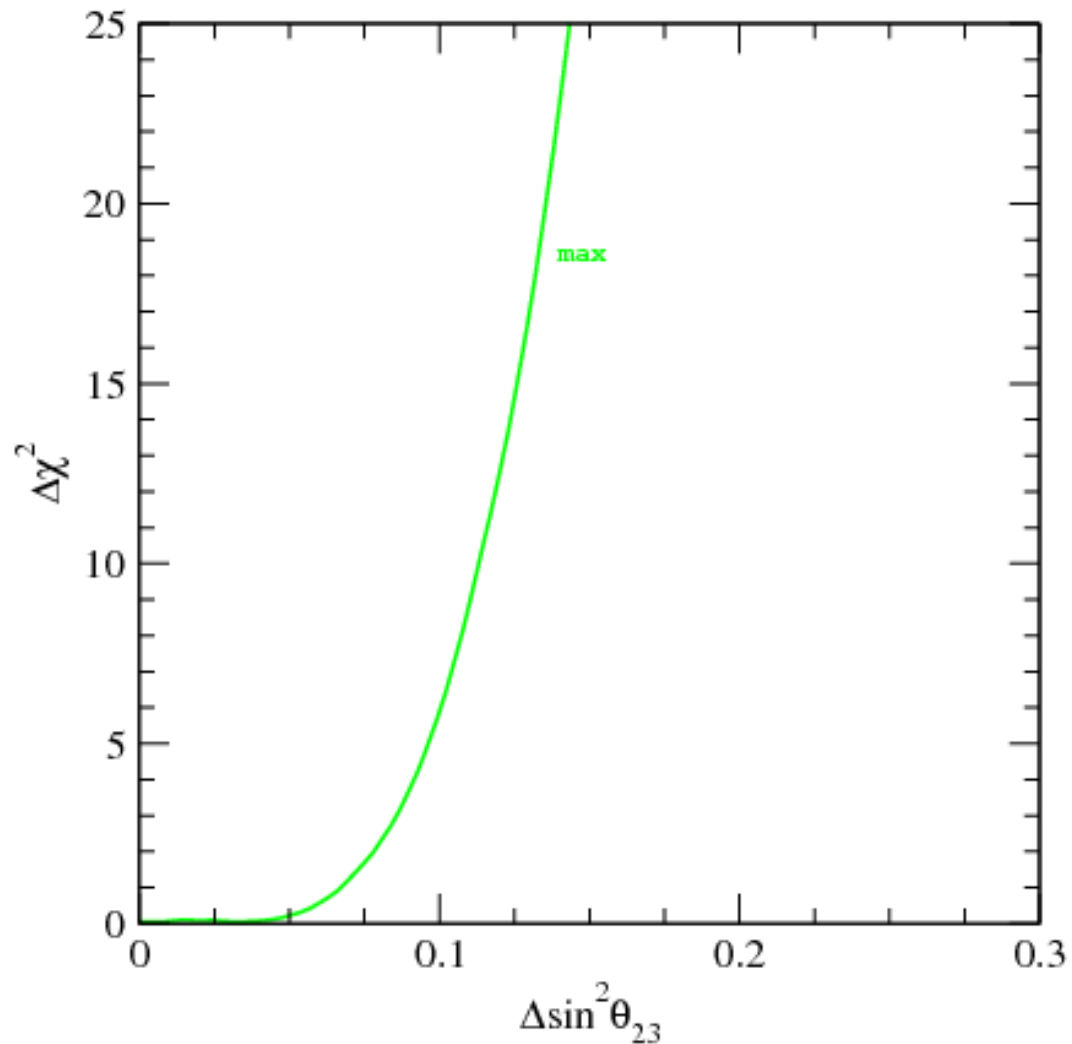


We could obtain

$$\Delta(\Delta m_{31}^2) < 8.1 \times 10^{-5} \text{ eV}^2$$

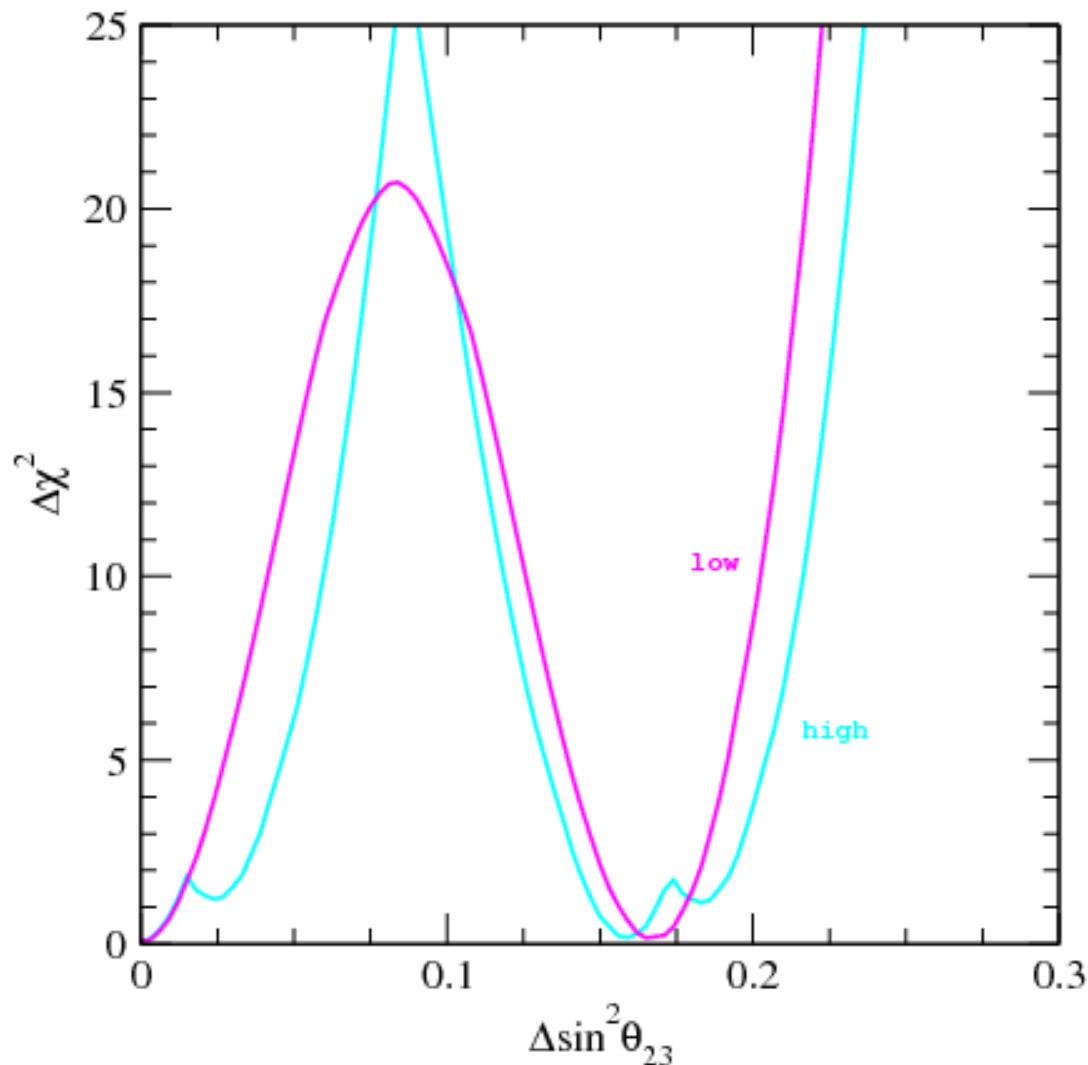
at  $3\sigma$  C.L.

