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INTRODUCTION

In this paper I will represent what I learnt last two weeks and what I'm going to learn in next time. However, since my presentation is about neutrino oscillation so I will represent in detail this one in the chapter 2.

In chapter one, I have learnt about the theory of neutrino physics. In which there are the theory of weak interaction as a basic background, the properties of neutrino in SM and the seesaw mechanism.

Chapter two is about neutrino oscillation. Since T2K experiment concerns with a search for neutrino oscillation from muon neutrinos to electron neutrinos, I'll represent here detailed calculation of oscillation probability of that case and involving discussion about CP violation.

In chapter three, I intend to learn about neutrino oscillation experiments, particularly focus on T2K.

CHAPTER 1

THE THEORY OF NEUTRINO PHYSICS

- + How parity is conserved in QED and QCD.
- + Wu 1957 experiment about nuclear β decay and the parity violation in weak interaction leads to V-A structure of the weak interaction.
- + Chiral structure leads to the existence of *left-handed particles* and *right-handed antiparticles* in weak interaction.
- + Neutrino properties in the SM such as form a $SU(2)_L$ doublet with lepton, form left Weyl spinors.
- + Due to neutral current interaction at LEP leads to an argument that there must be three families of neutrino in SM.
- + Dirac and Majoran neutrinos.
- + Seesaw mechanism.

CHAPTER 2

NEUTRINO OSCILLATION

0.1 Mass and flavor eigenstates

The flavor eigenstates are related to the mass eigenstates by the 3×3 unitary PMNS matrix.

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \quad (1)$$

PMNS matrix is unitary so $U^{-1} = U^\dagger \equiv (U^*)^T$. And hence, the mass eigenstates also can be performed via flavor eigenstates as

$$\begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} = \begin{pmatrix} U_{e1}^* & U_{e2}^* & U_{e3}^* \\ U_{\mu1}^* & U_{\mu2}^* & U_{\mu3}^* \\ U_{\tau1}^* & U_{\tau2}^* & U_{\tau3}^* \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \quad (2)$$

The unitary condition $UU^\dagger = I$ implies:

$$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} U_{e1}^* & U_{\mu1}^* & U_{\tau1}^* \\ U_{e2}^* & U_{\mu2}^* & U_{\tau2}^* \\ U_{e3}^* & U_{\mu3}^* & U_{\tau3}^* \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (3)$$

In compact form

$$\sum_i^3 U_{\alpha,i} U_{\beta,i}^* = \sigma_{\alpha\beta} \quad (4)$$

0.2 Wave function

From (1), we derive wave function at time $t = 0$

$$|\Psi(0)\rangle = |\nu_\mu\rangle = U_{\mu1}^* |\nu_1\rangle + U_{\mu2}^* |\nu_2\rangle + U_{\mu3}^* |\nu_3\rangle \quad (5)$$

Time-dependent wave function

$$|\Psi(\vec{x}, t)\rangle = U_{\mu 1}^* |\nu_1\rangle e^{-i\phi_1} + U_{\mu 2}^* |\nu_2\rangle e^{-i\phi_2} + U_{\mu 3}^* |\nu_3\rangle e^{-i\phi_3} \quad (6)$$

In compact form

$$|\nu_\alpha\rangle = \sum_i^3 U_{\alpha, i}^* |\nu_i\rangle e^{-i\phi_i} \quad (7)$$

Where $\phi_i = p_i \cdot x_i = E_i t - \vec{p}_i \cdot \vec{x}_i$

From (2) we have

$$\begin{aligned} |\nu_1\rangle &= U_{e1} |\nu_e\rangle + U_{\mu 1} |\nu_\mu\rangle + U_{\tau 1} |\nu_\tau\rangle \\ |\nu_2\rangle &= U_{e2} |\nu_e\rangle + U_{\mu 2} |\nu_\mu\rangle + U_{\tau 2} |\nu_\tau\rangle \\ |\nu_3\rangle &= U_{e3} |\nu_e\rangle + U_{\mu 3} |\nu_\mu\rangle + U_{\tau 3} |\nu_\tau\rangle \end{aligned}$$