# Future perspectives at DUNE



For the atmospheric angle we obtain increasing sensitivity for maximal mixing

# Future perspectives at DUNE



For the atmospheric angle we obtain increasing sensitivity for maximal mixing

For the other values instead it increases and then decreases again

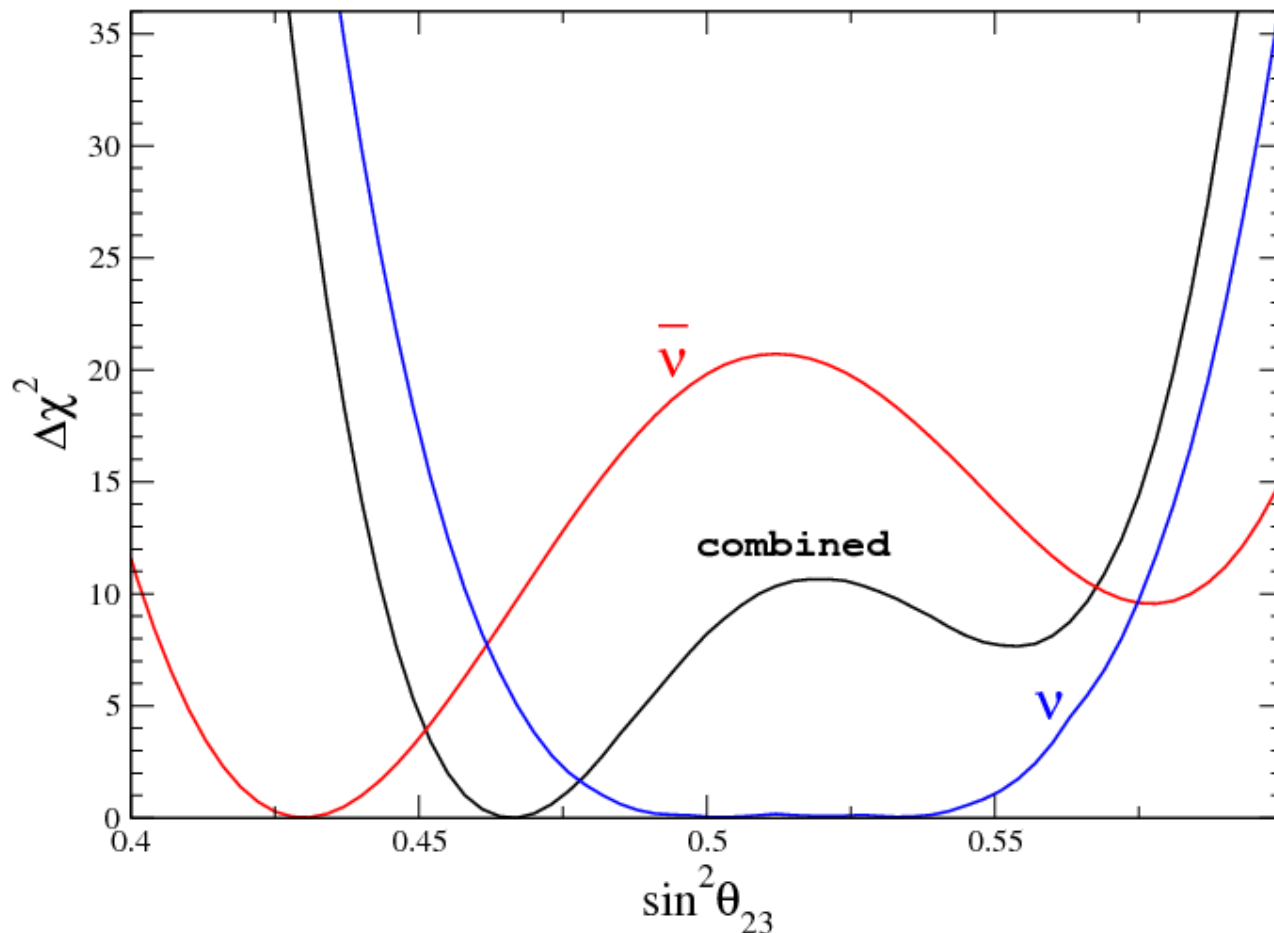
This is due to degenerate solutions

# Obtaining impostor solutions



# Obtaining impostor solutions

Assume  $\sin^2 \theta_{23} = 0.5$ ,  $\sin^2 \bar{\theta}_{23} = 0.43$



The combined best fit value is now

$$\sin^2 \theta_{23}^{\text{comb}} = 0.467$$

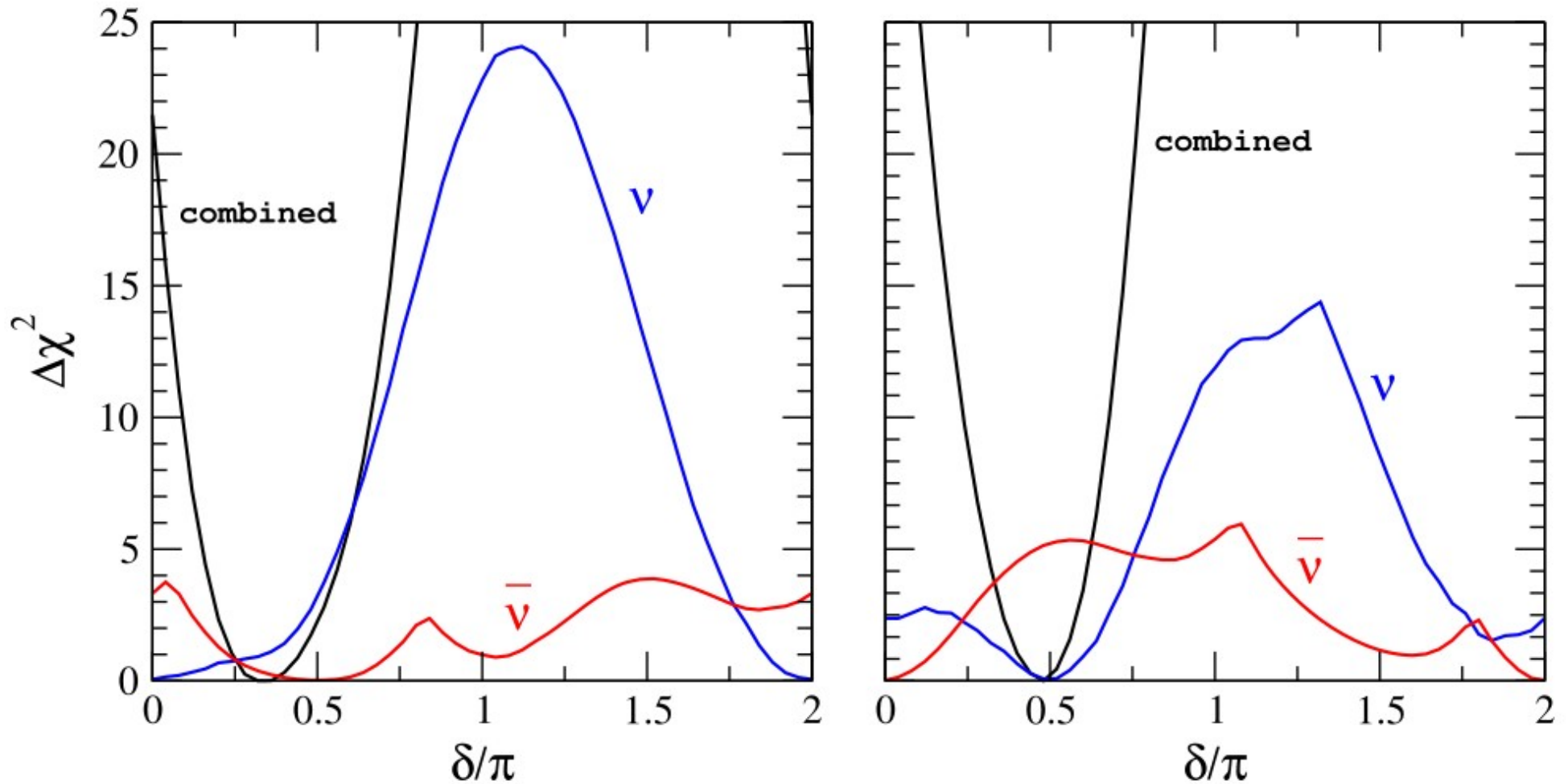
The real true values are disfavored at close to  $3\sigma$  and more than  $5\sigma$  confidence levels