The equation (6) can be rewritten as

$$\begin{aligned} |\Psi(\vec{x}, t)\rangle &= U_{\mu 1}^* (U_{e1} |\nu_e\rangle + U_{\mu 1} |\nu_\mu\rangle + U_{\tau 1} |\nu_\tau\rangle) e^{-i\phi_1} \\ &+ U_{\mu 2}^* (U_{e2} |\nu_e\rangle + U_{\mu 2} |\nu_\mu\rangle + U_{\tau 2} |\nu_\tau\rangle) e^{-i\phi_2} \\ &+ U_{\mu 3}^* (U_{e3} |\nu_e\rangle + U_{\mu 3} |\nu_\mu\rangle + U_{\tau 3} |\nu_\tau\rangle) e^{-i\phi_3} \\ &= (U_{\mu 1}^* U_{e1} e^{-i\phi_1} + U_{\mu 2}^* U_{e2} e^{-i\phi_2} + U_{\mu 3}^* U_{e3} e^{-i\phi_3}) |\nu_e\rangle \\ &+ (U_{\mu 1}^* U_{\mu 1} e^{-i\phi_1} + U_{\mu 2}^* U_{\mu 2} e^{-i\phi_2} + U_{\mu 3}^* U_{\mu 3} e^{-i\phi_3}) |\nu_\mu\rangle \\ &+ (U_{\mu 1}^* U_{\tau 1} e^{-i\phi_1} + U_{\mu 2}^* U_{\tau 2} e^{-i\phi_2} + U_{\mu 3}^* U_{\tau 3} e^{-i\phi_3}) |\nu_\tau\rangle \\ &= c_e |\nu_e\rangle + c_\mu |\nu_\mu\rangle + c_\tau |\nu_\tau\rangle \end{aligned} \quad (8)$$

In compact form

$$|\Psi(\vec{x}, t)\rangle = \sum_i U_{\alpha i}^* U_{\beta i} e^{-i\phi_i} |\nu_\beta\rangle \quad (9)$$

0.3 Oscillation probability

The oscillation probability from muon neutrino to electron neutrino is

$$\begin{aligned} P(\nu_\mu \rightarrow \nu_e) &= |\langle \nu_e | \Psi(\vec{x}, t) \rangle|^2 = c_e c_e^* \\ &= |U_{\mu 1}^* U_{e1} e^{-i\phi_1} + U_{\mu 2}^* U_{e2} e^{-i\phi_2} + U_{\mu 3}^* U_{e3} e^{-i\phi_3}|^2 \end{aligned} \quad (10)$$

In compact form

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_i U_{\alpha i}^* U_{\beta i} e^{-i\phi_i} \right|^2 \quad (11)$$

If $\phi_1 = \phi_2 = \phi_3 (\approx \frac{m^2}{2E})$, from unitary condition (4) we have $P(\nu_\alpha \rightarrow \nu_\beta) = \sigma_{\alpha\beta}$. This means that the oscillations occur if the neutrinos have mass and the masses are not the same.

Using the identity properties of complex number:

$$|z_1 + z_2 + z_3|^2 = |z_1|^2 + |z_2|^2 + |z_3|^2 + 2Re[z_1z_2^* + z_1z_3^* + z_2z_3^*] \quad (12)$$

Then equation (10) becomes

$$\begin{aligned} P(\nu_\mu \rightarrow \nu_e) &= |U_{\mu 1}^* U_{e 1} e^{-i\phi_1} + U_{\mu 2}^* U_{e 2} e^{-i\phi_2} + U_{\mu 3}^* U_{e 3} e^{-i\phi_3}|^2 \\ &= |U_{\mu 1}^* U_{e 1}|^2 + |U_{\mu 2}^* U_{e 2}|^2 + |U_{\mu 3}^* U_{e 3}|^2 \\ &\quad + 2Re[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^* e^{i(\phi_2 - \phi_1)}] \\ &\quad + 2Re[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^* e^{i(\phi_3 - \phi_1)}] \\ &\quad + 2Re[U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^* e^{i(\phi_3 - \phi_2)}] \end{aligned} \quad (13)$$

In compact form

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sum_i |U_{\alpha i}^* U_{\beta i}|^2 + 2 \sum_{j>i} Re[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* e^{i(\phi_j - \phi_i)}] \quad (14)$$

From the unitary condition (3) we have

$$\begin{aligned} &|U_{\mu 1}^* U_{e 1} + U_{\mu 2}^* U_{e 2} + U_{\mu 3}^* U_{e 3}|^2 = 0 \\ \Rightarrow &|U_{\mu 1}^* U_{e 1}|^2 + |U_{\mu 2}^* U_{e 2}|^2 + |U_{\mu 3}^* U_{e 3}|^2 \\ &+ 2Re[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^* + U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^* + U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^*] \\ &= 0 \end{aligned} \quad (15)$$

In compact form

$$\sum_i |U_{\alpha i}^* U_{\beta i}|^2 + 2 \sum_{j>i} Re[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] = \sigma_{\alpha\beta} \quad (16)$$

It is followed from (13) and (15):

$$\begin{aligned} P(\nu_\mu \rightarrow \nu_e) &= 2Re [U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^* (e^{i(\phi_2 - \phi_1)} - 1)] \\ &\quad + 2Re [U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^* (e^{i(\phi_3 - \phi_1)} - 1)] \\ &\quad + 2Re [U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^* (e^{i(\phi_3 - \phi_2)} - 1)] \end{aligned} \quad (17)$$

In compact form

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sigma_{\alpha\beta} + 2 \sum_{j>i} Re[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* (e^{i(\phi_j - \phi_i)} - 1)] \quad (18)$$

We have

$$\begin{aligned}
& Re[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* (e^{i(\phi_j - \phi_i)} - 1)] \\
= & Re[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* (\cos(\phi_j - \phi_i) - 1 + i \sin(\phi_j - \phi_i))] \\
= & Re \left\{ (Re[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] + i Im[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*]) (-2 \sin^2(\frac{\phi_j - \phi_i}{2}) + i \sin(\phi_j - \phi_i)) \right\} \\
= & -2 Re[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2(\frac{\phi_j - \phi_i}{2}) - Im[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin(\phi_j - \phi_i) \quad (19)
\end{aligned}$$

From (19), we can write the oscillation pobability in a normal form

$$\begin{aligned}
P(\nu_\mu \rightarrow \nu_e) = & \\
& - 4 Re [U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*] \sin^2(\frac{\phi_2 - \phi_1}{2}) - 2 Im [U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*] \sin(\phi_2 - \phi_1) \\
& - 4 Re [U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] \sin^2(\frac{\phi_3 - \phi_1}{2}) - 2 Im [U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] \sin(\phi_3 - \phi_1) \\
& - 4 Re [U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^*] \sin^2(\frac{\phi_3 - \phi_2}{2}) - 2 Im [U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^*] \sin(\phi_3 - \phi_2) \quad (20)
\end{aligned}$$

In compact form

$$\begin{aligned}
P(\nu_\alpha \rightarrow \nu_\beta) = \sigma_{\alpha\beta} & - 4 \sum_{j>i} Re [U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2(\frac{\phi_j - \phi_i}{2}) \\
& - 2 \sum_{j>i} Im [U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin(\phi_j - \phi_i) \quad (21)
\end{aligned}$$