Barenboim, Ternes, Tórtola, 1712.01714, PLB 2018



# Obtaining impostor solutions

This can also happen for other parameters

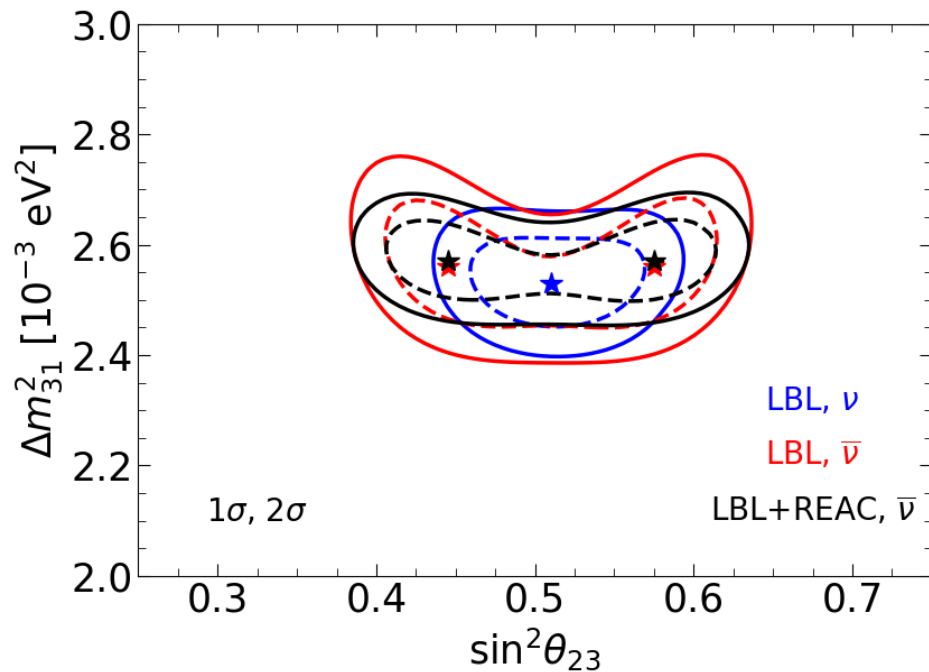


Barenboim, Ternes, Tórtola, 1712.01714, PLB 2018

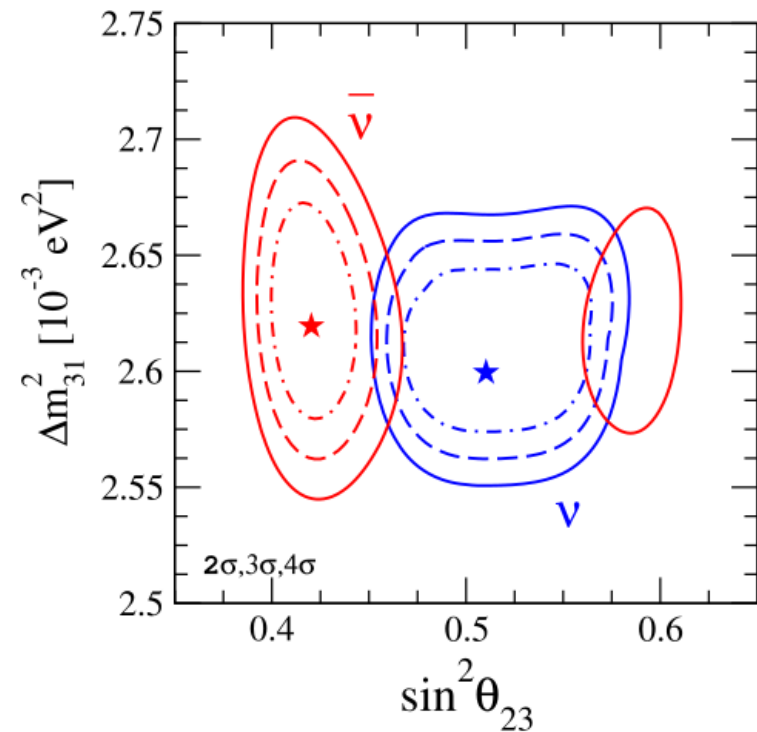
# Observing CPT violation

# Observing CPT violation

What if the values obtained in T2K and NOvA turn out to be true?

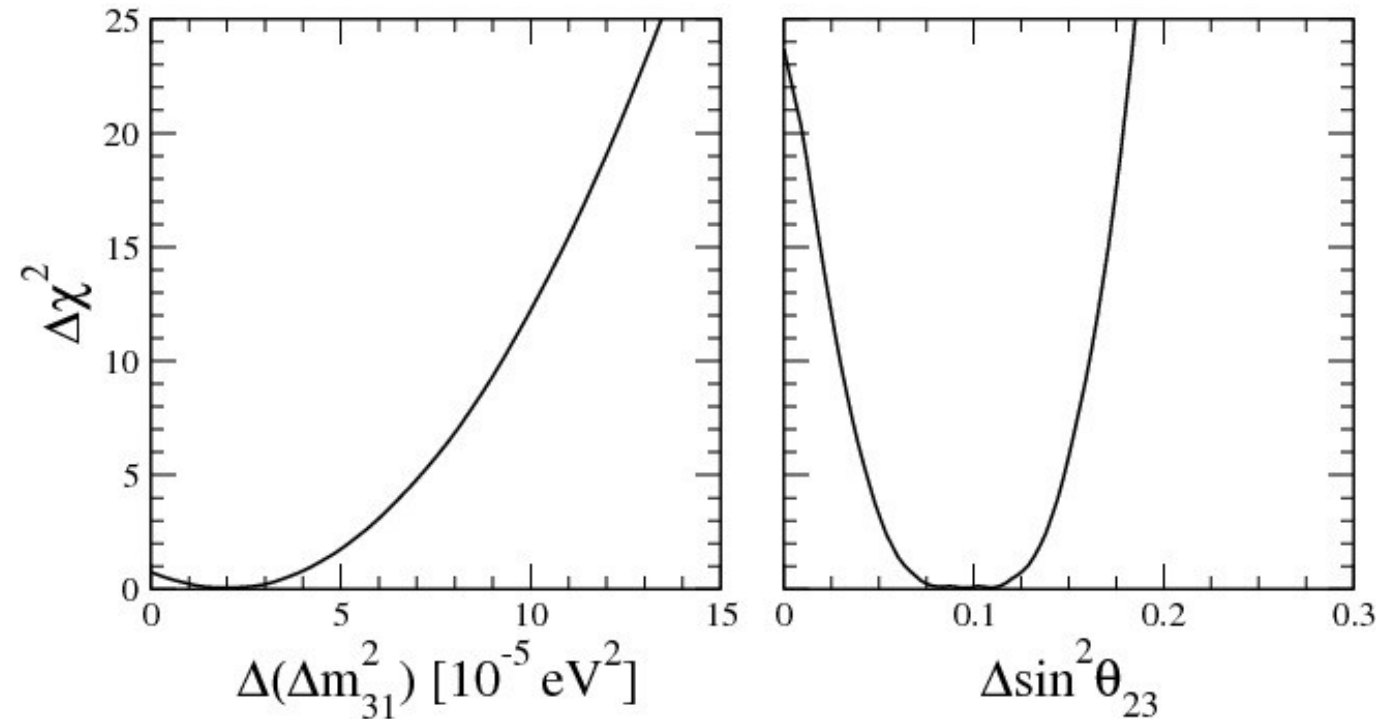


Barenboim, Ternes, Tórtola,  
2005.05975, JHEP 2020



Barenboim, Ternes, Tórtola  
1712.01714, PLB 2018

# Observing CPT violation



If the different different best fit values obtained for neutrino and antineutrino oscillations turned out to be true, DUNE could observe CPT violation at very high significance

Barenboim, Ternes, Tórtola, 1712.01714, PLB 2018

# Observing CPT violation

CPT violation effects can be mimicked by nonstandard interactions

$$\mathcal{H}_F = \frac{1}{2E} \left\{ U \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix} U^\dagger + A_{CC} \begin{pmatrix} \epsilon_{\mu\mu}^m & \epsilon_{\mu\tau}^m \\ \epsilon_{\mu\tau}^{m*} & \epsilon_{\tau\tau}^m \end{pmatrix} \right\}$$

Oscillation probability

$$P_{\mu\mu} = 1 - \sin^2 2\tilde{\theta} \sin^2 \left( \frac{\Delta\tilde{m}^2}{4E} \right)$$

Matter parameters given by

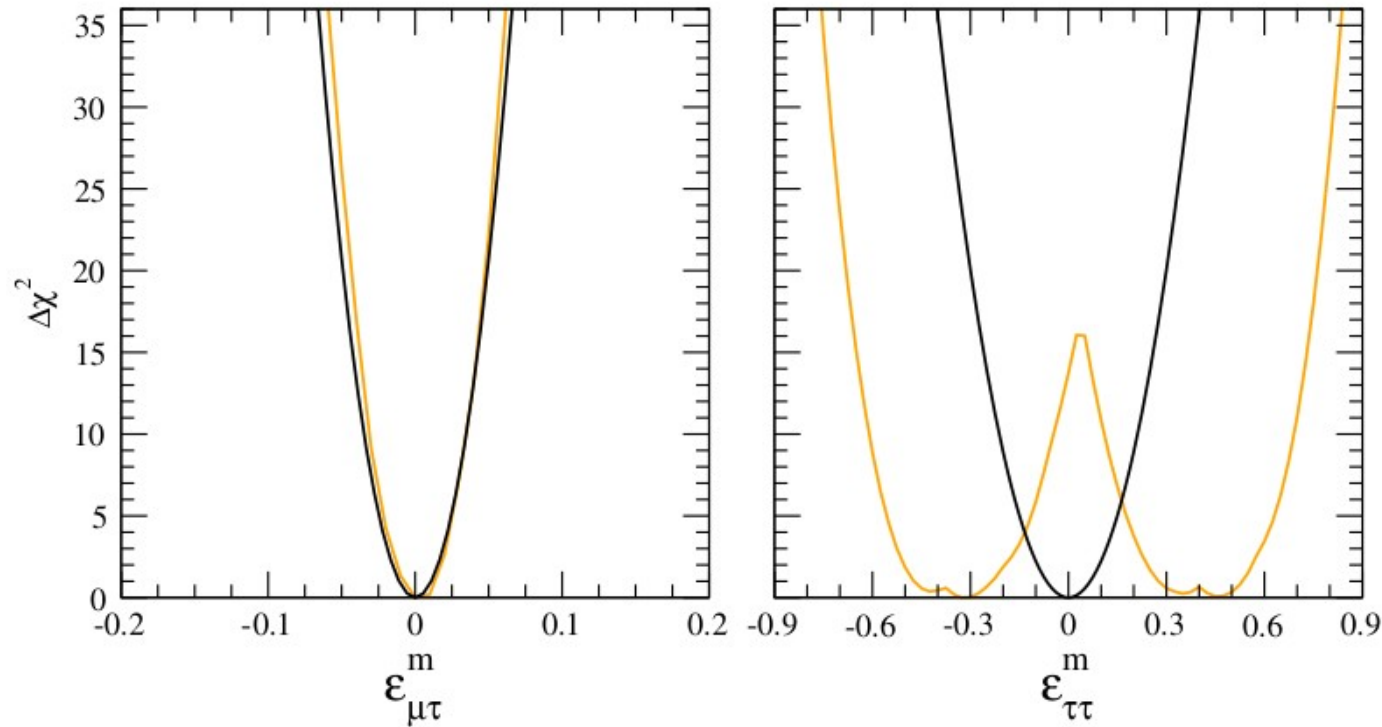
$$\Delta m_{\nu}^2 \cos 2\theta_{\nu} = \Delta m^2 \cos 2\theta + \epsilon_{\tau\tau}^m A$$

$$\Delta m_{\nu}^2 \sin 2\theta_{\nu} = \Delta m^2 \sin 2\theta + 2\epsilon_{\mu\tau}^m A$$

$$\Delta m_{\bar{\nu}}^2 \cos 2\theta_{\bar{\nu}} = \Delta m^2 \cos 2\theta - \epsilon_{\tau\tau}^m A$$

$$\Delta m_{\bar{\nu}}^2 \sin 2\theta_{\bar{\nu}} = \Delta m^2 \sin 2\theta - 2\epsilon_{\mu\tau}^m A$$

# Observing CPT violation



Need to be careful to disentangle CPT violation and neutrino non standard interactions, since they can mimic CPT effects

Barenboim, Ternes, Tórtola, 1804.05842, EPJC 2019

## Conclusions, part 2

Determination of neutrino oscillation parameters worsens when CPT is not conserved

DUNE will improve the current bounds on CPT violation in the neutrino sector

Impostor solutions can arise in the determination of oscillation parameters when combining channels