If the neutrino interacts at a time T at a distance L along its direction of flight, the difference in phase of the three mass eigenstates are written as

$$\phi_j - \phi_i = p_j \cdot x_j - p_i \cdot x_i = (E_j - E_i)T - (p_j - p_i)L$$

With assuming that $p_j = p_i = p$ for neutrinos of the same source, then

$$\begin{aligned}
\phi_j - \phi_i & = (E_j - E_i)T \approx \left[p_j \left(1 + \frac{m_j^2}{2p_j^2}\right) - p_i \left(1 + \frac{m_i^2}{2p_i^2}\right) \right] T \\
& = \frac{m_j^2 - m_i^2}{2p} T = \frac{\Delta m_{ji}^2 L}{2E} \quad (22)
\end{aligned}$$

In the above calculation, we used the approximation $T \approx L$ and $p \approx E$ for $v_\nu \approx c$ and $m_\nu \ll E_\nu$

We finally get the most common form of the oscillation probability:

$$\begin{aligned}
P(\nu_\alpha \rightarrow \nu_\beta) = \sigma_{\alpha\beta} & - 4 \sum_{j>i} Re [U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2(\frac{\Delta m_{ji}^2}{4E} L) \\
& - 2 \sum_{j>i} Im [U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin(\frac{\Delta m_{ji}^2}{2E} L) \quad (23)
\end{aligned}$$

For antineutrinos, we just take the complex conjugate of the product matrix and get

$$\begin{aligned}
P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) = \sigma_{\alpha\beta} & - 4 \sum_{j>i} \text{Re} \left[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right] \sin^2 \left(\frac{\Delta m_{ji}^2}{4E} L \right) \\
& + 2 \sum_{j>i} \text{Im} \left[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right] \sin \left(\frac{\Delta m_{ji}^2}{2E} L \right)
\end{aligned} \tag{24}$$

The probabilities (23) and (24) are called *transition probabilities*, and the *survival probability* for a flavor is

$$P(\nu_\alpha \rightarrow \nu_\alpha) = P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\alpha) = 1 - 4 \sum_{j>i} [|U_{\alpha i}|^2 |U_{\alpha j}|^2] \sin^2 \left(\frac{\Delta m_{ji}^2}{4E} L \right) \tag{25}$$

By using the natural unit conversion for $1eV^{-1}$ of length = $1.97 \times 10^{-7}m$, for practical purpose we can express the phase in (23), (24) and (25) as

$$\begin{aligned}
\frac{\Delta m_{ji}^2 [eV^2] L [eV]}{4E [eV]} & = \frac{\Delta m_{ji}^2 [eV^2] L [m]}{4 \times 1.97 \times 10^{-7} E [eV]} \\
= 1.269 \frac{\Delta m_{ji}^2 [eV^2] L [m]}{E [MeV]} & = 1.269 \frac{\Delta m_{ji}^2 [eV^2] L [km]}{E [GeV]}
\end{aligned} \tag{26}$$

0.4 CP violation

From (23) and (24), the difference between the neutrino and antineutrino oscillation probability indicates CP violation

$$\begin{aligned}
\text{CP violation} & = P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \\
& = 4 \sum_{j>i} \text{Im} \left[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right] \sin \left(\frac{\Delta m_{ij}^2}{2E} L \right)
\end{aligned} \tag{27}$$

If CP is violated, $U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*$ has to contain an imaginary component.

For $\alpha = \mu$ and $\beta = e$, then

$$\begin{aligned} \text{CP violation} &= P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \\ &= 4 \sum_{j>i} \text{Im} \left[U_{\mu i}^* U_{e i} U_{\mu j} U_{e j}^* \right] \sin\left(\frac{\Delta m_{ij}^2}{2E} L\right) \end{aligned} \quad (28)$$

$$\begin{aligned} &= 4 \text{Im} \left[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^* \right] \sin\left(\frac{\Delta m_{12}^2}{2E} L\right) \\ &+ 4 \text{Im} \left[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^* \right] \sin\left(\frac{\Delta m_{13}^2}{2E} L\right) \\ &+ 4 \text{Im} \left[U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^* \right] \sin\left(\frac{\Delta m_{23}^2}{2E} L\right) \end{aligned} \quad (29)$$