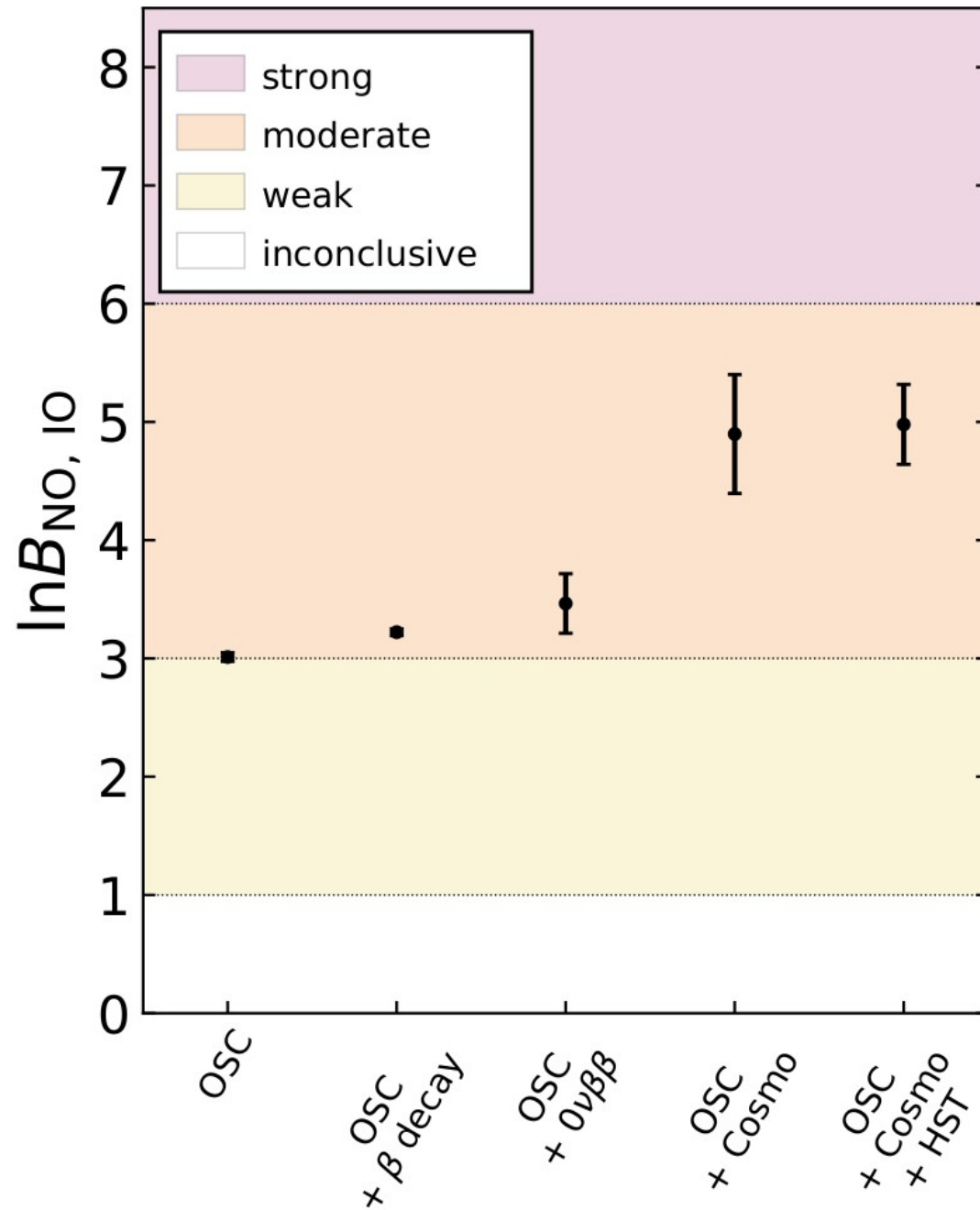
DUNE might distinguish CPT violation from NSI

**Thanks!**

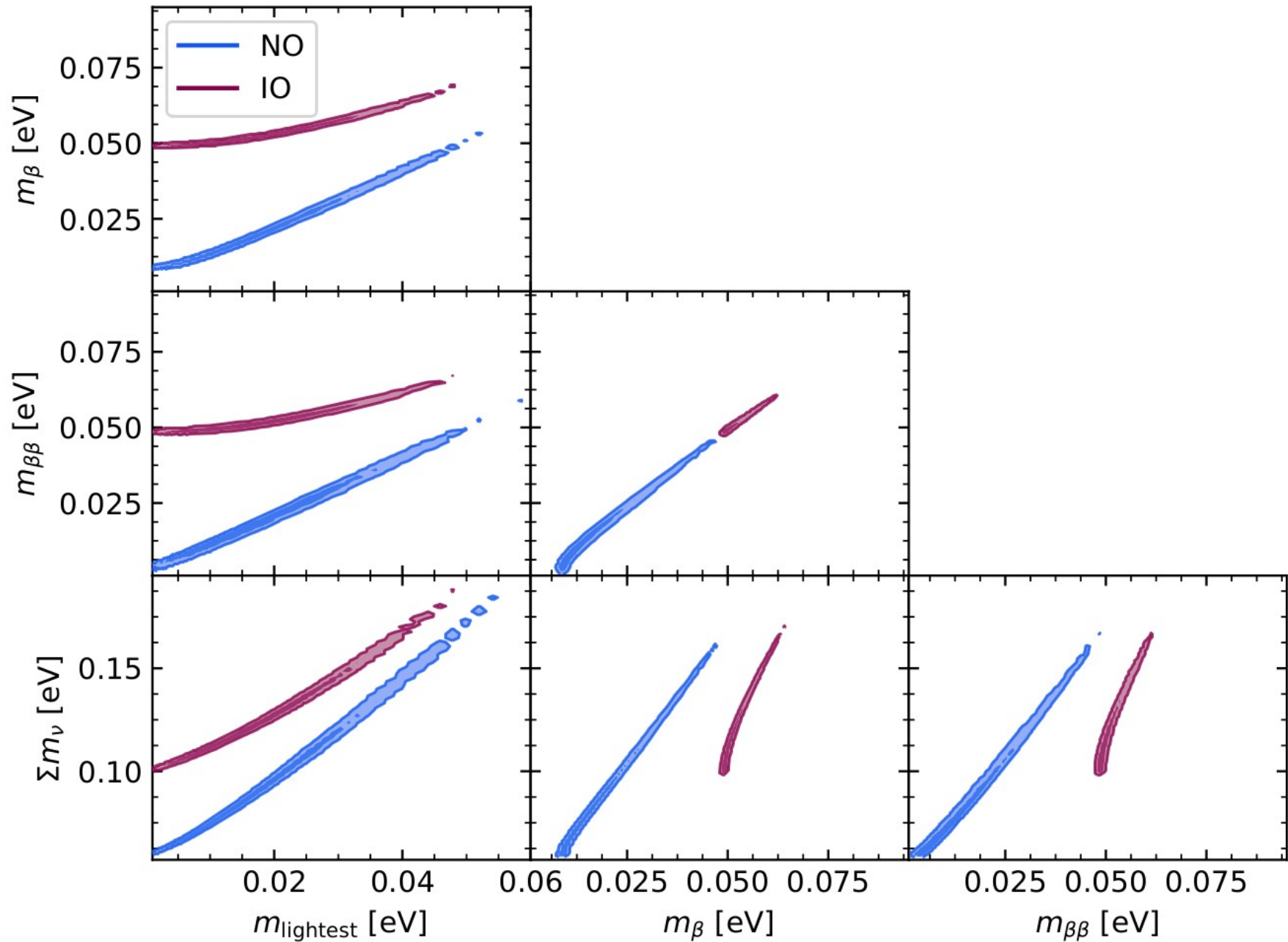




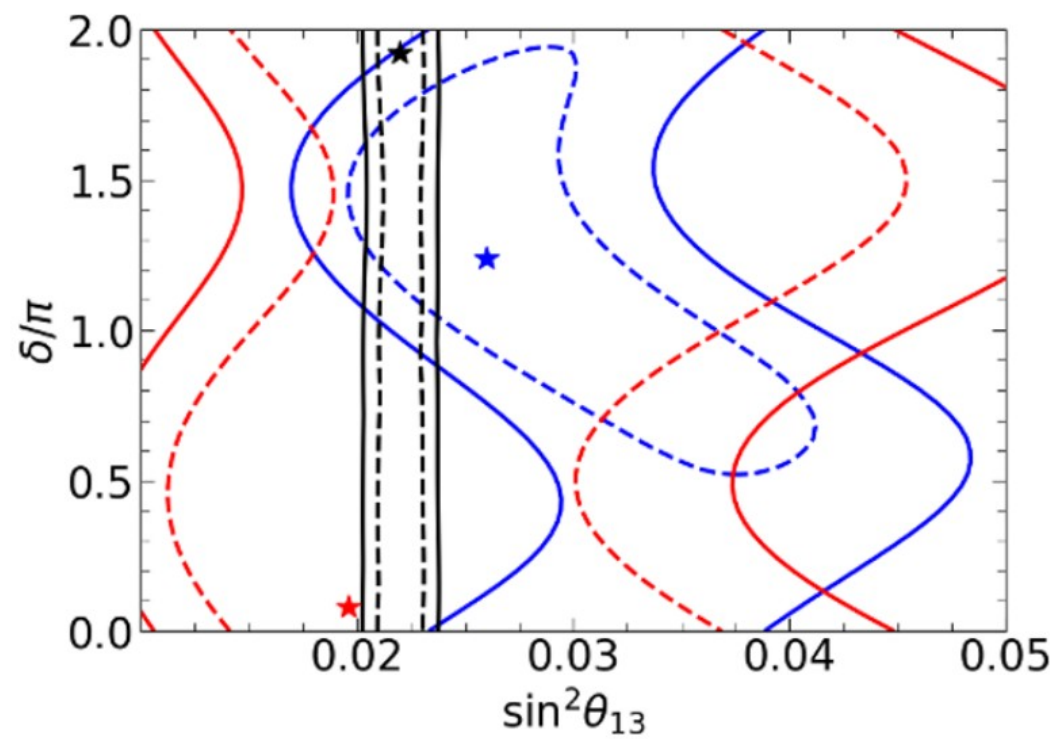
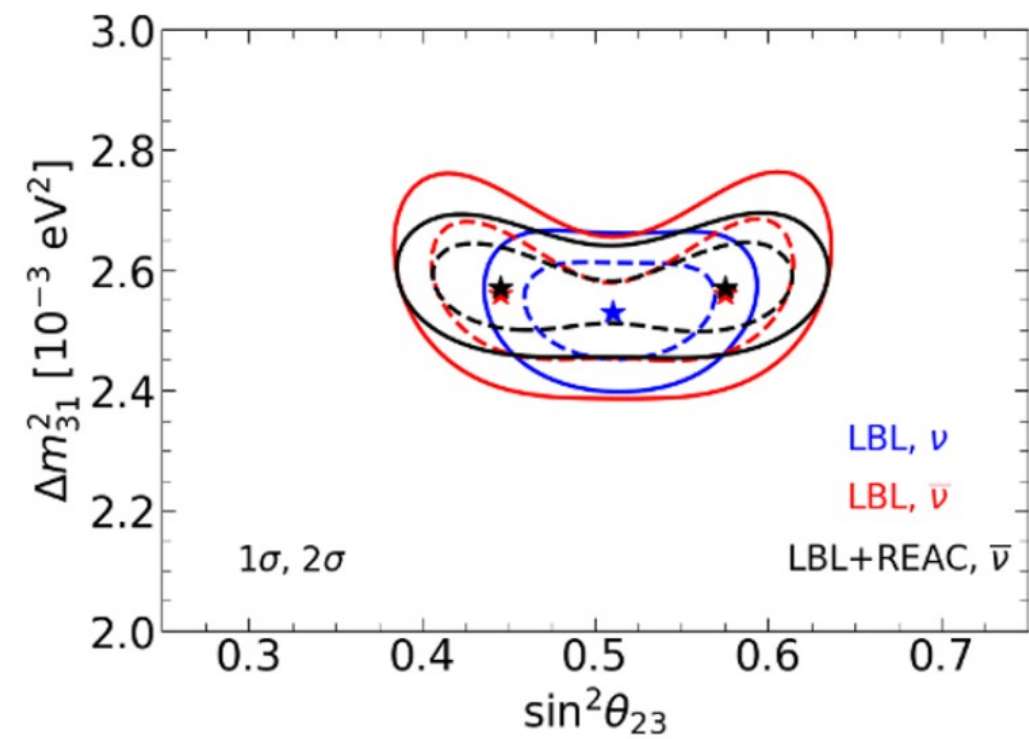
# Oscillation + Cosmology