From the unitary condition (3) we have

$$U_{\mu 1} U_{e 1}^* + U_{\mu 2} U_{e 2}^* + U_{\mu 3} U_{e 3}^* = 0 \quad (30)$$

Multiply two sides of the equation (30) with $U_{\mu 1}^* U_{e 1}$ and $U_{\mu 2}^* U_{e 2}$ respectively and then add them up, we have

$$\begin{aligned} &U_{\mu 1}^* U_{e 1} U_{\mu 1} U_{e 1}^* + U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^* + U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^* \\ &+ U_{\mu 2}^* U_{e 2} U_{\mu 1} U_{e 1}^* + U_{\mu 2}^* U_{e 2} U_{\mu 2} U_{e 2}^* + U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^* = 0 \\ \Leftrightarrow &0 = |U_{\mu 1}|^2 |U_{e 1}|^2 + |U_{\mu 2}|^2 |U_{e 2}|^2 \\ &+ \text{Re}[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*] + \text{Re}[U_{\mu 2}^* U_{e 2} U_{\mu 1} U_{e 1}^*] + \text{Re}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] + \text{Re}[U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^*] \\ &+ i \left\{ \text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*] + \text{Im}[U_{\mu 2}^* U_{e 2} U_{\mu 1} U_{e 1}^*] + \text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] + \text{Im}[U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^*] \right\} \\ \Rightarrow &\text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*] + \text{Im}[U_{\mu 2}^* U_{e 2} U_{\mu 1} U_{e 1}^*] + \text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] + \text{Im}[U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^*] = 0 \end{aligned} \quad (31)$$

Note that $[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*]^* = U_{\mu 2}^* U_{e 2} U_{\mu 1} U_{e 1}^* \Rightarrow \text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*] = -\text{Im}[U_{\mu 2}^* U_{e 2} U_{\mu 1} U_{e 1}^*]$. Therefore, from (31) we get

$$\text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] = -\text{Im}[U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^*] \quad (32)$$

Multiply two sides of the equation (30) with $U_{\mu 1}^* U_{e 1}$ and $U_{\mu 3}^* U_{e 3}$ respectively and then add them up, we have

$$\begin{aligned} &U_{\mu 1}^* U_{e 1} U_{\mu 1} U_{e 1}^* + U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^* + U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^* \\ &+ U_{\mu 3}^* U_{e 3} U_{\mu 1} U_{e 1}^* + U_{\mu 3}^* U_{e 3} U_{\mu 2} U_{e 2}^* + U_{\mu 3}^* U_{e 3} U_{\mu 3} U_{e 3}^* = 0 \\ \Leftrightarrow &0 = |U_{\mu 1}|^2 |U_{e 1}|^2 + |U_{\mu 3}|^2 |U_{e 3}|^2 \\ &+ \text{Re}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] + \text{Re}[U_{\mu 3}^* U_{e 3} U_{\mu 1} U_{e 1}^*] + \text{Re}[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*] + \text{Re}[U_{\mu 3}^* U_{e 3} U_{\mu 2} U_{e 2}^*] \\ &+ i \left\{ \text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] + \text{Im}[U_{\mu 3}^* U_{e 3} U_{\mu 1} U_{e 1}^*] + \text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*] + \text{Im}[U_{\mu 3}^* U_{e 3} U_{\mu 2} U_{e 2}^*] \right\} \\ \Rightarrow &\text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] + \text{Im}[U_{\mu 3}^* U_{e 3} U_{\mu 1} U_{e 1}^*] + \text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*] + \text{Im}[U_{\mu 3}^* U_{e 3} U_{\mu 2} U_{e 2}^*] = 0 \end{aligned} \quad (33)$$