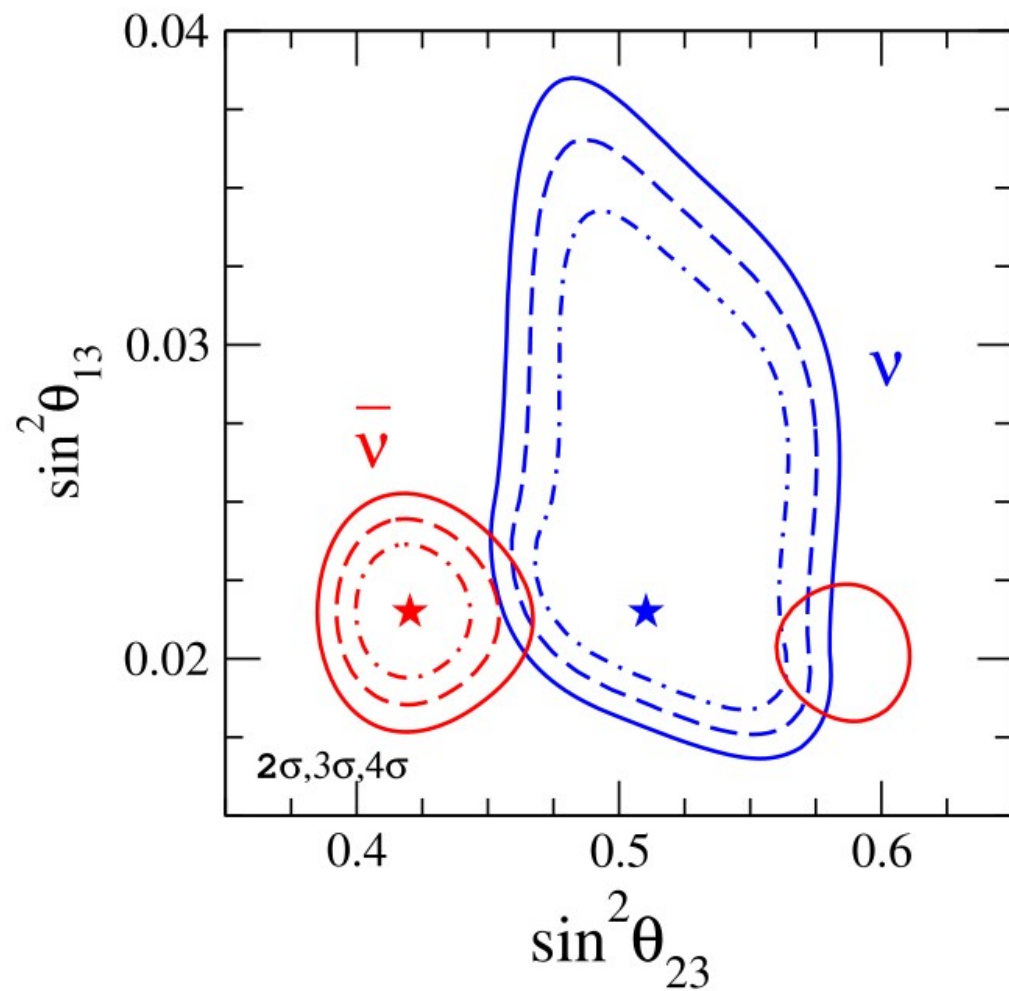
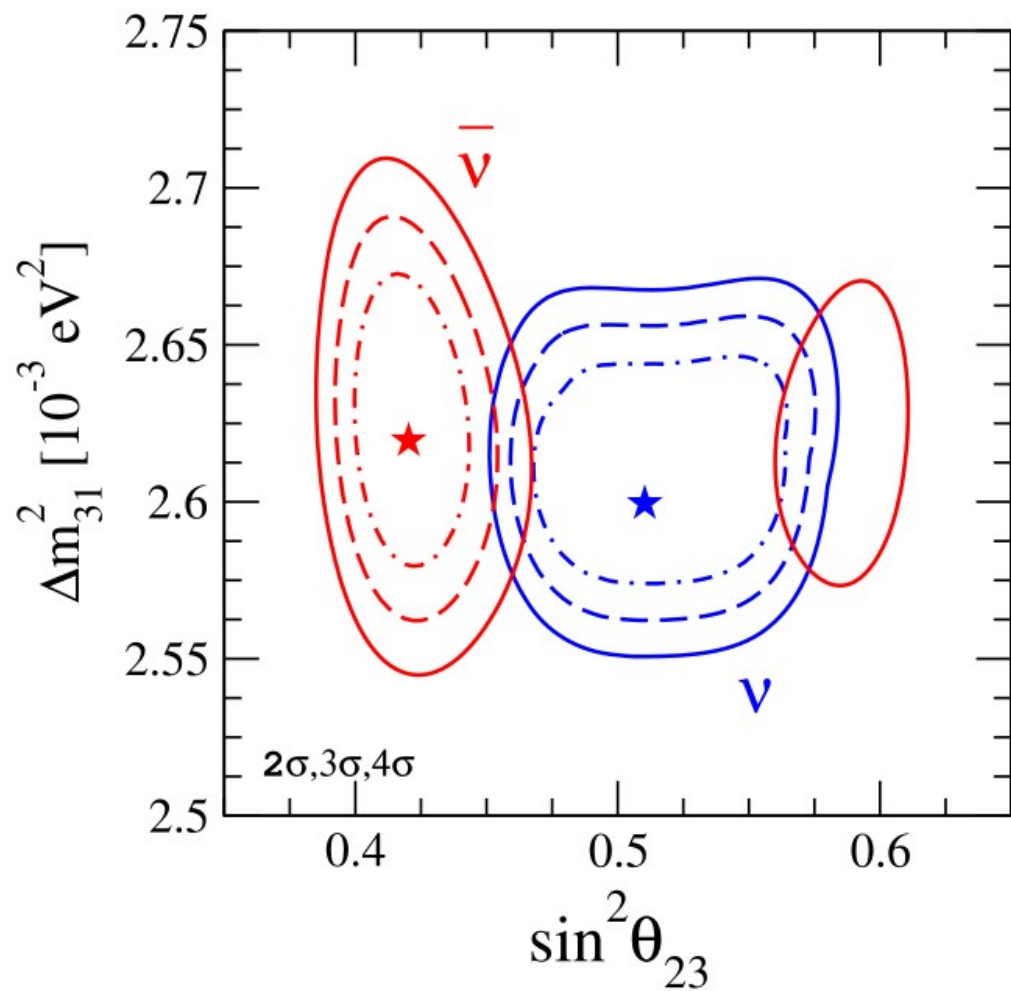
# Oscillation + Cosmology



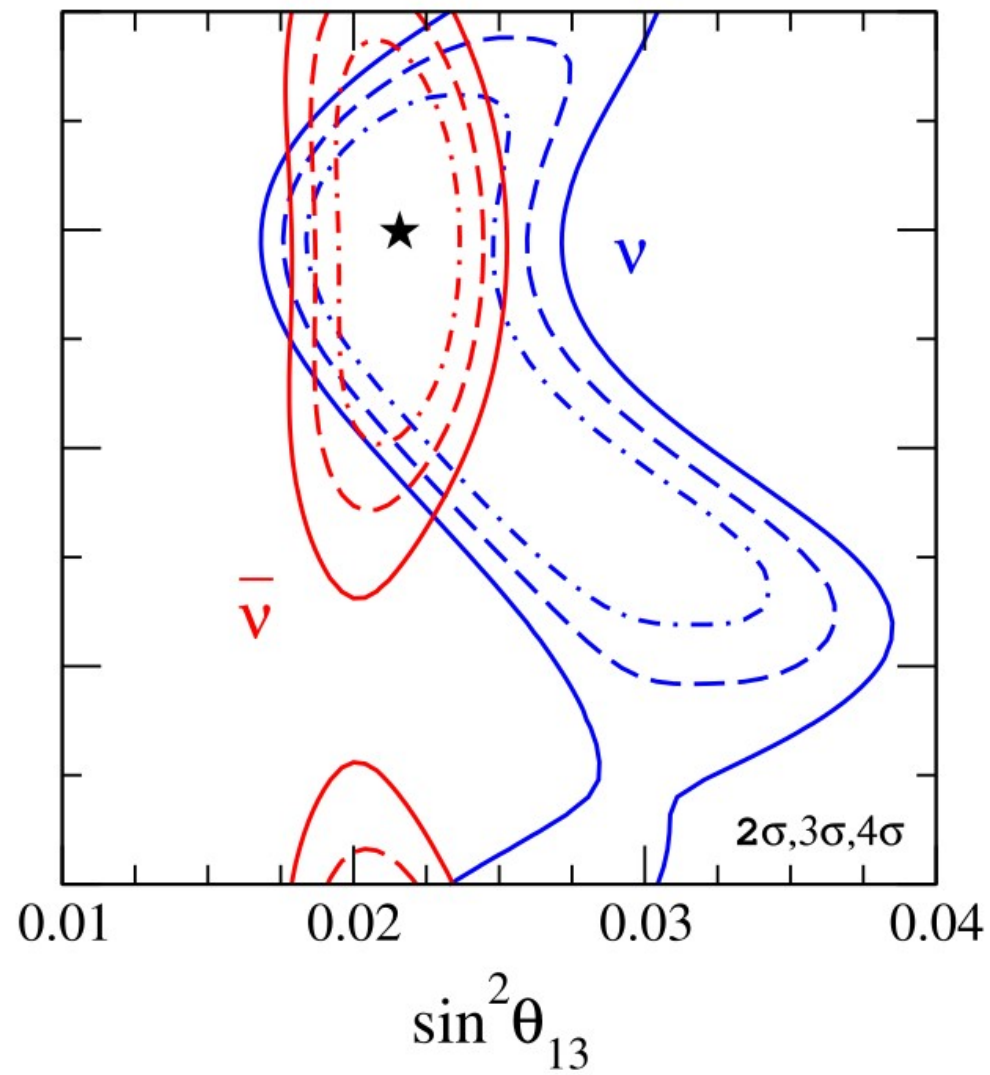
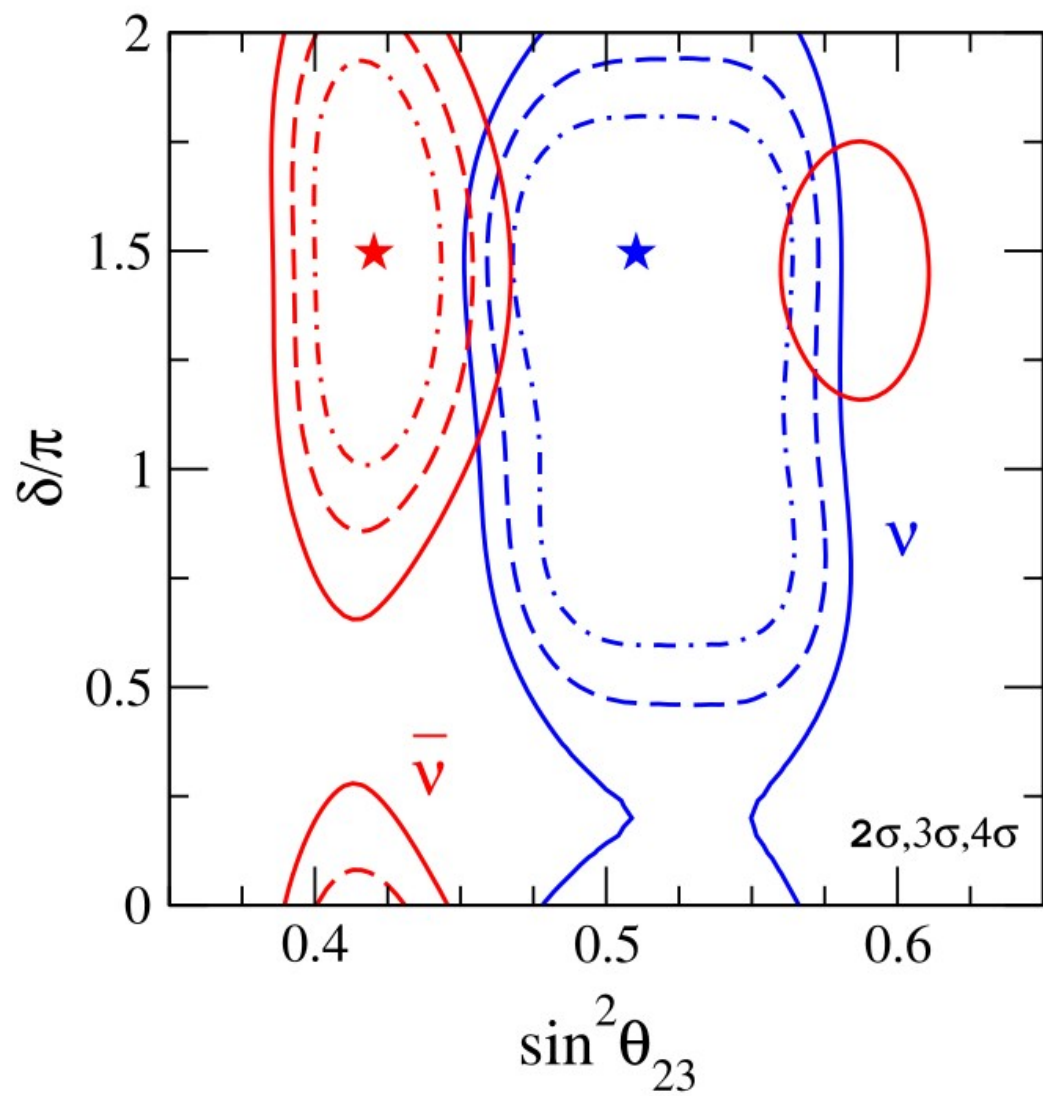
# CPT current



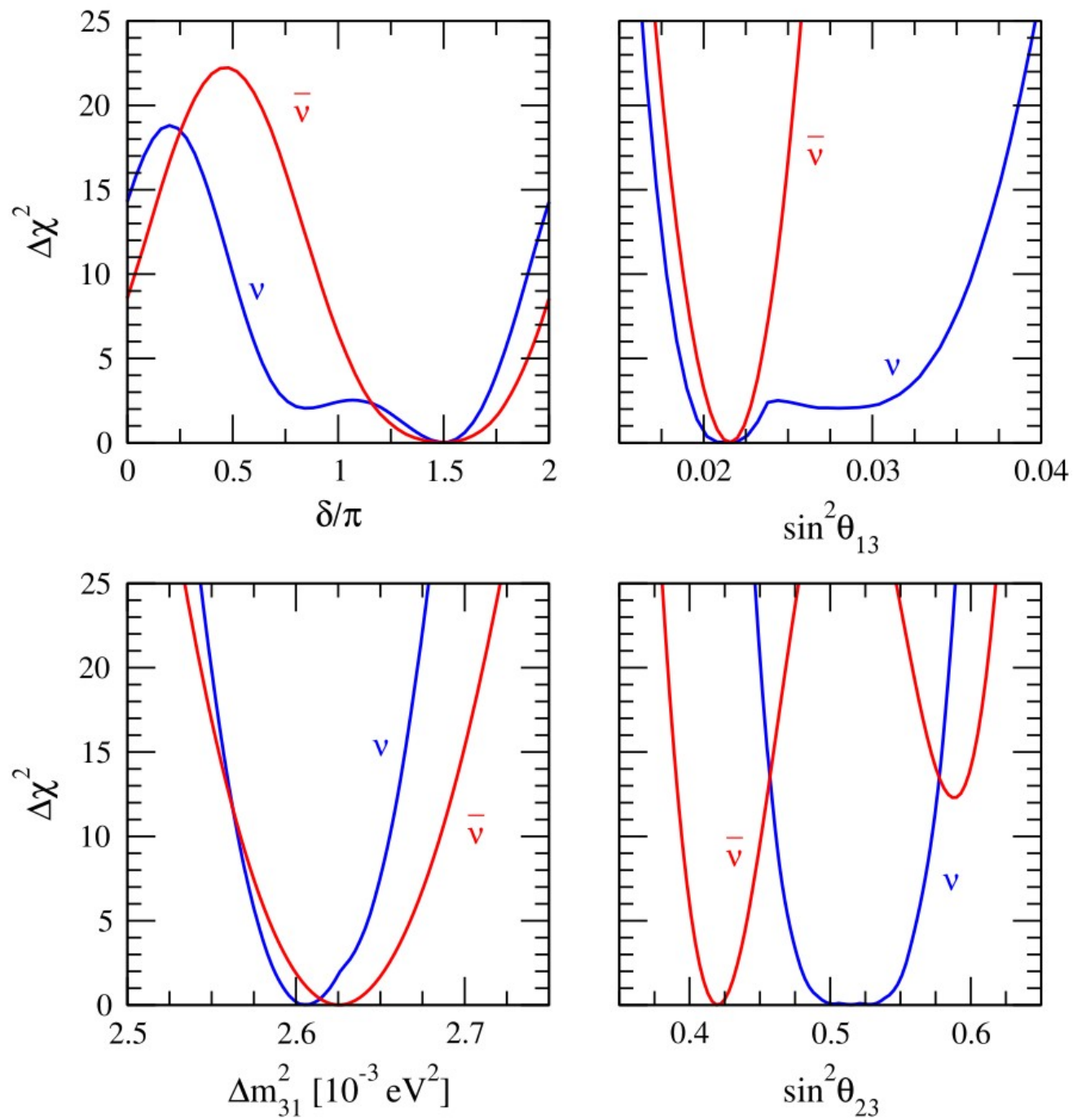
# CPT future



# CPT future



# CPT future



# CPT-NSI