Note that $[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*]^* = U_{\mu 3}^* U_{e 3} U_{\mu 1} U_{e 1}^* \Rightarrow \text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] = -\text{Im}[U_{\mu 3}^* U_{e 3} U_{\mu 1} U_{e 1}^*]$
and $[U_{\mu 3}^* U_{e 3} U_{\mu 2} U_{e 2}^*]^* = U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^* \Rightarrow \text{Im}[U_{\mu 3}^* U_{e 3} U_{\mu 2} U_{e 2}^*] = -\text{Im}[U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^*]$
Therefore, from (33) we get

$$\text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 2} U_{e 2}^*] = \text{Im}[U_{\mu 2}^* U_{e 2} U_{\mu 3} U_{e 3}^*] \quad (34)$$

By using (32) and (34), we can rewrite (29) as

$$\begin{aligned} \text{CP violation} &= P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \\ &= 4\text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] (\sin \Delta_{13} - \sin \Delta_{12} - \sin \Delta_{23}) \end{aligned} \quad (35)$$

Where $\Delta_{13} = \frac{\Delta m_{13}^2}{2E} L$, $\Delta_{12} = \frac{\Delta m_{12}^2}{2E} L$ and $\Delta_{23} = \frac{\Delta m_{23}^2}{2E} L = \Delta_{13} - \Delta_{12}$

By a simple trigonometry calculation, we have

$$\begin{aligned} \sin \Delta_{13} - \sin \Delta_{12} - \sin(\Delta_{13} - \Delta_{12}) &= -4 \sin \frac{\Delta_{12}}{2} \sin \frac{\Delta_{13}}{2} \sin \frac{\Delta_{23}}{2} \\ &= 4 \sin \frac{\Delta_{21}}{2} \sin \frac{\Delta_{31}}{2} \sin \frac{\Delta_{32}}{2} \end{aligned}$$

Then we can rewrite (36) as

$$\begin{aligned} \text{CP violation} &= P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \\ &= 16\text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] \left(\sin \frac{\Delta_{21}}{2} \sin \frac{\Delta_{31}}{2} \sin \frac{\Delta_{32}}{2} \right) \\ &= 16\text{Im}[U_{\mu 1}^* U_{e 1} U_{\mu 3} U_{e 3}^*] \sin \left(\frac{\Delta m_{21}^2 L}{4E} \right) \sin \left(\frac{\Delta m_{31}^2 L}{4E} \right) \sin \left(\frac{\Delta m_{32}^2 L}{4E} \right) \end{aligned} \quad (36)$$

0.5 The PMNS matrix

The unitarity of PMNS matrix $UU^\dagger = I$ yields nine independent parameters.

If the PMNS matrix were real, it could be described by three rotation angles θ_{12} , θ_{13} and θ_{23} via orthogonal rotation matrix R

$$R = R_1 \times R_2 \times R_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} \\ 0 & 1 & 0 \\ -s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (37)$$

Where $s_{ij} = \sin \theta_{ij}$ and $c_{ij} = \cos \theta_{ij}$.

Since PMNS matrix is unitary and not real, it must contain six more additional degrees of freedom in term of complex phase $e^{i\delta}$. Five among these six phases can be absorbed into the definition of the particles and leaves only one single phase δ .

The PMNS matrix then depends on three mixing angles $\theta_{12}, \theta_{13}, \theta_{23}$ and a single complex phase δ and can be expressed as

$$\begin{aligned}
U_{PMNS} &= \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \\
&= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (38) \\
&= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \quad (39)
\end{aligned}$$

As mentioned before, CP is violated if $U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*$ contains an imaginary component. Therefore, δ is also called the CP-violating phase.

0.6 Neutrino masses and neutrino mass hierarchy

CHAPTER 3
NEUTRINO OSCILLATION EXPERIMENTS

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